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Enzymatic production of fully deacetylated chitooligosaccharides and their neuroprotective and anti-inflammatory properties

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Keywords

Chitooligosaccharides; Chitosan; Chitosanolytic enzymes; Bioactive oligosaccharides; Chitosanase; Neuroprotective substances.

ABSTRACT

Among several commercial enzymes screened for chitosanolytic activity, Neutrase 0.8L (a protease from *Bacillus amyloliquefaciens*) was selected in order to obtain a product enriched in deacetylated chitooligosaccharides (COS). The hydrolysis of different chitosans with this enzyme was followed by size exclusion chromatography (SEC-ELSD), mass spectrometry (ESI-Q-TOF), and high-performance anion-exchange chromatography with pulsed amperometric detection (HPAEC-PAD). Neutrase 0.8L converted 10 g/L of various chitosans into mostly deacetylated oligosaccharides, yielding approximately 2.5 g/L of chitobiose, 4.5 g/L of chitotriose and 3 g/L of chitotetraose. We found out that the neutral protease was not responsible of the chitosanolytic activity in the extract, whilst it could participate in the deacetylating process. The synthesized COS were tested *in vitro* for their neuroprotective (towards human SH-S5Y5 neurons) and anti-inflammatory (in RAW macrophages) activities, and compared with other functional ingredients, namely fructooligosaccharides.

36 1. INTRODUCTION

37 Chitin, the second most abundant polymer in nature after cellulose, is formed by N-
38 acetyl-glucosamine (GlcNAc) moieties linked by $\beta(1\rightarrow4)$ bonds. It is a cheap and
39 available feedstock that can be obtained from crustacean shells (more than 10,000 tons
40 per year) and fungi (Hamed et al. 2016); however, its applicability is limited by its low
41 aqueous solubility. Total or partial deacetylation of chitin, by chemical or enzymatic
42 methods, yields chitosan, a biodegradable and non-toxic biopolymer that is employed as
43 drug carrier (Varshosaz et al. 2006), in bone repair (Jayakumar et al. 2010, Muzzarelli
44 2009), gene therapy (Jayakumar et al. 2010, Muzzarelli 2009) and as enzyme support
45 (Sjoholm et al. 2009). Chitosan also exhibits antimicrobial, antifungal or anti-
46 hypercholesterolemic activities (Aranaz et al. 2014, Xia et al. 2011), which are
47 dependent on its molecular weight (MW) and deacetylation degree (DD). However,
48 some applications of chitosan are limited by its high viscosity and low aqueous
49 solubility at neutral pH.

50 Chitooligosaccharides (COS) are obtained by partial hydrolysis of chitosan and are
51 formed by random GlcNAc and D-glucosamine (GlcN) units. COS present a degree of
52 polymerization (DP) lower than 20 ($MW \leq 4000$), are soluble in water and display
53 antimicrobial, antioxidant, antiviral, antiangiogenic, antitumoral and prebiotic properties
54 (Sanchez et al. 2017, Zou et al. 2016). Their properties strongly depend on the DP and
55 DD, although the structure-function relationships are still quite unknown (Mateos-
56 Aparicio et al. 2016, Mengibar et al. 2011, Mengibar et al. 2013, Xiong et al. 2009). For
57 that reason, the source of chitosan and the hydrolytic method exert a significant
58 influence on COS properties. Some studies suggested that COS might exert
59 neuroprotective effect in rat cortical neurons against Cu^{2+} -induced cellular oxidative
60 stress (Xu et al. 2010), as well as in glucose deprivation-induced cell apoptosis (Xu
61 et al. 2011). Recently, the neuroprotective activity of COS with $DP < 10$ was assessed in a
62 human neuronal cell line showing potential application in therapies against Alzheimer's
63 disease (Huang et al. 2015).

64 The hydrolysis of chitosan can be achieved by physical, chemical or enzymatic
65 methods. The two first require extreme reaction conditions and the composition of the
66 final product is difficult to control (Yang et al. 2014). On the other hand, the use of
67 enzymes involves milder conditions (moderate temperature, neutral or slightly acidic
68 pH, atmospheric pressure, etc.) and a better reproducibility of the process.

69 Several chitosan-hydrolyzing enzymes have been reported, including chitosanases
70 (EC 3.2.1.132, the most specific ones), chitinases (EC 3.2.2.14), exo- β -
71 glucosaminidases (EC 3.2.1.165), exo- β -N-acetylglucosaminidases (EC 3.2.1.52) and
72 chitin deacetylases (EC 3.5.1.41). Interestingly, chitosan is also susceptible to the attack
73 of non-specific enzymes such as lysozyme, proteases, endoglucanases, pectinases and
74 even lipases (Kittur et al. 2003, Thadathil et al. 2014). Chitosanases are endo-acting
75 enzymes that belong to the GH5, GH8, GH46, GH75 and GH80 glycoside hydrolase
76 (GH) families (CAZy database) and are classified into three subclasses depending on
77 their specificity towards GlcN-GlcN and GlcNAc-GlcN linkages. Exo- β -
78 glucosaminidases and exo- β -N-acetyl-hexosaminidases cleave GlcN and GlcNAc,
79 respectively, from the non-reducing end of chitosan (Thadathil et al. 2014).

80 Although some chitosanases –mainly from *Bacillus sp.* (Choi et al. 2004)– have
81 been isolated and cloned in heterologous microorganisms for overexpression
82 (Pechsrichuang et al. 2013), further optimization is still required for their use in
83 industry. Interestingly, some commercial enzyme preparations are able to hydrolyze
84 chitosan (Pantaleone et al. 1992). Such activity is usually low, probably because

85 chitosanalytic activity represents a minor contribution to total enzyme activity in such
86 extracts (Fu et al. 2003).

