# ORIGINAL PAPER

# Application of weights-of-evidence and certainty factor models and their comparison in landslide susceptibility mapping at Haraz watershed, Iran

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Abstract The main goal of this study was to investigate the application of the weights-of-evidence and certainty factor approaches for producing landslide susceptibility maps of a landslide-prone area (Haraz) in Iran. For this purpose, the input layers of the landslide conditioning factors were prepared in the first stage. The landslide conditioning factors considered for the study area were slope gradient, slope aspect, altitude, lithology, land use, distance from streams, distance from roads, distance from faults, topographic wetness index, stream power index, stream transport index and plan curvature. For validation of the produced landslide susceptibility maps, the results of the analyses were compared with the field-verified landslide locations. Additionally, the receiver operating characteristic curves for all the landslide susceptibility models were constructed and

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Applied Geology Division, Department of Geological Engineering, Engineering Faculty, Hacettepe University, Ankara, Turkey the areas under the curves were calculated. The landslide locations were used to validate results of the landslide susceptibility maps. The verification results showed that the weights-of-evidence model (79.87%) performed better than certainty factor (72.02%) model with a standard error of 0.0663 and 0.0756, respectively. According to the results of the area under curve evaluation, the map produced by weights-of-evidence exhibits satisfactory properties.

Keywords Landslide susceptibility  $\cdot$  Weights of evidence  $\cdot$  Certainty factor model  $\cdot$  GIS  $\cdot$  Remote sensing  $\cdot$  Iran

## Introduction

Landslides are one of the most catastrophic natural hazards occurring in many areas of the world. Globally, they cause hundreds of billions of dollars in damage and hundreds of thousands of deaths and injuries each year (Aleotti and Chowdhury 1999). Over the past 25 years, many governments and research institutions throughout the world have invested considerable resources in assessing landslide hazards and in attempting to produce maps portraying their spatial distribution (Guzzetti et al. 1999). In spite of improvements in recognition, mitigative measures, and prediction and warning systems, landslide damage is still increasing worldwide (Schuster 1996). Losses resulting from mass movements in Iran until the end of September 2007 have been estimated at 126,893 billion Iranian Rials (about USD 12,700 million) using the 4,900 landslide database.

Similar approaches have been proposed by several investigators, including weights-of-evidence methods

(Bonham-Carter 1991; Lee et al. 2002a; Wu et al. 2004; Gokceoglu et al. 2005; Neuhäuser and Terhorst 2007; Mathew et al. 2007; Bui et al. 2008; Zhu and Wang 2009; Regmi et al. 2010; Oh and Lee 2011), weighting factors (Cevik and Topal 2003), weighted linear combinations of instability factors (Ayalew et al. 2004), landside nominal risk factors (Gupta and Joshi 1990; Saha et al. 2005), probabilistic models (Chung and Fabbri 2003, 2005; Lee 2004; Lee and Pradhan 2006, 2007; Akgun et al. 2011; Pradhan et al. 2012), certainty factors (Binaghi et al. 1998), information values (Lin and Tung 2004; Saha et al. 2005), modified Bayesian estimation (Chung and Fabbri 1998) and data mining (Biswajeet and Saied 2010; Pradhan et al. 2009, 2010a, b, c, d, e, 2011 Pradhan 2010a, b, c, 2011a, b Pradhan and Lee 2010a, b; Pradhan and Buchroithner 2010; Pradhan and Youssef 2010; Sezer et al. 2011; Oh and Pradhan 2011; Bui et al. 2011; Akgun et al. 2012). Understanding the differences between the proposed approaches is not always simple. The main differences are the rigour of the approach (Chung and Fabbri 1998) and the method used to estimate the prior probability of landslide occurrence. The aim of the present study was to produce landslide susceptibility maps of the Haraz watershed in Iran by employing a weights-of-evidence and certainty factor models.

## Study area

The study area is located in the northern part of Iran, which is one of the most landslide-prone areas in the country (Pourghasemi 2008). The watershed area lies between longitudes  $52^{\circ}$  06' 02" E and  $52^{\circ}$  18' 13" E and between latitudes  $35^{\circ}$  49' 05" N and  $35^{\circ}$  57' 39" N. It is mountainous and is located in the Alborz Folded geological zone (Fig. 1). It covers two adjacent 1:50,000 topographic sheets of the Army Geographic Institute of Iran and has an extent of



Fig. 1 Location map of the study area in Mazandaran province, Iran

about 114.5 km<sup>2</sup>. The main river in the study area is the Haraz River. The temperature varies between  $25^{\circ}$ C in winter and  $36.5^{\circ}$ C in summer. The mean annual rainfall is around 500 mm, most of which falls between November and January. The altitudes in the study area vary between 1,200 and 3,290 m. The slope angles of the area range from 0° to as much as 70°. The majority of the area (64.82%) is covered by moderate pasture. The other parts of the study area are utilised for orchard and agricultural (13.33%), residential (0.3%) and best pasture purposes (21.55%).

