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## Barium: An Efficient Cathode Layer for Bulk-heterojunction Solar Cells

## SUBJECT AREAS:

PHOTONIC DEVICES

SOLAR CELLS

ELECTRONIC DEVICES

ELECTRONIC PROPERTIES AND  
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We report Barium (Ba) cathode layer for bulk-heterojunction solar cells which enhanced the fill factor (FF) of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cell up to 75.1%, one of the highest value reported for an organic solar cell. The external quantum efficiency exceeds 80%. Analysis of recombination mechanisms using the current-voltage (*J*-*V*) characteristics at various light intensities in the BHJ solar cell layer reveals that Ba prevents trap assisted Shockley-Read-Hall (SRH) recombination at the interface and with different thicknesses of the Ba, the recombination shifts towards bimolecular from monomolecular. Moreover, Ba increases shunt resistance and decreases the series resistance significantly. This results in an increase in the charge collection probability leading to high FF. This work identifies a new cathode interlayer which outclasses all the reported interlayers in increasing FF leading to high power conversion efficiency and has significant implications in improving the performance of BHJ solar cells.

**B**ulk-heterojunction (BHJ) organic solar cells are of significant interest for sun-light harvesting devices because of the potential for low cost of manufacturing of large areas through roll-to-roll coating technologies on flexible substrates<sup>1-6</sup>. The power conversion efficiency PCE of a solar cell is determined by three key parameters i.e. open circuit voltage ( $V_{oc}$ ), the short circuit current ( $J_{sc}$ ) and the fill factor (FF).  $V_{oc}$  is correlated with the energy difference between the highest occupied molecular orbital (HOMO) of the donor (conjugated polymer or small molecule) and the lowest unoccupied molecular orbital (LUMO) of the acceptor (typically a fullerene derivative) whereas  $J_{sc}$  is determined by the absorption spectrum and absorption depth of the organic donor and the transport of photogenerated carriers through the morphology of the film. In order to achieve high  $J_{sc}$  and  $V_{oc}$  in BHJ solar cells, low band gap polymers and solution processable small molecules (for use in Tandem Cells) have been developed with PCE exceeding 7%<sup>7-10</sup>. It is, however, necessary to find ways to improve the FF for achieving higher PCE values<sup>11</sup>.

Interlayers play a significant role in improving the FF by minimizing the contact resistance and charge recombination and for enabling efficient extraction (or blocking) of holes and electrons. The use of interlayers circumvents the direct contact between the photoactive donor (polymer or small molecule) and electrodes where high densities of carrier traps and interface dipoles can hinder efficient charge collection. Consequently, a significant effort in interfacial engineering has developed using metal oxides (MoO<sub>3</sub>, CsCO<sub>3</sub>, TiO<sub>x</sub>, NiO, WO<sub>3</sub>, V<sub>2</sub>O<sub>5</sub> and ZnO, Ca)<sup>12-18</sup>, LiF<sup>19,20</sup>, bathocuproine (BCP)<sup>21,22</sup>, and self-assembled monolayers (SAMs)<sup>23</sup>. Although several metal oxides have been studied as anode interlayer or hole transport layer (HTL), poly(3,4-ethylene dioxythiophene):(polystyrene sulfonic acid) (PEDOT:PSS) is most commonly used as the anode interlayer or hole transporting layer (HTL) due to its high work function and compatibility with ITO. However, the cathode interlayer remains an open area for research due to unavailability of low work function materials that can effectively block holes. Recently, conjugated polyelectrolytes (CPEs), which are conjugated polymers with pendent group bearing ionic functionalities<sup>24-27</sup>, have been shown to improve the PCE to values in excess of 8%<sup>6,28</sup>.

In this work, we demonstrate that pure Barium (Ba) metal (capped by Aluminum) as the cathode layer improves the FF to 75.1%. This high FF BHJ solar cell is based on the small molecule donor, 7,7'-(4,4-bis(2-ethylhexyl)-4H-silolo[3,2-b:4,5-b']dithiophene-2,6-diyl)bis(6-fluoro-4-(5'-hexyl-[2,2'-bithiophen]-5-yl)benzo[c][1,2,5]thiadiazole), (p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>)<sup>9</sup>. With the insertion of a 10–20 nm thick Ba layer capped by Aluminum (100 nm), the FF increases from 56% to 74.2~75.1% and the PCE increases from 5.86% to 7.78~8.57%. The results are compared with state-of-the-art Ca/Al devices for the same thicknesses.