87 In this work we describe the screening of chitosanalytic activity in a series of
88 commercial enzymes, followed by the identification and quantification (by the
89 combined use of chromatographic and mass spectrometry techniques) of the COS
90 synthesized by a neutral protease preparation. The neuroprotective and anti-
91 inflammatory activities of the synthesized COS were further assessed.

92 **2. MATERIALS AND METHODS**

93

94 **2.1. Enzymes and chemicals**

95 Rapidase TF and Klerzyme 150 were kindly donated by DSM (Heerlen, NL).
96 Pectinex Ultra SP-L, Neutrase 0.8L, NovoShape, Ultraflo L, Shearzyme 2X, Pentopan
97 Mono Conc. BG, Flavourzyme and Alcalase were gracefully donated by Novozymes
98 (Bagsvaerd, Denmark). β -Glucanase from *Bacillus amyloliquefaciens* (E-CELBA) was
99 acquired from Megazyme (Wicklow, Ireland). Chitosan CHIT100 (100-300 kDa, DD \geq
100 90%) and CHIT600 (600-800 kDa, DD \geq 90%) were acquired from Acros Organics
101 (Thermo Fischer Scientific Inc., Waltham, MA). Chitosan QS1 (98 kDa, 81% DD) and
102 QS2 (31 kDa, 77% DD) were produced by InFiQuS. COS standard (MW \leq 2000, DD \geq
103 90%) was purchased from Qingdao BZ Oligo Biotech Co. Ltd. (China). D-Glucosamine
104 (GlcN), N-acetyl-glucosamine (GlcNAc) and papain were purchased from Sigma-
105 Aldrich (St. Louis, MO). 1-Kestose (GF2), 1^F-fructofuranosyl-fructose (GF4),
106 chitobiose, chitotriose, chitotetraose, and chitopentaose were purchased from
107 Carbosynth Ltd. (Berkshire, UK). All other reagents were of the highest purity grade.

108 **2.2. Activity assay**

109 Chitosanalytic activity was determined by detection of reducing sugars with a
110 modified 3,5-dinitrosalicylic acid (DNS) method (Ghazi et al. 2007). Prior to the assay,
111 low-molecular-weight contaminants in the enzyme samples were removed with a PD-10
112 desalting column (GE Healthcare, Uppsala, Sweden). Reactions were performed in 1.5
113 mL centrifuge tubes by addition of 200 μ L of enzyme to 800 μ L of 1% (w/v) chitosan
114 CHIT100 dissolved in 50 mM sodium acetate buffer (pH 5.0). Tubes were incubated at
115 50°C and 1,400 rpm in a Thermo Shaker TS-100 (Boeco, Hamburg, Germany) and
116 reactions were stopped by addition of 0.25 M NaOH in a 1/1 (v/v) ratio. The addition of
117 NaOH also caused the precipitation of the remaining polysaccharide, which was
118 removed by centrifugation at 5,000x g for 10 min. The quantification of reducing sugars
119 in the supernatant was carried out by the DNS method in a 96-well microplate with a
120 calibration curve of D-glucosamine. One unit of activity (U) corresponded to the release
121 of one μ mol of reducing sugars per minute.

122 **2.3. SDS-PAGE and zymogram**

123 Proteins were visualized by electrophoresis in denaturing conditions in 12%
124 acrylamide gels. Samples were prepared as follows: 15 μ L of commercial preparation
125 conveniently diluted was mixed with 5 μ L of 4x loading buffer with β -mercaptoethanol
126 and heated for 10 min at 96°C. Gel was stained with ProtoStain Blue (National
127 Diagnosis, USA) and bands were compared with molecular weight markers (Precision
128 Plus Protein™ All Blue Pre-stained Protein Standards, BioRad, USA).

129 Chitosanalytic activity in gel was assayed by native polyacrylamide gel
130 electrophoresis in 12% gels without SDS containing 0.1% (w/v) glycol chitosan
131 following the Laemmli method (Laemmli 1970). After electrophoresis the gel was

132 soaked in 100 mM sodium acetate buffer (pH 5.0) with 1% (v/v) Triton X-100 and
133 incubated for 2 h at 37°C. The gel was washed with distilled water and stained with
134 Congo red (0.1%). The contrast was enhanced for the development of dark blue colour
135 with the addition of 5% (v/v) acetic acid. Chitosanolytic activity was observed as a clear
136 area against a dark blue background.

137 **2.4. Analysis of chitosan hydrolysis by SEC-ELSD**

138 To 960 µL of a 1% (w/v) chitosan solution in 50 mM sodium acetate buffer (pH
139 5.0), Neutrased (40 µL) was added. Reactions were incubated at 50°C in 1.5 mL
140 centrifuge tubes at 900 rpm with orbital shaking. At different times, aliquots were taken,
141 diluted with water, and filtered with 0.45 µm cellulose filters (Analysis Vnicos,
142 Tomelloso, Spain). Samples were analyzed by size exclusion chromatography (SEC)
143 using a ternary pump (Varian) and a PolySep-4000 column (7.8 x 300 mm,
144 Phenomenex, Torrance, CA) coupled to an evaporative light scattering detector (ELSD
145 2000ES, Alltech). Mobile phase was 0.25 M ammonium acetate (pH 4.7) at 0.6
146 mL/min. ELSD conditions were set at 115°C and a nitrogen flow of 3.5 L/min.