#### Weights-of-evidence model

In recent years, many investigators (Bonham-Carter 1991; Mathew et al. 2007; Neuhäuser and Terhorst 2007; Bui et al. 2008; Regmi et al. 2010; Pradhan et al. 2010c) have experimented with methods that exploit, more or less rigorously, Bayes' conditional probability theorem. In this framework, conditional probability is a measure of the chance of a hypothesis being true or false given a piece of evidence (Gorsevski et al. 2003). For example, Bayesian probabilistic modelling is supplied for solving problems of decision-making under uncertainties. This method is suitable for landslide susceptibility mapping because its uncertainty is connected with landslide events and their associations with the complex landscape (Chung and Fabbri 1998; Gorsevski et al. 2003). Bayes' theorem can be written as (Guzzetti 2005):

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$$P(A|B) = \frac{P(B|A) \times P(A)}{P(B)}$$
(1)

So, the probability of phenomena *B* occurring given that phenomena A has occurred, P(B|A), multiplied by the probability of phenomena A occurring, P(A), and divided by the probability of phenomena B occurring, P(B).

In Eq. 1, P(A) is the "prior probability" (i.e. a reasonable hypothesis on the probability of phenomena A), P(B) is the "posterior probability" (i.e. the probability of B under all possible outcomes for A), and P(A|B) is the "probability" (i.e. the conditional probability of A given B). In a best Bayesian analysis, the prior probability has a minor effect on the posterior probability, as most of the information comes from the likelihood. When applied to landslide susceptibility investigation, Bayes' theorem is used to select the probability that an area will improve slope failures given the local environmental circumstances, as indicated in Eq. 2 (Chung and Fabbri 1998):

$$P(A_L|\{V_0(r), V_1(r) \dots, V_m(r)\}) = \frac{P(\{V_0(r), V_1(r) \dots, V_m(r)\}|A_L) \times P(A_L)}{P(V_0(r), V_1(r), \dots, V_m(r))}$$
(2)

where,  $A_L$  denotes area of landslide in a mapping unit r for which  $\{V_0(r), V_1(r), \dots, V_m(r)\}$  is independent of environmental conditions. Additionally, the mixture of environmental conditions is special to the mapping unit r. Equation 2 showed that the probability that a mapping



Fig. 2 The landslide inventory map of the study area

Fig. 3 Lithology map of the study area



unit *r* in the study area will be influenced by a landslide which is equivalent to the probability of a landslide in the study area,  $P(A_L)$ , multiplied by the probability of a particular (unique) mixture of environmental factors given the presence of a landslide, divided by the probability of the same mixture of environmental factors in the whole study area. A simple strategy is to acquire the three probabilities in the righthand side of Eq. 2 in a geographic information system (GIS) from the related spatial densities. These probabilities can be obtained as follows: (1) by dividing the entire  $A_L$  in the study area by the area of the mapping unit, for  $P(A_L)$ ; (2) by dividing the whole area of the unique condition unit by the extent of the study area for  $P(V_0(r), V_1(r), \ldots, V_m(r))$ ; and (3) by considering the percentage of the landslide area in the study area

No.	Symbol	Formation	Lithology	Geological age
А	$Q^{\rm sc}$	_	Scree	Quaternary
	$Q_2^t$	_	Young terraces	Quaternary
	$Q_1^t$	_	Old terraces	Quaternary
В	$Q^{ag}$	-	Agglomerate	Quaternary
	$Q^{ta}$	-	Trachy andesitic lava flows	Quaternary
	$Q^{tu}$	-	Ash tuff, lapilli tuff	Quaternary
	$\rho^{\rm b}$	-	Olivine basalt	Quaternary
С	$K_k^{ m tv}$	Karaj	Green tuff, basaltic and limestone with gypsum and conglomerate	Eocene
	$E_k^{\rm gy}$	Karaj	Gypsum	Eocene
D	PEz	Ziarat	Limestone bearing nummulites and alveolina, conglomerate	Paleocene
	PEf	Fajan	Conglomerate, agglomerate, some marl and limestone	Paleocene
Е	K2	-	Biogenic and cherty limestone	Late Cretaceous
	Kt	Tizkuh	Orbitoline bearing limestone	Late Cretaceous
	Л	Lar	Massive to well bedded, cherty limestone	Late Jurassic
	Jd	Dalichai	Well bedded, partly oolitic-detritic limestone, marly limestone	Late Jurassic
	JS	Shemshak	Dark shale and sandstone with plant remains, coal	Late Jurassic
	TReL	Elika	Thin bedded limestone	Early Triassic
	Pd	Dorud	Cross-bedded, quartzitic sandstone	Early Permian

Table 1Description ofgeological units ofthe study area



Fig. 4 Land use map of the study area

characterised by the total area of the unique environmental setting in Eq. 2, for  $P(\{V_0(r), V_1(r) \dots, V_m(r)\}|A_L)$ .