## Results

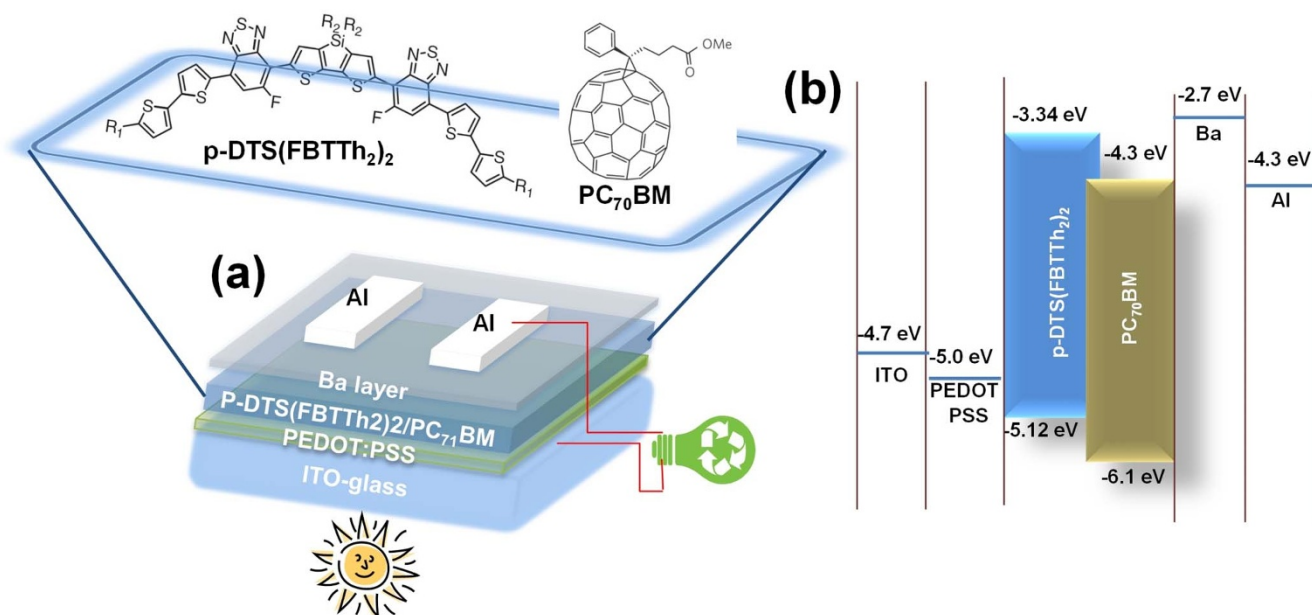
The molecular structure of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub> and PC<sub>71</sub>BM, the device structure and the energy levels of the components used in the devices are shown in **Figure 1a, b**, respectively. A blend of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub> and [6-6]-phenyl C<sub>71</sub> butyric acid methyl ester (PC<sub>71</sub>BM) in 6 : 4 ratio is used as the active layer for device fabrication as detailed in method section. We used different thicknesses of Ba (10, 20, 30 nm) between p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>: PC<sub>71</sub>BM photoactive blend and the Aluminum (100 nm) capping layer and studied the device performance.