147 **2.5. Characterization and quantification of COS by HPAEC-PAD**

148 Reactions were performed as described in Section 2.4. In this case, aliquots were
149 mixed with 0.25 M NaOH in a 1/1 (v/v) ratio to stop the reaction and to precipitate the
150 remaining polysaccharide, which was removed by centrifugation at 5,000x g for 10 min.
151 The supernatant was diluted with water (2.5 mM NaOH final concentration) and
152 analyzed by HPAEC-PAD on a Dionex ICS3000 system (Dionex, Thermo Fischer
153 Scientific Inc., Waltham, MA) consisting of an SP gradient pump, an electrochemical
154 detector with a gold working electrode and Ag/AgCl as reference electrode, and an
155 autosampler (model AS-HV). All eluents were degassed by flushing with helium. A
156 peculiar anion-exchange 4 x 250 mm Carbo-Pack PA-200 column (Dionex) connected
157 to a 4 x 50 mm CarboPac PA-200 guard column was used at 30°C. Eluent preparation
158 was performed with Milli-Q water and NaOH. The initial mobile phase was 4 mM
159 NaOH at 0.3 mL/min for 30 min. Then, column was washed for 20 min at 0.5 mL/min
160 with a solution containing 100 mM sodium acetate and 100 mM NaOH, and further
161 equilibrated with 4 mM NaOH. The chromatograms were analyzed using Chromeleon
162 software. The identification and quantification of the different carbohydrates was done
163 on the basis of commercially available standards when available.

164 Deacetylated COS were produced at 50 mL-scale with 1% (w/v) chitosan CHIT600
165 in 50 mM ammonium acetate buffer (pH 5.0), and 10% (v/v) Neutrased 0.8L. COS were
166 separated from the enzyme by ultrafiltration with a 10 kDa membrane in an Amicon®
167 system, lyophilized and further dried in a desiccator with phosphorous pentoxide.

168 **2.6. Mass spectrometry**

169 The molecular weight of COS was assessed using a mass spectrometer with hybrid
170 QTOF analyzer (model QSTAR, Pulsar i, AB Sciex). Reaction samples were analyzed
171 by direct infusion and ionized by electrospray (with methanol as ionizing phase) in
172 positive reflector mode.

173 **2.7. Neuroprotective properties**

174 The synthesized COS were assayed *in vitro* in cell cultures to determine their
175 neuroprotective activity. SH-S5Y5 neurons were cultured in collagen-pretreated petri-
176 dishes with Dulbecco's Modified Eagle Medium: Nutrient Mixture F-12 (DMEM-F12)
177 medium supplemented with penicillin/streptomycin and 10% inactivated fetal bovine
178 serum (iFBS). The assays were done in collagen-pretreated 96 well plates by seeding 2

179 x 10⁴ neurons per well in a 100 µL volume and with 24 h of incubation before
180 compound addition. The deacetylated COS were dissolved in DMSO and then added at
181 different concentrations (0.02, 0.2 and 2 mg/ml) to determine compound toxicity. Final
182 DMSO percentage in each cell was adjusted to 1% DMSO. Cell viability was evaluated
183 24 h after compound addition by mitochondrial MTT assay, according to manufacturer.

184 To analyze the neuroprotective effect, neurons were cultured and plated as described
185 in the cell viability assay. COS dissolved in DMSO were added at three concentrations
186 (0.02, 0.2 and 2 mg/mL) and incubated for 10 min before the addition of hydrogen
187 peroxide (100 µM). Cell viability was evaluated 24 h after compound addition by
188 mitochondrial MTT assay.

189 **2.8. Anti-inflammatory properties**

191 The deacetylated COS were also assayed *in vitro* in cell cultures to determine their
192 anti-inflammatory activity. RAW 264.7 macrophages were cultured in DMEM
193 high-glucose medium supplemented with penicillin/streptomycin and 10% iFBS. The
194 assays were done in 96-well plates by seeding 2.5 x 10⁴ macrophages per well in a 100
195 µL volume with 4 h of incubation time before compound addition. The deacetylated
196 COS were dissolved in DMSO and then added at different concentrations (0.02, 0.2 and
197 2 mg/ml) to determine compound toxicity. Final DMSO percentage in each cell was
198 adjusted to 1% DMSO. Cell viability was evaluated 24 h after compound addition by
199 mitochondrial MTT assay.

200 The anti-inflammatory activity was assayed on macrophages that were cultured and
201 plated as described for the viability assay. After 10 min incubation of the cells with the
202 tested COS (at the three assayed concentrations), 100 ng/mL of lipopolysaccharide
203 (LPS) was added. Cell viability was evaluated 24 hours after compound addition by
204 mitochondrial MTT assay.

205 **2.9. Statistical analysis**

206 Data are expressed as means ± standard error (SE), with n=8. ANOVA on ranks and
207 post-hoc Dunn's Method were used to find differences between groups. Statistical
208 analysis was performed with SigmaPlot 13.0 and differences were considered
209 significant when p < 0.05.