An advantage of Bayesian probabilistic modelling is the possibility of incorporating uncertainty into the susceptibility model and considering expert knowledge explicitly (Chung and Fabbri 1998).

## Certainty factor model

Among the commonly used GIS analysis models for landslide susceptibility, certainty factor (CF) model has been widely considered and experimentally investigated in the literature (Chung and Fabbri 1993; Binaghi et al. 1998; Luzi and Pergalani 1999; Lan et al. 2004; Kanungo et al. 2011). The CF approach is one of the possible proposed favourability functions to handle the problem of combination of different data layers and the heterogeneity and uncertainty of the input data. The main difference is the bivariate model with other models of how to combine the maps. Thus, the maps classifieds and then weight of each pixel is obtained using Eq. 3:

$$CF = \begin{cases} \frac{PP_a - PP_s}{PP_a (1 - PP_s)} & \text{if } PP_a \ge PP_s \\ \frac{PP_a - PP_s}{PP_s (1 - PP_a)} & \text{if } PP_a < PP_s \end{cases}$$
(3)

where,  $PP_a$  is the conditional probability of landslide event occurring in class a and  $PP_s$  is the prior probability of total number of landslide events in the study area A. With the use of the CF model, each class or area is assigned a value that varies within the interval [-1, 1]. A positive value means a growth in the certainty of the landslide occurrence, whereas a negative value coincides with a decrease in the certainty of landslide occurrence. A value close to 0 means that there is not enough information about the variable and thus, it is difficult to give information about the certainty of landslide occurrence. The CF values are calculated for all condition factors by overlaying and calculating the landslide frequency as given then the CF values of all parameters in 12 landslide conditioning factors are determined using Eq. 3. Next, the CF values of the landslide conditioning factor are pairwise combined using the CF combination rule. A combination of two CF values, *X* and *Y* from two different layers of information is a CF value *Z* obtained as follows (Chung and Fabbri 1993; Binaghi et al. 1998; Luzi and Pergalani 1999):

$$Z = \begin{cases} X + Y - XY & X, Y \ge 0\\ \frac{X+Y}{1-\min(|X|,|Y|)} & X, Y \text{ opposite sign}\\ X + Y + XY & X, Y < 0 \end{cases}$$
(4)

The pairwise combination by using the integration rule of Eq. 4 is performed repeatedly until all the CF layers are combined to obtain the landslide susceptibility.

#### Thematic data preparation

Various thematic data layers representing landslide conditioning factors, such as slope gradient, slope aspect, altitude, lithology, land use, distance to faults, distance to streams, distance to roads, topographic wetness index (TWI), stream power index (SPI), stream transport index (STI) and plan curvature were prepared. These factors fall under the category of preparatory factors, which make the area susceptible to movement without actually initiating a landslide; thus, these factors are considered to be responsible for the occurrence of landslides in the regions for which pertinent data can be collected from available resources and from the field. The triggering factors, such as rainfall and earthquake, set the movement off by shifting the slope from a marginally stable to an actively unstable area. Furthermore, the attributes of the ground in terms of landslide susceptibility were considered in the present study. Since, past data on triggering factors such as rainfall and earthquakes in relation to landslide occurrences were not available. Consequently, these factors were not considered in this study.

#### Landslide inventory map

The mapping of existing landslides is essential for studying the relationship between the landslide distribution and the conditioning factors. To produce a detailed and reliable landslide inventory map, extensive field surveys and observations were performed in the study area. A total of 78 landslides were identified and mapped in the study area by evaluating aerial photos in 1:25,000 scale and by field survey (Fig. 2). The modes of failure for the landslides identified in the



Fig. 5 Topographical parameter maps of the study area; a slope gradient, b slope aspect, c altitude, d plan curvature, e topographic wetness index, f stream power index, g sediment transport capacity index

study area were recognised as rotational slides according to the landslide classification system proposed by Varnes (1978). Of the 78 landslides identified randomly, 55 (70%) locations were chosen for the landslide susceptibility maps, while the remaining 23 (30%) cases were used for the model validation.

#### Landslide conditioning factors

A geology map of the study area (1:100,000 series, sheet number 6,461, prepared by Geological Survey of Iran) was digitised in the ILWIS 3.3 software environment. The study area is covered by various types of lithological formations, such as Quaternary, Eocene, Paleocene, late Cretaceous, late Jurassic, early Triassic and early Permian. The Quaternary deposits cover about 40% of the study area. The general geological setting of the area is shown in Fig. 3 and the lithological properties are summarised in Table 1.