**Figure 2a** and **Table 1** shows the current density versus voltage (*J*-*V*) characteristics of the SM BHJ solar cells under 100 mW/cm<sup>2</sup> (AM 1.5 G) illumination and an aperture area of 4.5 mm<sup>2</sup> with a device configuration ITO (20 Ω/sq)/PEDOT:PSS/p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM/(Ba)/Al with Ba interlayer thicknesses of 0 nm, 10 nm, 20 nm and 30 nm. The control device (without Ba interlayer) exhibited *J*<sub>sc</sub> = 13.51 mA/cm<sup>2</sup>, *V*<sub>oc</sub> = 0.779 V, and a FF = 56%. These values correspond to a PCE = 5.86%. After inserting the Ba cathode layer (10 nm), the device performance improves to FF = 75.1%, and PCE = 7.78%. For similar thickness of Ca layer, the device exhibited *J*<sub>sc</sub> = 13.90 mA/cm<sup>2</sup>, FF = 64.6% and a PCE = 7.01%. An increase in the thickness of Ba cathode interlayer to 20 nm improves the PCE to 8.57% with FF = 74.2%, whereas 20 nm Ca leads to a PCE = 7.58% with FF = 67.5%. Most of the increase in the performance of the 20 nm Ba device comes from the ≈10.7% and ≈32.5% enhancements in *J*<sub>sc</sub> and FF values, respectively. A further increase in the Ba thickness to 30 nm, causes the FF to decrease to 68.8% with PCE = 8.13%, whereas 30 nm Ca gives PCE = 7.36% with FF = 62.7% (**Table 1**). **Figure 2b** and in ( ) of the **Table 1**, the current density versus voltage (*J*-*V*) characteristics of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ (10 nm Ba) measured without aperture, is shown for comparison. The PCE jumped to 9.02% for 10 nm Ba, respectively. The Fill factor is not changed much. One needs to be cautious that in the absence of an aperture, the entire film is illuminated. Due to that, a significant number of charge carriers outside of the area of the electrode would also flow towards the electrode and contribute to the current and the actual area contributing to the photocurrent would be larger than the Al-electrode area. Therefore the PCE values can be exaggerated.

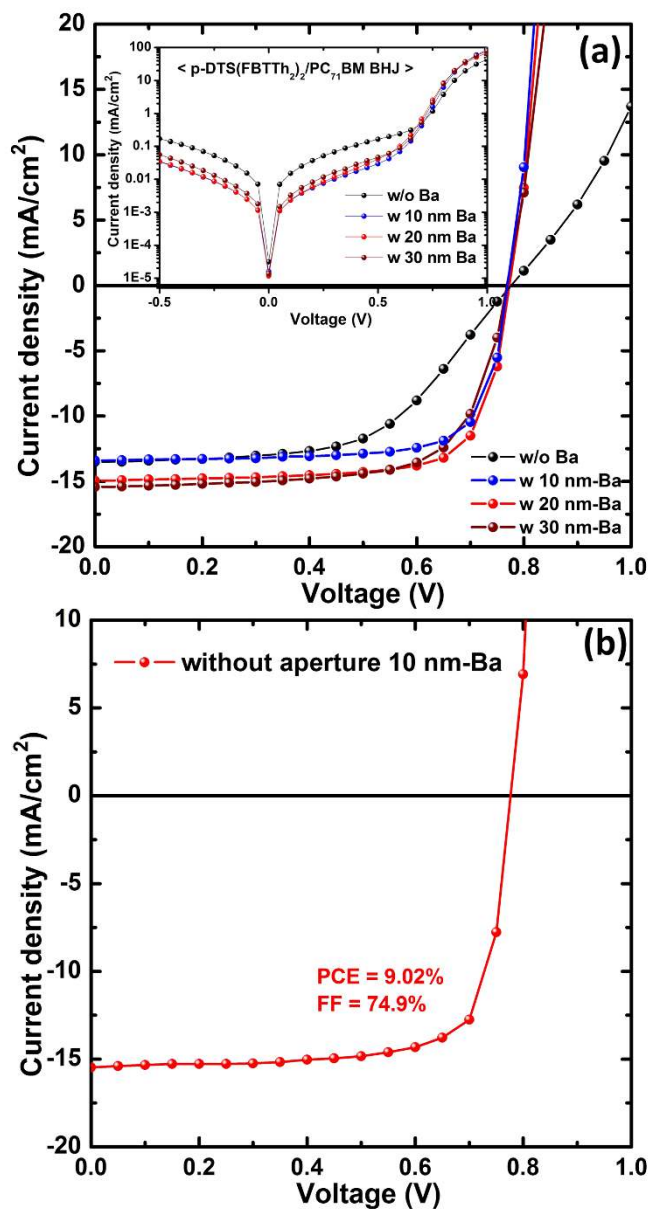
As shown in the **Figure 2a (inset)** and **3a**, the insertion of the Ba layer (10 nm) reduces the series resistance from 10 Ωcm<sup>2</sup> to 0.9 Ωcm<sup>2</sup> and increases the shunt resistance from 0.5 kΩcm<sup>2</sup> to 5 kΩcm<sup>2</sup>, which leads to 75.1% FF. for 20 nm Ba, the series resistance = 1.2 Ωcm<sup>2</sup> and shunt resistance = 10.6 kΩcm<sup>2</sup>, leading to PCE = 8.57%. A further increase in the thickness of Ba to 30 nm leads to a large increase in the series resistance to 1.2 Ωcm<sup>2</sup> and decrease in the shunt resistance to 2.9 kΩcm<sup>2</sup>, leading to a drop in the PCE to 8.13%. Therefore, the resistance change due to the Ba layer plays pivotal role in increasing the FF and PCE. The dark *J*-*V* curves (**inset of Figure 2a**) also show excellent diode characteristics with very low leakage current and high rectification ratio (forward to reverse factor of approx. 100). The improvement in *J*<sub>sc</sub> is also in agreement with the external quantum efficiency (EQE) measurements (**Figure 3b**). The EQE value exceeds 80% over the wavelength range 600 nm and 700 nm for devices with 20 nm Ba.