211 **3. RESULTS AND DISCUSSION**

212 **3.1. Chitosanolytic activity screening**

214 Based on previous works that report the presence of chitosanolytic activity in
215 commercial enzyme preparations (Cabrera et al. 2005, Montilla et al. 2013, Pantaleone
216 et al. 1992), we screened the hydrolytic activity towards chitosan CHIT100 (100-300
217 kDa, DD ≥ 90%) of a series of commercial enzymes whose stated activity was
218 pectinase, cellulase (endo-1,4-β-D-glucanase), xylanase or protease (Table 1). Using the
219 DNS assay based on the detection of released reducing sugars, the two more active
220 preparations were Rapidase TF (a pectinase from *Aspergillus niger*) and Neutrase 0.8L
221 (a protease from *Bacillus amyloliquefaciens*), which showed a moderate activity
222 towards chitosan (0.47 ± 0.03 and 0.54 ± 0.03 U per mL, respectively). Two other
223 pectinolytic preparations (Pectinex Ultra SP-L and Klerzyme 150) and a xylanase
224 (Shearzyme 2X) displayed minor chitosanolytic activity.

225 In order to obtain a product enriched in deacetylated COS, and given that several
226 proteases are able to hydrolyze the N-acetyl moieties present in chitosan (Li et al. 2005,
227 Pan et al. 2016, Vishu Kumar et al. 2004), the proteolytic preparation Neutrase 0.8L

228 was selected for further experiments. To our knowledge, the presence of chitosanolytic
229 activity in Neutrased 0.8L had not been described before. This enzymatic preparation
230 was also assayed towards other chitosans with different MW and DD (Table 2).
231 Interestingly, the activity was similar with all the substrates, despite the substantially
232 differences in their deacetylation degree.

233 The protein profile of Neutrased 0.8L was analyzed by SDS-PAGE in denaturing
234 conditions, and compared with an activity gel in native conditions. Figure 1 shows the
235 presence of several proteins with MW < 75 kDa. The neutral protease of *B.*
236 *amyloliquefaciens* is reported in the UniProt database (accession number: Q44677) to
237 have a molecular mass of 56.7 kDa. This correlated well with the most intense band in
238 the SDS-PAGE. The activity gel (Fig. 1) was not directly comparable with the SDS-
239 PAGE gel because the behavior of proteins under native conditions is different than
240 under denaturing conditions. However, observing the lytic band corresponding to the
241 Neutrased 0.8L preparation (Fig 1, lane 2, white arrow), we concluded that the
242 chitosanolytic activity did not correlate with the main band of the SDS-PAGE (the
243 neutral protease).

244 3.2. Monitoring of chitosan hydrolysis by SEC-HPLC

245 The hydrolysis of chitosan catalyzed by Neutrased 0.8L was followed by size
246 exclusion chromatography with evaporative light-scattering detection (SEC-ELSD).
247 Figure 2 shows the progress of the reaction with chitosan CHIT600 as substrate. The
248 high-molecular-weight (HMW) chitosan (peak 1) disappeared after 24 h, which
249 indicated efficient hydrolysis of the substrate. A new peak appeared (2) with the same
250 retention time that a chitosan oligosaccharide standard (MW \leq 2000). The monomers
251 (GlcN and GlcNAc) and the buffer salts coeluted in peak 3. However, the specific
252 composition of the COS fraction cannot be inferred by this methodology. The reactions
253 using chitosans of different DP and DD gave rise to similar SEC-HPLC profiles (data
254 not shown). In conclusion, SEC-ELSD analyses confirmed the complete disappearance
255 of the HMW chitosan after 24 h under the assayed conditions.

256 3.3. Identification of COS by mass spectrometry

257 Mass spectrometry (MS) techniques, such as LC-MS/MS (Kim et al. 2013) or
258 MALDI-TOF (Montilla et al. 2013), are of great potential to identify (or to discard) the
259 formation of certain COS in the reactions, especially combined with NMR spectroscopy
260 (Mahata et al. 2014). Figure 3 illustrates the ESI-Q-TOF MS spectrum that resulted
261 from the hydrolysis of chitosan CHIT600 with Neutrased 0.8L. MS spectra indicated that
262 this enzymatic extract only formed deacetylated products, thus suggesting the presence
263 of a deacetylating enzyme able to hydrolyze the remaining acetamido groups in the N-
264 acetyl-glucosamine units. Even in the case of the chitosan with lower DD (QS2, 77%),
265 all the identified products were deacetylated, except for a minor contribution of
266 (GlcN)₂-GlcNAc (see Supplementary material). The deacetylating activity could be
267 attributed to the protease since N-acetyl moieties resemble the peptide bonds; however,
268 the presence of a contaminant chitin deacetylase activity in Neutrased 0.8L cannot be
269 ruled out. These results are in agreement with those described for a commercial neutral
270 protease from *Bacillus subtilis* (Li et al. 2005, Li et al. 2007); however, the authors
271 postulated that the responsible of both chitosanolytic and deacetylating activities could
272 be the protease itself. On the contrary, in our case, we observed that the neutral protease
273 was not responsible of the chitosanolytic activity, whilst it could catalyze the
274 deacetylating process.

275 In a recent work, we developed a dual reactor for COS production using the
276 chitosanolytic activity present in another enzymatic preparation (BAN) of *B.*

277 *amyloliquefaciens*, whose main declared activity is α -amylase. In that case, a mixture of
278 partially acetylated and deacetylated COS was obtained (Santos-Moriano et al. 2016a),
279 which could be related with the absence of protease activity in such preparation.

280 **3.4. Quantification of COS by HPAEC-PAD**

281 Since COS properties are highly dependent on their charge (related to their DD) and
282 size (DP), an accurate chemical characterization of the products derived from chitosan
283 hydrolysis is truly necessary. A complex mixture of fully acetylated COS (containing
284 only GlcN) and partially acetylated COS (paCOS, with variable composition of GlcN
285 and GlcNAc) is commonly obtained. In addition, the low availability of COS standards,
286 especially of paCOS, complicates the analysis. Methods to separate COS include size
287 exclusion chromatography (SEC) (Song et al. 2014), hydrophilic interaction liquid
288 chromatography (HILIC) and anion-exchange chromatography with pulsed
289 amperometric detection (HPAEC-PAD) (Xiong et al. 2009, van Munster et al. 2015).
290 COS are poorly retained at alkaline pH on anion-exchange columns typically employed
291 to separate carbohydrates, thus requiring unusual mobile phase conditions.