Four different types of land use were described for this study using a supervised classification and field surveys of  $ETM^+$  (2006) satellite images (Youssef et al. 2009, 2012). These types of land use were moderate pasture, best pasture, mixing orchard and agricultural and residential areas (Fig. 4). Most part of the study area (64.82%) is covered by moderate pasture. Consequently, best pasture, mixing orchard and agriculture and residential areas are covered by 21.55%, 13.33%, and 0.30% of the study area, respectively. A digital elevation model (DEM) was created using the topographic database. The slope gradient, slope aspect, plan curvature and three common secondary geomorphometric parameters that are relevant to the landslide analysis

were calculated from the DEM. The sediment transport capacity index (LS) (Moore et al. 1988), SPI (Moore and Grayson 1991) and TWI (Moore and Grayson 1991) were derived from the DEM using the script written by Hengl et al. (2003), which was executed using the ILWIS 3.3 software (Fig. 5). The distances of the rivers, roads and faults were also digitised from the 1:50,000 and 1:100,000 topographic maps and the geological maps, respectively (Fig. 6).

#### Landslide susceptibility maps and their validation

Due to their hazardous character, many government and research institutions throughout the world have attempted to assess landslide susceptibility, hazards, and risks and to show their spatial pattern over the years. In this research, both weights-of-evidence and CF models were used for identifying the areas susceptible to landslides at the Haraz Mountains of Iran. A total of 78 landslides were mapped using aerial photographs and subsequent field survey. The landslide conditioning factors considered included slope gradient, slope aspect, altitude, lithology, land use, distance to streams, distance to roads, distance to faults, TWI, SPI,



Fig. 6 a Distances from rivers, b distances from roads, c distances from faults

Factor	Class	No. of pixels in domain	Percentage of domain	No. of landslide	Percentage of landslide	$W^+$	M	С	$S^2 \left( W^+ \right)$	$S^{2}(W)$	S (C)	C/S (C)
Slope gradient	05	13,851	1.21	1	1.82	0.41	-0.00	0.41	1	0.02	1.01	0.41
(in degree)	6–15	64,268	5.62	2	3.64	-0.43	0.02	-0.46	0.5	0.02	0.72	-0.63
	16-30	155,602	13.59	10	18.18	0.29	-0.05	0.34	0.1	0.02	0.35	0.99
	31-50	343,634	30.03	19	34.55	0.14	-0.07	0.21	0.05	0.03	0.28	0.73
	51-70	262,117	22.91	10	18.18	-0.23	0.06	-0.29	0.1	0.02	0.35	-0.83
	>70	304,809	26.64	13	23.64	-0.12	0.04	-0.16	0.08	0.02	0.32	-0.50
Slope aspect	North	149,997	13.12	5	9.09	-0.37	0.05	-0.41	0.2	0.02	0.47	-0.88
	Northeast	195,301	17.07	6	16.36	-0.04	0.01	-0.05	0.11	0.02	0.36	-0.14
	East	129,167	11.29	2	3.64	-1.13	0.08	-1.22	0.5	0.02	0.72	-1.69
	Southeast	171, 144	14.95	16	29.09	0.67	-0.18	0.85	0.06	0.03	0.30	2.85
	South	135,677	11.85	3	5.46	-0.78	0.07	-0.85	0.33	0.02	0.59	-1.43
	Southwest	131,718	11.51	6	16.36	0.35	-0.06	0.41	0.11	0.02	0.36	1.12
	West	79,979	6.99	7	12.73	0.60	-0.06	0.66	0.14	0.02	0.40	1.64
	Northwest	151,298	13.22	4	7.27	-0.60	0.07	-0.66	0.25	0.02	0.52	-1.28
Altitude (m)	1,200-1,500	28,463	2.49	0	0	None	0.03	0	None	0.02	None	None
	1,500-1,800	157,018	13.72	19	34.54	0.92	-0.28	1.20	0.05	0.03	0.28	4.23
	1,800-2,100	303,058	26.48	22	40	0.41	-0.20	0.62	0.05	0.03	0.28	2.24
	2,100-2,400	305,844	26.73	7	12.73	-0.74	0.17	-0.92	0.14	0.02	0.401	-2.27
	2,400-2,700	208,321	18.20	9	10.91	-0.51	0.09	-0.60	0.17	0.02	0.431	-1.38
	2,700-3,000	125,384	10.96	1	1.82	-1.80	0.10	-1.89	1	0.02	1.01	-1.88
	>3,000	16,193	1.42	0	0	None	0.01	0	None	0.02	None	None
Lithology	А	459,914	40.19	30	54.55	0.31	-0.27	0.58	0.03	0.04	0.27	2.14
	В	153,621	13.43	3	5.45	-0.90	0.09	-0.99	0.33	0.02	0.59	-1.67
	С	147,386	12.88	2	3.64	-1.26	0.10	-1.37	0.5	0.02	0.72	-1.90
	D	19,655	1.72	0	0	None	0.02	0	None	0.02	None	None
	Е	363,705	31.78	20	36.36	0.13	-0.07	0.20	0.05	0.03	0.28	0.73
Land use	Good pasture	246,601	21.55	12	21.82	0.01	-0.003	0.02	0.08	0.02	0.33	0.05
	Mix orchard and agriculture	152,518	13.33	20	36.36	1.00	-0.31	1.31	0.05	0.03	0.28	4.68
	Residential	3,450	0.30	1	1.82	1.80	-0.02	1.81	1	0.02	1.01	1.80
	Middle pasture	741,712	64.82	22	40	-0.48	0.53	-1.02	0.05	0.03	0.28	-3.69
Distance to	Buffer (100 m)	44,942	3.93	3	5.45	0.33	-0.02	0.34	0.33	0.02	0.59	0.58
faults (m)	Buffer (200 m)	43,132	3.77	4	7.27	0.66	-0.04	0.69	0.25	0.02	0.52	1.34
	Buffer (300 m)	43,144	3.77	9	10.91	1.06	-0.08	1.14	0.17	0.02	0.43	2.63
	Buffer (400 m)	44,914	3.92	2	3.64	-0.08	0.003	-0.08	0.5	0.02	0.72	-0.11