## Discussion

To understand the increased FF and *J*<sub>sc</sub>, the recombination kinetics were probed by measurements of the light intensity dependence of the *J*-*V* characteristics<sup>29,30</sup>. Such studies are known to provide information on the relative importance of different recombination mechanisms such as Shockley-Read-Hall (SRH) monomolecular recombination and bimolecular recombination<sup>29–37</sup>. We measured the *J*-*V* characteristics in the SM BHJ solar cells at different illumination intensities (100 to 0.1 mW/cm<sup>2</sup>) without Ba and with different thicknesses of the Ba layer. **Figures 4a, b** show the *J*-*V* curve of the DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM device without and with the 20 nm Ba layer, respectively. The Ba layer affects the shape of *J*-*V* curve which is strongly correlated with the recombination mechanism<sup>35</sup>. At open circuit (low external bias), bimolecular recombination is clearly dominant. Analysis of the recombination mechanism at short circuit is complicated by the simultaneous sweep-out during recombination. Because the sweep-out is approximately linear in intensity, recombination shows up only as a small deviation from linearity. With the Ba layer (**Figure 4b**), the photocurrent saturates quickly at low reversed biases: Nearly 100% of the photogenerated carriers are swept out prior to recombination. However, the current saturation rate is slower without the Ba layer (**Figure 4a**), and the



**Figure 1** | (a) The device structure of the inverted p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM solar cell. Inset shows the molecular structures of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub> and PC<sub>71</sub>BM. (b) Energy level diagram of SM-BHJ solar cells with sequential layers of ITO/PEDOT:PSS/p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM BHJ/Ba layer/Al cathode.



**Figure 2** | *J*–*V* characteristics of the p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cells with various Ba thicknesses under AM 1.5 G irradiation at 100 mW/cm<sup>2</sup> (a) with aperture and (inset) is the dark current. (b) without aperture.

photogenerated carriers are not swept-out completely near  $J_{sc}$ . Thus, the Ba layer favors the sweep-out in competition with recombination, thus suppressing recombination and leading to higher FF.

Insight into the recombination mechanism can be obtained by measuring  $V_{oc}$  as a function of the light intensity<sup>29,37</sup>. At  $V_{oc}$ , the photocurrent is zero, and all photogenerated carriers recombine within the cell. Thus, recombination studies at  $V_{oc}$  can provide detailed information of various mechanisms. The  $V_{oc}$  and light intensity ( $I$ ) are correlated by the following expression

$$V_{oc} = \frac{1}{e} \left( E_{LUMO}^{Fullerene} - E_{HOMO}^{Polymer} - \Delta \right) - \frac{kT}{e} \ln \left( \frac{n_e n_h}{N_c^2} \right) \quad (1)$$

where  $n_e n_h$  is proportional to the light intensity,  $e$  is the elementary charge,  $k$  is the Boltzman constant,  $T$  is temperature in Kelvin,  $n_e$  and  $n_h$  are the electron and hole densities in the fullerene and polymer domains at open circuit, and  $N_c$  is the effective density of states and  $\Delta$  represents the relatively small band tailing to energy below the acceptor LUMO. Thus, for bimolecular recombination<sup>29</sup>