292 HPAEC-PAD was employed to identify (Fig. 4) and quantify (Fig. 5) the COS
293 obtained by the hydrolysis of the four chitosans (CHIT100, CHIT600, QS1 and QS2)
294 with Neutrase 0.8L. The four reactions were carried out under the same conditions and
295 treated in the same way for their comparison. In order to avoid damage of the HPAEC-
296 PAD column, any residual chitosan in the reaction mixtures (HMW chitosan, peak 1 in
297 Figure 2) was removed by precipitation with 0.25 M NaOH (1:1 v/v) followed by
298 centrifugation. The addition of alkali also allowed to inactivate the enzyme and to
299 increase the sensitivity of the detector.

300 In a previous work, we demonstrated that a Carbo-Pack PA-200 column was
301 appropriate for the separation of complex mixtures of COS in less than 30 min
302 employing a diluted NaOH solution (4 mM) as mobile phase (Santos-Moriano et al.
303 2016b). This method represented a significant improvement compared with previous
304 chromatographic protocols to separate deacetylated or partially acetylated COS (Xiong
305 et al. 2009, Horsch et al. 1996, Lü et al. 2009). Since the ionic strength of the eluent is
306 below the specifications of the amperometric detectors, the sensitivity of the method
307 (and thus the quantification of the products) could be further improved by implementing
308 a post-column delivery system with concentrated NaOH (van Munster et al. 2015).

309 With the aid of commercial standards, GlcN (peak 1), (GlcN)₂ (2), (GlcN)₃ (3),
310 (GlcN)₄ (4) and (GlcN)₅ (5) were identified by HPAEC-PAD (Fig. 4) and further
311 quantified (Fig. 5). The order of elution with PA-200 columns usually correlates with
312 the increasing degree of polymerization (DP), because more sugar moieties imply a
313 higher negative charge to interact with the positive stationary phase. However, it is
314 noteworthy that the retention time in the glucosamine series did not follow such order
315 –e.g. (GlcN)₃ eluted between GlcN and (GlcN)₂–, probably due to the unusual eluting
316 conditions (4 mM NaOH) and that the most acidic hydroxyl groups of glucose moieties
317 (2-OH) are substituted by NH₂.

318 An additional problem is that alkaline mobile phases typically employed in HPAEC-
319 PAD methods may cause epimerization of the N-acetyl-D-glucosamine moiety to N-
320 acetyl-D-mannosamine (ManNAc) (Lee 1996), thus artificially increasing the number
321 of analytes in the sample. We observed that the low concentration of NaOH (4 mM)
322 used in our method did not promote epimerization of COS at least during the time of
323 analysis (30 min).

324 In accordance with the SEC-HPLC study, chitosans CHIT100 and CHIT600 (initial
325 concentration 10 g/L) were fully converted into COS in 24 h, yielding approximately

326 2.5 g/L of (GlcN)₂, 4.5 g/L of (GlcN)₃ and 3 g/L of (GlcN)₄. The HPAEC-PAD results
327 also correlated well with data from MS analysis.

328 **3.5. Biological activity of COS**

329 The reaction of Neutrased with chitosan CHIT600 was scaled-up (50 mL) for the
330 production of fully deacetylated COS to assess their biological properties. A mixture of
331 25% (GlcN)₂, 45% (GlcN)₃ and 30% (GlcN)₄ was obtained. The yield of the purification
332 was 80%, and the protein content was almost negligible.

333 It has been postulated that deacetylation exerts a notable influence on the bioactivity
334 of these molecules. Thus, the increased hypocholesterolemic activity of deacetylated
335 derivatives could be attributed to the electrostatic attraction between charged amino
336 groups and anionic bile salts and fatty acids (Xia et al. 2011). In contrast, partially
337 acetylated COS exhibit better antibacterial activity towards *Escherichia coli* and
338 *Listeria monocytogenes* than those fully deacetylated (Sanchez et al. 2017). In the
339 present work, we studied the neuroprotective and anti-inflammatory activities of the
340 deacetylated COS obtained with Neutrased 0.8L. The absence of the monomer GlcN in
341 the reaction mixture was desirable, due to possible non-specific cytotoxic effects of this
342 molecule (de Assis et al. 2012). COS were compared with two related
343 fructooligosaccharides (FOS), whose biological activities are well established, in
344 particular their use as prebiotics (Zambelli et al. 2016).

345 **3.5.1. Neuroprotective activity**

346 COS have been reported to protect primary cultures of rat hippocampal neurons
347 against neurotoxicity induced by glutamate (Zhou et al. 2008) and Cu²⁺ (Xu et al. 2010).
348 The mechanism of COS neuroprotection could be related with a decrease of
349 intracellular reactive oxygen species (ROS), for example by complexing metal ions with
350 the amino, hydroxyl and acetamido moieties present in COS. COS are also able to
351 promote peripheral regeneration in rat model of sciatic nerve crush injury (Jiang et al.
352 2009), and have been even proposed as nutritional agents for Alzheimer's disease
353 treatment (Huang et al. 2015). The neuroprotective activity of five fully deacetylated
354 COS was recently compared by Jiang et al. (2014); they found that chitotriose induced
355 the highest increase in Schwann cell survival.