Table 2 (continued)												
Factor	Class	No. of pixels in domain	Percentage of domain	No. of landslide	Percentage of landslide	$W^+$	W	С	$S^2 (W^{+})$	$S^2 (W^-)$	S (C)	C/S (C)
	Buffer (>400 m)	968,149	84.61	40	72.73	-0.15	0.57	-0.72	0.03	0.07	0.30	-2.39
Distance to streams (m)	Buffer (100 m)	263,584	23.03	33	60	0.96	-0.65	1.61	0.03	0.05	0.28	5.86
	Buffer (200 m)	205,759	17.98	5	9.09	-0.68	0.10	-0.78	0.2	0.02	0.47	-1.67
	Buffer (300 m)	159,801	13.97	7	12.73	-0.09	0.01	-0.11	0.14	0.02	0.40	-0.26
	Buffer (400 m)	131,420	11.49	3	5.45	-0.74	0.07	-0.81	0.33	0.02	0.59	-1.37
	Buffer (>400 m)	383,717	33.53	7	12.73	-0.97	0.27	-1.24	0.14	0.02	0.40	-3.07
Distance to roads (m)	Buffer (100 m)	136,228	11.90	23	41.82	1.26	-0.41	1.67	0.04	0.03	0.27	6.11
	Buffer (200 m)	110,283	9.64	4	7.27	-0.28	0.03	-0.31	0.25	0.02	0.52	-0.59
	Buffer (300 m)	93,440	8.17	5	9.10	0.11	-0.01	0.12	0.2	0.02	0.47	0.25
	Buffer (400 m)	83,876	7.33	3	5.45	-0.30	0.02	-0.32	0.33	0.02	0.59	-0.53
	Buffer (500 m)	74,626	6.52	3	5.45	-0.18	0.01	-0.19	0.33	0.02	0.59	-0.32
	Buffer (>500 m)	645,828	56.44	17	30.91	-0.60	0.46	-1.06	0.06	0.03	0.29	-3.64
CTI	0-4	144,529	12.63	50	90.91	1.97	-2.26	4.24	0.02	0.2	0.47	9.03
	4-8	983,621	85.96	4	7.27	-2.47	1.89	-4.36	0.25	0.02	0.52	-8.39
	8–12	16,077	1.40	1	1.82	0.26	-0.004	0.26	1	0.02	1.01	0.26
	>12	54	0.005	0	0	None	0.0005	0	None	0.02	None	None
SPI	0-20	266,962	23.33	15	27.27	0.16	-0.05	0.21	0.07	0.03	0.30	0.69
	20-40	267,926	23.42	12	21.82	-0.07	0.02	-0.09	0.08	0.02	0.33	-0.28
	40-60	191,325	16.72	8	14.55	-0.14	0.03	-0.17	0.13	0.02	0.38	-0.43
	60–80	130,680	11.42	9	10.91	-0.05	0.006	-0.05	0.17	0.02	0.43	-0.12
	80–100	87,780	7.67	4	7.27	-0.05	0.004	-0.06	0.25	0.02	0.52	-0.11
	>100	199,608	17.44	10	18.18	0.04	-0.009	0.05	0.1	0.02	0.35	0.14
STI	0-10	271,966	23.77	16	29.09	0.20	-0.07	0.27	0.06	0.03	0.30	0.92
	10-20	362,255	31.66	17	30.91	-0.02	0.01	-0.03	0.06	0.03	0.29	-0.12
	20–30	267,619	23.39	12	21.82	-0.07	0.02	-0.09	0.08	0.02	0.33	-0.27
	30-40	139,582	12.20	5	9.09	-0.29	0.03	-0.33	0.2	0.02	0.47	-0.70
	40–50	58,732	5.13	4	7.27	0.35	-0.02	0.37	0.25	0.02	0.52	0.72
	>50	44,127	3.85	1	1.82	-0.75	0.02	-0.77	1	0.02	1.01	-0.77
Plan curvature	Concave	553,227	48.35	21	38.18	-0.24	0.18	-0.42	0.05	0.03	0.28	-1.50
	Convex	591,054	51.65	34	61.82	0.18	-0.24	0.42	0.03	0.05	0.28	1.50