$$\delta V_{oc} = \left( \frac{kT}{e} \right) \ln(I) + const. \quad (2)$$

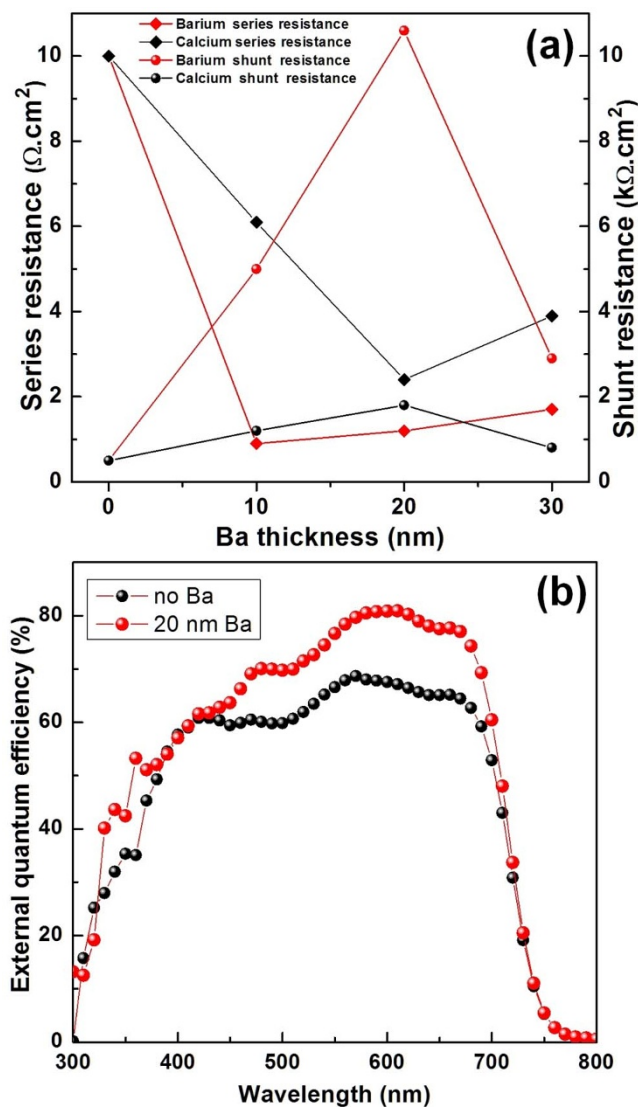
where  $I$  is the incident light intensity, This implies that the slope of  $V_{oc}$  versus  $\ln(I)$  is equal to  $kT/e$  for bimolecular recombination. In the case of monomolecular SRH recombination, a stronger dependence of  $V_{oc}$  on the light intensity is observed and in that case  $n_e$  and  $n_h$  (at  $V_{oc}$ ) would each be proportional to the intensity and the slope of  $V_{oc}$  versus  $\ln(I)$  is equal to  $2kT/e$ .

**Figure 5a** shows the  $V_{oc}$  versus light intensity relationship for devices with 0, 10 nm, 20 nm and 30 nm thick Ba layers. For SM BHJ without Ba, a strong dependence of  $V_{oc}$  on light intensity is observed where the recombination at the open circuit is a combination of monomolecular (slope = 1.99 kT/e) and bimolecular processes (slope = 1.32 kT/e). The SRH recombination due to trap states can be clearly observed at low light intensities ( $\approx 1$  mW/cm<sup>2</sup>), where the cell's efficiency becomes highly dependent on the bias and light intensity (slope = 1.99 kT/e). The use of 10 nm Ba layer significantly reduces the trap assisted recombination with reduced slopes of 1.21 kT/e and 1.38 kT/e at high and low light intensities, respectively. A further increase in the Ba thickness to 20 and 30 nm effectively blocked monomolecular recombination with the slope nearly equal to  $kT/e$ . This is consistent with the decreased series resistance after insertion of Ba layer (Table 1) which is a direct evidence of the role of Ba to improve the device performance. Now we look into the charge recombination kinetics at short circuit for SM BHJ and the 20 nm Ba SM BHJ. The  $J_{sc}$  can be correlated to illumination intensity ( $I$ ) by,