356 In the present work, the neuroprotective activity of deacetylated COS produced
357 by Neutrased 0.8L towards human SH-S5Y5 neurons was tested. First, viability of cells
358 in the presence of COS at three concentrations (0.02, 0.2 and 2 mg/mL) was assayed.
359 COS were not toxic for the cells (Fig. 6A). These concentrations were then tested for
360 the neuroprotective activity in the presence of H₂O₂ (Fig. 6B). Values above 100%
361 indicated neuroprotection. COS showed a dose-dependent behavior increasing cells
362 viability after exposure to hydrogen peroxide (Fig. 6B). In particular, the activity was
363 higher at the lowest assayed concentration (0.02 mg/mL), at which the neuroprotective
364 effect was statistically significant. The effect was slightly better than the observed with
365 fructooligosaccharide standards.

366 **3.5.2. Anti-inflammatory activity**

367 Chitosan oligosaccharides have been reported to exert an anti-inflammatory
368 effect via the stimulus of tumor necrosis factor- α (TNF- α) in the LPS-induced
369 inflammation in RAW cells (Yoon et al. 2007). The anti-inflammatory effect of COS is
370 dose-dependent and molecular weight-dependent (Fernandes et al. 2010, Pangestuti et
371 al. 2011), although the effect of the degree of acetylation remains less explored.
372 Recently, COS were proposed as functional foods against inflammation (Azuma et al.
373 2015).

374 Anti-inflammatory activity of fully deacetylated COS produced by Neutrase
375 0.8L was tested in RAW 264.7 macrophages. First, viability of macrophages in the
376 presence of COS was assayed: at the concentrations of 0.02, 0.2 and 2 mg/mL, COS
377 were not toxic for the cells, and even increased significantly the viability of the cells at
378 low concentrations (Fig. 7A). The same concentrations were tested for the anti-
379 inflammatory activity measuring cell viability after inflammation caused by 100 ng/mL
380 LPS. COS showed certain anti-inflammatory activity at the three concentrations
381 assayed, although none of them was statistically significant (Fig. 7B). However, unlike
382 the case of the neuroprotective activity, the effect was not greater than the obtained with
383 other functional sugars such as fructooligosaccharides.

384 **4. CONCLUSIONS**

385 We found a commercial enzyme preparation approved for food applications
386 (Neutrase 0.8L) that produced almost exclusively deacetylated COS from different
387 chitosans. The reaction was very efficient and the DP of the synthesized COS varied
388 from 2 to 4 (MW < 700). The process was scaled-up to produce a higher amount of
389 fully deacetylated COS and assess their neuroprotective and anti-inflammatory
390 activities. The COS mixture showed no toxicity on neurons and RAW cells, as well as a
391 moderate biological activity, similar to that obtained with other functional food
392 ingredients such as fructooligosaccharides.

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404 **DECLARATION OF INTEREST**

405 The authors report no declarations of interest.

406

407 **SUPPORTING INFORMATION**

408 Mass spectra of Neutrase 0.8L reactions with different chitosans (CHIT100, QS1 and
409 QS2).

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555

556 **FIGURE CAPTIONS**

557 **Figure 1.** Protein electrophoresis of Neutrase 0.8L. MW: molecular-weight standards;
558 Lane 1: SDS-PAGE; Lane 2: zymogram.

559 **Figure 2.** SEC-HPLC analysis of chitosan hydrolysis by Neutrase 0.8L at 0 h and 24 h.
560 Reaction conditions: 1% (w/v) chitosan CHIT600, 20% (v/v) enzyme, 50 mM sodium

561 acetate buffer pH 5.0, 50°C. Peaks: (1) High-molecular-weight chitosan; (2) Chitosan
562 oligosaccharides; (3) GlcN, GlcNAc and buffer salts. The chromatogram of a
563 commercial COS mixture ($MW \leq 2000$, $DD \geq 90\%$) is also shown.

564 **Figure 3.** ESI-Q-TOF mass spectrum of the reaction mixture after 24 h of chitosan
565 CHIT600 with Neutrase 0.8L. Reaction conditions: 1% (w/v) chitosan in 50 mM
566 sodium acetate buffer pH 5.0, 20% (v/v) enzyme, 50°C. Several $[M+H]^+$ and $[M+Na]^+$
567 peaks were identified.

568 **Figure 4.** HPAEC-PAD chromatograms of the chitooligosaccharides produced by
569 Neutrase 0.8L using different chitosans. Reaction conditions: 1% (w/v) chitosan, 20%
570 (v/v) enzyme, 50°C, 50 mM sodium acetate buffer pH 5.0, 24 h. HMW chitosans were
571 precipitated prior to the analysis. Identified peaks: (1) GlcN; (2) (GlcN)₂; (3) (GlcN)₃;
572 (4) (GlcN)₄; (5) (GlcN)₅.

573 **Figure 5.** Quantification by HPAEC-PAD of the major synthesized COS using different
574 chitosans. Reaction conditions: 1% (w/v) chitosan, 20% (v/v) enzyme, 50°C, 50 mM
575 sodium acetate buffer pH 5.0, 24 h.

576 **Figure 6.** *In vitro* analysis of neuroprotective activity of COS. **A:** Cell viability assays
577 on SH-SY5Y neuronal cells. **B:** Neuroprotective activity. Abbreviations: GF4: 1^F-
578 fructofuranosyl-nystose; GF2: 1-kestose; H₂O₂ (-): Viability in presence of DMSO;
579 H₂O₂ (+): Viability in presence of DMSO + H₂O₂. The data is expressed as mean ± SE
580 (n=8, * p < 0.05 vs. H₂O₂ (+) group; # p < 0.01 vs. H₂O₂ (-) group).