STI and plan curvature. Both weights-of-evidence and certainty factor approaches were applied to analyse the landslide susceptibility using these 12 landslide conditioning factors.

For each of the conditioning factors, the weights and contrast were calculated using the weights-of-evidence method. The magnitude of the contrast, C, was determined from the difference,  $W^+$  and  $W^-$  that provided a measure of the spatial association between a set of points and a binary pattern (Bonham-Carter 1991). C is positive for a positive spatial association and negative for a negative spatial association. The studentised value of C, the ratio of Cto standard deviation or C/S(C), serves as a guide to the significance of the spatial association and acts as a measure of the relative certainty of the posterior probability (Bonham-Carter 1991). The weights and contrasts for each predictor pattern are summarized in Table 2. The contrast was set to the rating of each factor, as the contrast is related to the landslide probability. There were 1,144,281 total pixels in the study area. The ratio  $(W^+)$  is the percentage of landslides/percentage of the domain and C is the contrast.  $S^2(W^+)$  and  $S^2(W^-)$  are the variances of  $W^+$ and  $W^{-}$ . S(C) is the standard deviation of the contrast, and C/S(C) is the studentised value of the contrast (Table 2). The standard deviation of C is calculated by

$$S(C) = \sqrt{S^2(W^+) + S^2(W^-)}$$
(5)

The relationships between the landslides and the landslide-conditioning factors, contrast and studentised C are presented in Table 2. The pixel values obtained are then classified based on natural breaks in Arc GIS 9.3 software into low, moderate, high and very high susceptibility groups to determine the class intervals in the landslide susceptibility map (Fig. 7). Similarly, landslide susceptibility index was calculated using certainty factor model. The landslide distribution for each class, expressed by the number of occurring pixels, was used to calculate CF values. The results of spatial relationship between landslide and conditioning factors using certainty factor model is shown in Table 3. In Table 3, slope angle classes showed that  $0-5^{\circ}$ and 16-30° classes have higher CF weight. As the slope angle increases, the shear stress in the soil or other unconsolidated material generally increases. Gentle slopes are expected to have a low frequency of landslides because of the generally lower shear stresses are associated with low gradients. Steep natural slopes resulting from outcropping bedrock, however, may not be susceptible to shallow landslides. In the case of slope aspect, most of the landslides occurred in south-east and west facing. This condition may be consequence of humidity in the study area. This may be due to Haraz watershed is affected by pluvial air mass from



Fig. 7 Landslide susceptibility map produced by weights-of-evidence model

west and north to north-west. In the case of altitude, both 1,500-1,800 and 1,800-2,100 m classes have 34.54% and 40% of landslide probability and CF values of 0.603 and 0.338, respectively. Results showed that the CF values decreased with the altitude addition in the study area (Table 3). Investigation of lithological conditions showed that A group consisting of scree, young terraces and old terraces has higher value of CF (0.263). Similarly, group D ( $PE_z$  and  $PE_t$ ) has lower value of CF (-1). In the case of land use, higher CF value were for residential area (0.834) and mixing orchard and agriculture area (0.633) types of land use. This result referred to anthropogenic (human caused) interferences such as land use change. In the case distance to faults, distances between 0 and 100, 100-200 and 200-300 m have weight (CF) of 0.28, 0.482 and 0.654, respectively. This means that the landslide probability is higher in these intervals. Assessment of distance from streams and roads showed that distance of 0-100 m has high correlation with landslide occurrence. From this observation, we can say that the general trend of the CF value increases with the distance from the streams and roads. So, road construction and bank erosion are most important factors in slope imbalance causing frequent occurrence of landslides. Relation between TWI, and SPI and landslide probability showed that 0-4 and 0-20 classes have highest value of CF, respectively. Similarly, for sediment transport index, class between 40 and 50 has most CF value. The curvature values represent the morphology of the topography. A positive curvature is an upwardly convex cell, and a negative curvature is upwardly concave cell. Concave areas generally have a higher CF value than convex areas because slopes with a negative curvature retain more water and for a longer period following heavy rain than slopes with a positive curvature. The curvature area, in turn, will increase the moisture content of the soil,