**Table 1** | Device efficiency and electrical parameters of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM SM-BHJ solar cells without Ba and with different thicknesses of Ba layer

Thickness (nm)	Type of layer	$V_{oc}$ [V]	$J_{sc}$ [mA/cm <sup>2</sup> ]	FF [%]	PCE [%]	$R_s$ [ $\Omega$ cm <sup>2</sup> ]	$R_{sh}$ [k $\Omega$ cm <sup>2</sup> ]
0	-	0.776	13.51	56.0	5.86	10.0	0.5
		(0.778)	(15.63)	(55.8)	(6.78)	(10.5)	(0.4)
10	Ba	0.772	13.40	75.1	7.78	0.9	5.0
		(0.779)	(15.47)	(74.9)	(9.02)	(1.0)	(4.8)
	Ca	0.775	13.45	66.7	6.95	6.1	1.2
20	Ba	0.773	14.96	74.2	8.57	1.2	10.6
	Ca	0.778	14.21	67.1	7.41	2.4	1.8
30	Ba	0.768	15.43	68.8	8.13	1.7	2.9
	Ca	0.775	15.16	62.7	7.36	3.9	0.8

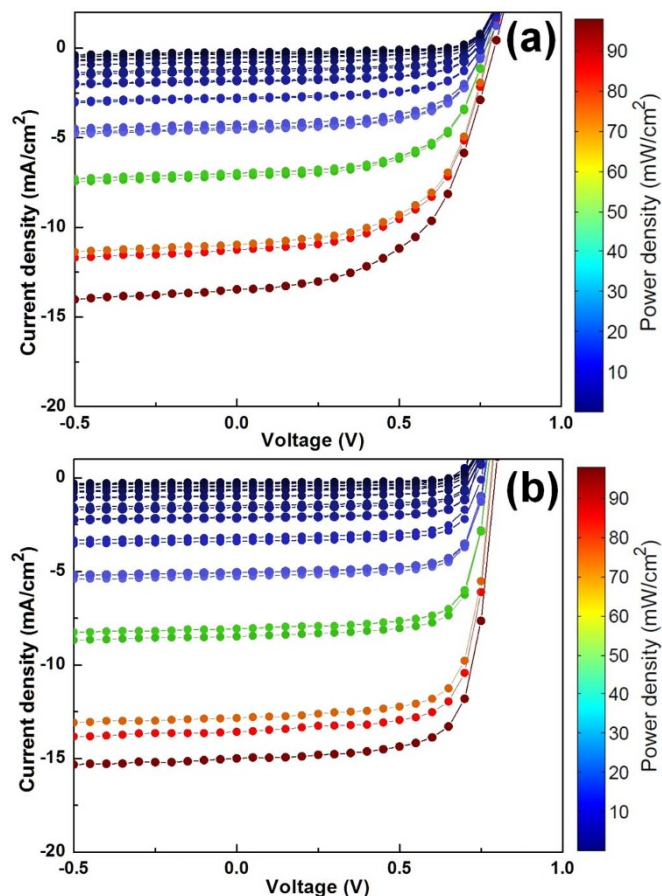
Values shown in the () are without aperture.



**Figure 3** | (a) Electrical resistance parameters of the p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cells as a function of thickness. (b) External quantum efficiency (EQE) spectra of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cells without Ba and with Ba 20 nm.

$$J_{SC} \propto I^\alpha (\alpha \leq 1) \quad (3)$$

At short circuit, the bimolecular recombination should be minimum ( $\alpha \approx 1$ ) for maximum carrier sweep out. Any deviation from  $\alpha \approx 1$  implies bimolecular recombination<sup>38–40</sup>. **Figure 5b** shows  $J_{sc}$  vs.  $I$  on log-log scale fitted using the power law described above. The fitting of the data yield  $\alpha = 0.914$  for SM BHJ, which can be attributed to bimolecular recombination. After 20 nm Ba deposition,  $\alpha$  is 0.993, which imply that bimolecular recombination is close to minimum. This is correlated with observed increase in the shunt resistance (Table 1) and high collection probability at short circuit. Another factor that can also contribute to the faster sweep out is the internal voltage ( $V_{int}$ ). In the dark (**Inset of Figure 2a**), the device with Ba layer shows a turn-on voltage of  $\approx 0.65$  V whereas it is only  $\approx 0.45$  V for control device, indicating a significant increase in the built-in potential ( $V_{bi}$ ) due to the lower work function of the Ba (2.7 eV), compared to Al (4.3 eV). A larger  $V_{bi}$  means a larger  $V_{int}$ , which might also support the charge carriers escape shallow traps. The hole blocking efficiency for devices with 0, 10 nm, 20 nm and 30 nm thick Ba layer are shown in **Figure 6**. The devices without Ba layer nearly break down at 3 V reverse bias whereas devices with