581 **Figure 7.** *In vitro* analysis of anti-inflammatory activity of COS. **A:** Cell viability
582 assays on RAW 264.7 macrophages. **B:** Lipopolysaccharide (LPS) mitigation activity.
583 Abbreviations: GF4: 1^F-fructofuranosyl-nystose; GF2: 1-kestose; LPS (-): Blank; LPS
584 (+): 100 ng/mL of LPS. The data is expressed as mean ± SE [n=8, * p < 0.05 vs. DMSO
585 group; # p < 0.01 vs. DMSO group (A)/LPS (-) group (B)].

Table 1. Screening of chitosanalytic activity in commercial enzyme preparations.

Enzyme	Supplier	Source	Declared activity	Chitosanase activity (U/mL) ^a
Pectinex Ultra SP-L	Novozymes	<i>Aspergillus aculeatus</i>	Pectinase	0.010 ± 0.004
Rapidase TF	DSM	<i>Aspergillus niger</i>	Pectinase/hemicellulose	0.470 ± 0.030
Klerzyme 150	DSM	<i>Aspergillus niger</i>	Pectinase	0.016 ± 0.005
NovoShape	Novozymes	<i>Aspergillus oryzae</i>	Pectin methyl esterase	–
E-CELBA	Megazyme	<i>Bacillus amyloliquefaciens</i>	β-Glucanase	–
Ultraflo L	Novozymes	<i>Humicola insolens</i>	β-Glucanase	–
Shearzyme 2X	Novozymes	<i>Aspergillus oryzae</i>	Xylanase	0.007 ± 0.005
Pentopan Mono Conc. BG	Novozymes	<i>Aspergillus oryzae</i>	Xylanase	–
Flavourzyme	Novozymes	<i>Aspergillus oryzae</i>	Protease	–
Papain	Sigma	<i>Carica papaya latex</i>	Acid protease	–
Neutrase 0.8 L	Novozymes	<i>Bacillus amyloliquefaciens</i>	Neutral protease	0.540 ± 0.030
Alcalase	Novozymes	<i>Bacillus licheniformis</i>	Alkaline protease	–

^a Reaction conditions of DNS assay: 1% (w/v) chitosan CHIT100, 20% (v/v) enzyme, 50°C, 1400 rpm, pH 5.0.
(–) No measurable activity in DNS assay.

Table 2. Chitosanalytic activity of Neutrase 0.8L with different chitosans as substrates.

Chitosan	MW (kDa) ^a	DD (%) ^b	Activity (U/mL) ^c
CHIT600	600-800	> 90	0.67 ± 0.05
CHIT100	100-300	> 90	0.56 ± 0.07
QS1	98 ^d	81	0.50 ± 0.04
QS2	31 ^d	77	0.57 ± 0.04

^a Average molecular weight of chitosan

^b Deacetylation degree of chitosan

^c Determined by the DNS method

^d Determined by gel-permeation chromatography (GPC)