Factor	Class	No. of pixels in domain	Percentage of domain	No. of landslide	Percentage of landslide	CF Value
Slope gradient (in degree)	0–5	13,851	1.21	1	1.82	0.334
	6–15	64,268	5.62	2	3.64	-0.353
	16–30	155,602	13.59	10	18.18	0.252
	31-50	343,634	30.03	19	34.55	0.131
	51-70	262,117	22.91	10	18.18	-0.206
	>70	304,809	26.64	13	23.64	-0.113
Slope aspect	North	149,997	13.12	5	9.09	-0.306
	Northeast	195,301	17.07	9	16.36	-0.041
	East	129,167	11.29	2	3.64	-0.678
	Southeast	171,144	14.95	16	29.09	0.486
	South	135,677	11.85	3	5.46	-0.54
	Southwest	131,718	11.51	9	16.36	0.297
	West	79,979	6.99	7	12.73	0.451
	Northwest	151,298	13.22	4	7.27	-0.45
Altitude (m)	1,200–1,500	28,463	2.49	0	0	-1
	1,500–1,800	157,018	13.72	19	34.54	0.603
	1,800–2,100	303,058	26.48	22	40	0.338
	2,100–2,400	305,844	26.73	7	12.73	-0.524
	2,400-2,700	208,321	18.20	6	10.91	-0.401
	2,700–3,000	125,384	10.96	1	1.82	-0.834
	>3,000	16,193	1.42	0	0	-1
Lithology	А	459,914	40.19	30	54.55	0.263
	В	153,621	13.43	3	5.45	-0.594
	С	147,386	12.88	2	3.64	-0.718
	D	19,655	1.72	0	0	-1
	Е	363,705	31.78	20	36.36	0.126
Land use	Best pasture	246,601	21.55	12	21.82	0.012
	Mix orchard and agriculture	152,518	13.33	20	36.36	0.633
	Residential	3,450	0.30	1	1.82	0.834
	Moderate pasture	741,712	64.82	22	40	-0.383
Distance to faults (m)	Buffer (100 m)	44,942	3.93	3	5.45	0.28
	Buffer (200 m)	43,132	3.77	4	7.27	0.482
	Buffer (300 m)	43,144	3.77	6	10.91	0.654
	Buffer (400 m)	44,914	3.92	2	3.64	-0.074
	Buffer (>400 m)	968,149	84.61	40	72.73	-0.14
Distance to streams (m)	Buffer (100 m)	263,584	23.03	33	60	0.616
	Buffer (200 m)	205,759	17.98	5	9.09	-0.494
	Buffer (300 m)	159,801	13.97	7	12.73	-0.089
	Buffer (400 m)	131,420	11.49	3	5.45	-0.525
	Buffer (>400 m)	383,717	33.53	7	12.73	-0.62
Distance to roads (m)	Buffer (100 m)	136,228	11.90	23	41.82	0.715
	Buffer (200 m)	110,283	9.64	4	7.27	-0.245
	Buffer (300 m)	93,440	8.17	5	9.10	0.102
	Buffer (400 m)	83,876	7.33	3	5.45	-0.256
	Buffer (500 m)	74,626	6.52	3	5.45	-0.164
	Buffer (>500 m)	645,828	56.44	17	30.91	-0.452
CTI	0-4	144,529	12.63	50	90.91	0.861
	4-8	983,621	85.96	4	7.27	-0.915

#### Table 3 (continued)

Factor	Class	No. of pixels in domain	Percentage of domain	No. of landslide	Percentage of landslide	CF Value
	8–12	16,077	1.40	1	1.82	0.227
	>12	54	0.005	0	0	-1
SPI	0–20	266,962	23.33	15	27.27	0.145
	20-40	267,926	23.42	12	21.82	-0.068
	40-60	191,325	16.72	8	14.55	-0.13
	60-80	130,680	11.42	6	10.91	-0.045
	80-100	87,780	7.67	4	7.27	-0.052
	>100	199,608	17.44	10	18.18	0.041
STI	0-10	271,966	23.77	16	29.09	0.183
	10-20	362,255	31.66	17	30.91	-0.024
	20-30	267,619	23.39	12	21.82	-0.067
	30-40	139,582	12.20	5	9.09	-0.255
	40-50	58,732	5.13	4	7.27	0.294
	>50	44,127	3.85	1	1.82	-0.529
Plan curvature	Concave	553,227	48.35	21	38.18	-0.21
	Convex	591,054	51.65	34	61.82	0.164

which will remain saturated, increase erosion and decrease soil stability (Fig. 8).