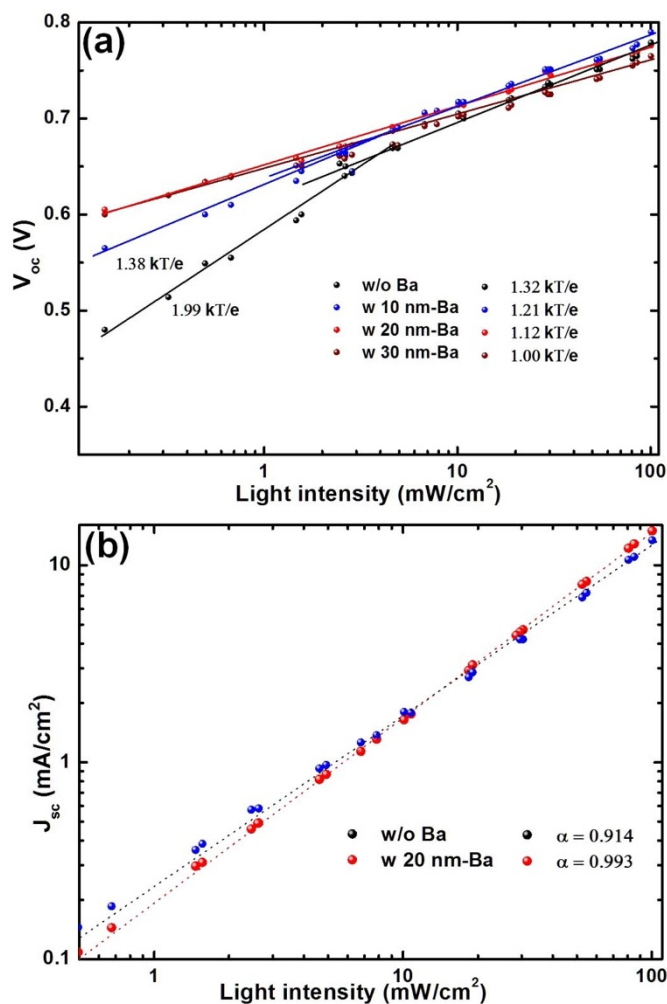
**Figure 4** | J–V characteristics of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM solar cells with (a) 0 nm Ba & (b) 20 nm Ba, under various light intensities ranging from 100 mW/cm<sup>2</sup> to 0.5 mW/cm<sup>2</sup>.

Ba show very stable photocurrent generation at reverse bias. All the encapsulated Ba devices are stable and show no sign of degradation over a period of two weeks.

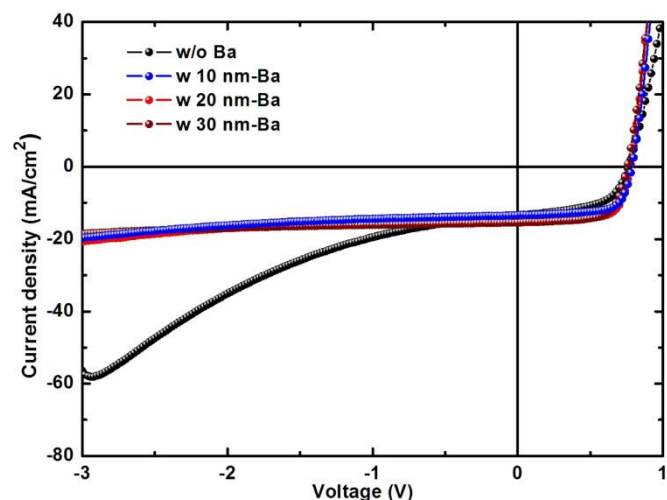
In conclusion, the Ba interlayer between the Aluminum and organic active layers significantly improve the FF and hence the PCE of the SM BHJ. The maximum increase in the FF was nearly 33% (FF = 75%) and the maximum increase in PCE was 46% (PCE = 8.6%), respectively. We attribute this improvement in the device performance to a decrease in the series resistance and an increase in the shunt resistance. Intensity dependence of current-voltage characteristics shows suppression of the trap assisted recombination at short circuit leads to increased charge collection. An increase in the built-in potential ( $V_{bi}$ ) after insertion of the Ba layer due to the lower work function of Ba (2.7 eV), compared to Al (4.3 eV) further assists faster sweep-out. Ba shows excellent hole-blocking even at high biases and outperforms all the other reported cathode interlayers in improving the device fill factor.