Landslide susceptibility maps without validation are of little meaningful (Chung and Fabbri 1998). In the literature, three methods of verification analyses have been presented. In the first method, a map produced by GIS is compared to another map prepared by experts using direct observations of the studied area. In the second method, the map obtained is compared with another parameter map that supports the geomorphic process mapped (Lee 2004). In the third method, the GIS-based map is matched with a part of the



Fig. 8 Landslide susceptibility map produced by certainly factor model

data set used to produce the GIS-based map. This approach to landslide susceptibility studies has been used by several authors (Remondo et al. 2003; Lee 2005; Ayalew and Yamagishi 2005; Akgun and Bulut 2007; Akgun et al. 2008; Akgun and Turk 2010). In this study, the landslide locations which were not used during the model building process were used to verify the landslide susceptibility maps.

The receiver operating characteristics (ROC) curve is a useful method for representing the quality of deterministic and probabilistic detection and forecasting systems (Swets 1988). The ROC curve is a graphical representation of the trade off between the false-negative and falsepositive rates for every possible cutoff value (Table 4). By tradition, the plot shows the false-positive rate (1 specificity) on the *x*-axis (Eq. 6) and the true-positive rate (the sensitivity or 1—the false-negative rate) on the *y*-axis (Eq. 7).

$$K = 1 - \text{specifity} = 1 - \left[\frac{\text{TN}}{\text{TN} + \text{FP}}\right]$$
(6)

$$Y = \text{sensivity} = \left[\frac{\text{TP}}{\text{TP} + \text{FN}}\right] \tag{7}$$

The area under the ROC curve (area under curve (AUC)) characterises the quality of a forecast system by describing the system's ability to anticipate the correct occurrence or non-occurrence of pre-defined 'events'. The best method

**Table 4** Parameters for the calculation of ROC curve (modified fromSwets (1988))

	Landslide bodies	Landslide free areas
Landslide occurrence based on calculated function	True positive (TP)	False positive (FP)
Safe areas based on calculated function	False negative (FN)	True negative (TN)

has a curve with the largest AUC; the AUC varies from 0.5 to 1.0. If the model does not predict the occurrence of the landslide any better than chance, the AUC would equal 0.5. An ROC curve of 1 represents perfect prediction. The quantitative-qualitative relationship between AUC and prediction accuracy can be classified as follows: 0.9-1, excellent; 0.8-0.9, very good; 0.7-0.8, good; 0.6-0.7, average; and 0.5-0.6, poor. The ROC curve for the weights-of-evidence and certainty factor models were produced based on the test data set, which was randomly collected from landslide inventory data (Yesilnacar 2005). The results of the ROC curve test are illustrated in Fig. 9. These curves indicate that, weights-ofevidence model (Fig. 9a) has relatively higher prediction performance than the certainty factor model. ROC plot assessment results showed that in the susceptibility map using weights-of-evidence model, the AUC was 0.7987 and the prediction accuracy was 79.87%. In the susceptibility map using CF model, the AUC was 0.7202 and the prediction accuracy was 72.02% (Fig. 9b). According to the results of the AUC evaluation, the map produced by weights-of-evidence exhibited satisfactory result for landslide susceptibility mapping.

#### Conclusions

In this study, two statistical models such as weight-ofevidence and certainty factor models were used for landslide susceptibility mapping and their performances were compared. In both these models, the data acquisition and analysis were relatively easy and not very time consuming. The modelling was applied to the Haraz catchments in Iran by considering 12 landslide conditioning factors. In the topographic database, the factors were slope gradient, slope aspect, altitude, plan curvature, distance from rivers, distance from roads, TWI, SPI and STI. The lithology and distance from faults was derived from the geological database. The land-use information was extracted from Landsat ETM<sup>+</sup> satellite imagery. An extensive landslide inventory map was produced. For this purpose, a landslide inventory database that is used to assess the landslide susceptibility of the study area, with a total of 78 landslides, was mapped in the study area. The landslide data was randomly spilt into training and testing dataset. Of the 78



Fig. 9 ROC curve and area under the curve **a** for the weights-ofevidence model, **b** certainty factor model

landslides identified, randomly 55 (70%) locations were chosen for the landslide susceptibility maps, while the remaining 23 (30%) cases were used for the model validation. The ROC curve for the block entry weights-of-evidence was produced based on the test dataset, which was randomly collected from the landslide inventory map. The validation results showed that the weights-of-evidence model has slightly higher predication accuracy, i.e. 7.85% (79.87-72.02%), which is better than the CF model. Here, the authors can conclude that the results of the weights-of-evidence model have shown the best prediction accuracy in landslide susceptibility mapping in the study area. Prepared landslide susceptibility maps could be the basis for decisions making. The information provided by these maps could help citizens, planners and engineers to reduce losses caused by existing and future landslides by means of prevention, mitigation and avoidance.

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