## Methods

**Device fabrication.** The SM-BHJ solar cells were prepared by several experimental steps. First the ITO substrate (20 Ω/sq) was cleaned by detergent, acetone and isopropyl alcohol with ultra-sonication. After exposing the cleaned ITO to the UV/ozone treatment for 15 min. to reform the surface, the hole transport material of PEDOT:PSS (Clevious PH) was spin-coated at 5000 rpm for 40 s to obtain the film thickness of  $\sim 30$  nm. The p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>:PC<sub>71</sub>BM (donor:acceptor) blend solution was prepared from the weight ratio of 60 : 40 and total 35 mg/ml in CB with 0.4 v% of DIO processing additive. After stirring overnight at 60 °C, the blend was heated to 90 °C for 15 min before spin casting. The BHJ film was obtained from spin casting the solution at 2000 rpm for 60 s. The coated BHJ films were baked to 80 °C for 10 min to evaporate residual solvent. Before deposition, Ba was evaporated under vacuum condition of  $4 \times 10^{-6}$  torr without substrate for few minutes to remove any



**Figure 5** | (a) The measured  $V_{oc}$  of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM solar cells with Ba 0 nm, 10 nm, 20 nm and 30 nm as a function of illumination intensity (symbols), together with linear fits to the data (solid lines). (b) Measured  $J_{sc}$  of p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cells without Ba and with 20 nm Ba plotted against light intensity (symbol) on the logarithmic scale and fitted power law (line) yield  $\alpha$ .



**Figure 6** | (a)  $J$ - $V$  characteristics of the p-DTS(FBTTh<sub>2</sub>)<sub>2</sub>/PC<sub>71</sub>BM BHJ solar cells with Ba 0 nm, 10 nm, 20 nm and 30 nm under AM 1.5 G irradiation at 100  $\text{mW}/\text{cm}^2$ .

oxide impurity from its surface. After Ba deposition (10, 20, 30 nm), the Al cathode was deposited to  $\sim 100$  nm at the same vacuum condition of  $4 \times 10^{-6}$  torr. The fabricated solar cells were encapsulated with epoxy and cover glass.

**Device measurements.** The  $J$ - $V$  characteristics of the solar cells were measured by a Keithley 2400 sourcemeter unit. The light source was calibrated by using silicon reference cells with an AM 1.5 G solar simulator with an intensity of 100  $\text{mW}/\text{cm}^2$ . During the testing, an aperture with an area of 4.5  $\text{mm}^2$  (total electrode size 5  $\text{mm}^2$ ) was used to accurately measure the performance of solar cells. In order to test the solar cells under various light intensities, the intensity of the light was modulated with a series of two neutral density filters wheels of six filters apiece, allowing for up to 35 steps in intensity from 100 to 0.1  $\text{mW}/\text{cm}^2$ . The intensity of light transmitted through the filter was independently measured via a power meter. All solar cells were tested in ambient air.

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## Author contributions

A.J.H. suggested the idea of the project. V.G. did device fabrication, measurement, data analysis and characterization with the support of A.K.K.K. and D.H.W. All authors actively participated in the discussion, and V.G. wrote the initial draft of the manuscript, A.J.H., G.C.B. and S.C. were involved in discussion, supervised the project and wrote the final draft of the manuscript. All the authors reviewed the manuscript.

## Additional information

**Competing financial interests:** The authors declare no competing financial interests.

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