Figure 1

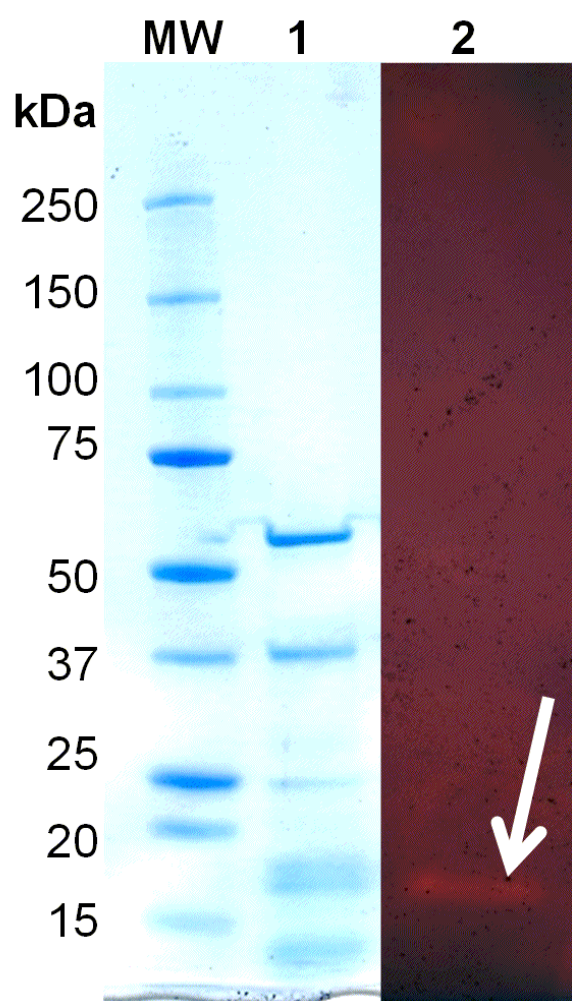


Figure 2

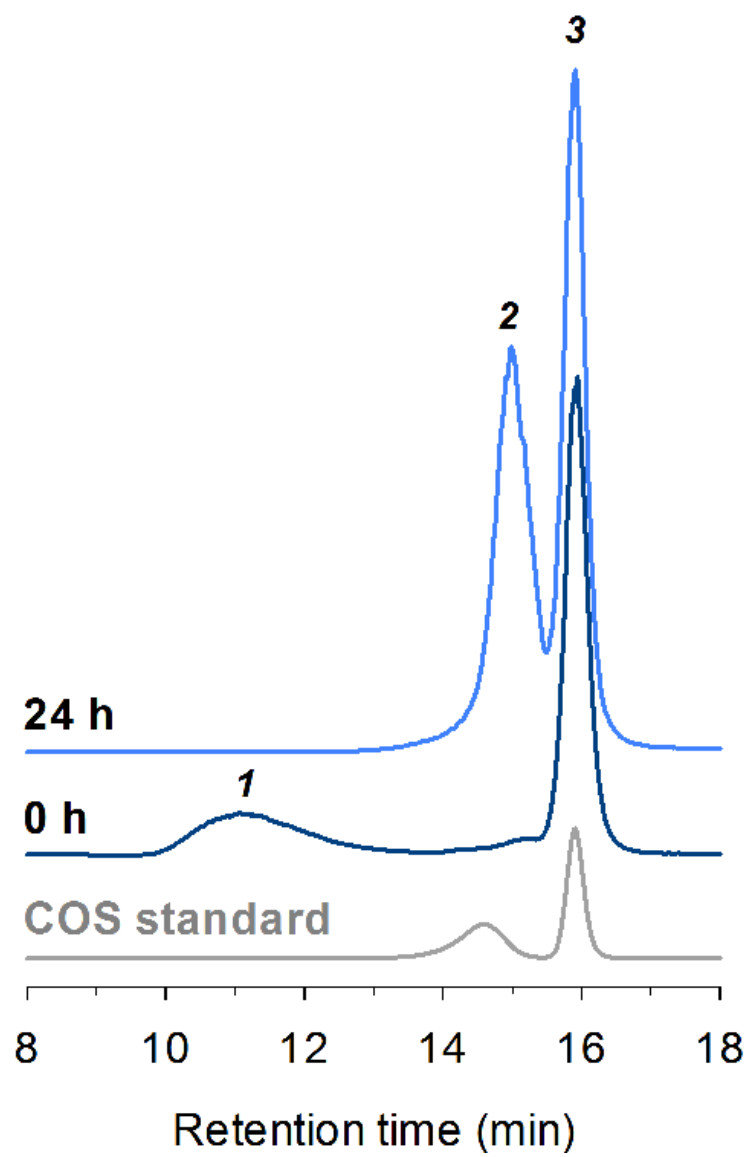


Figure 3

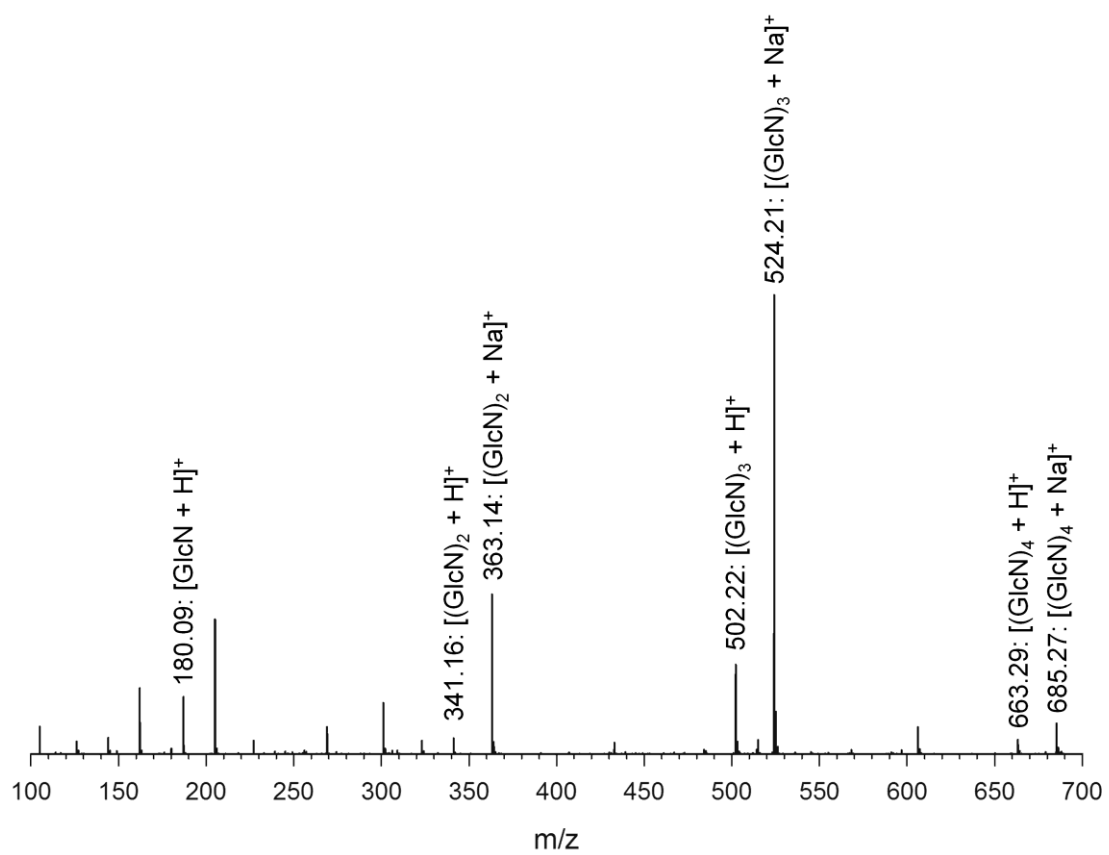


Figure 4

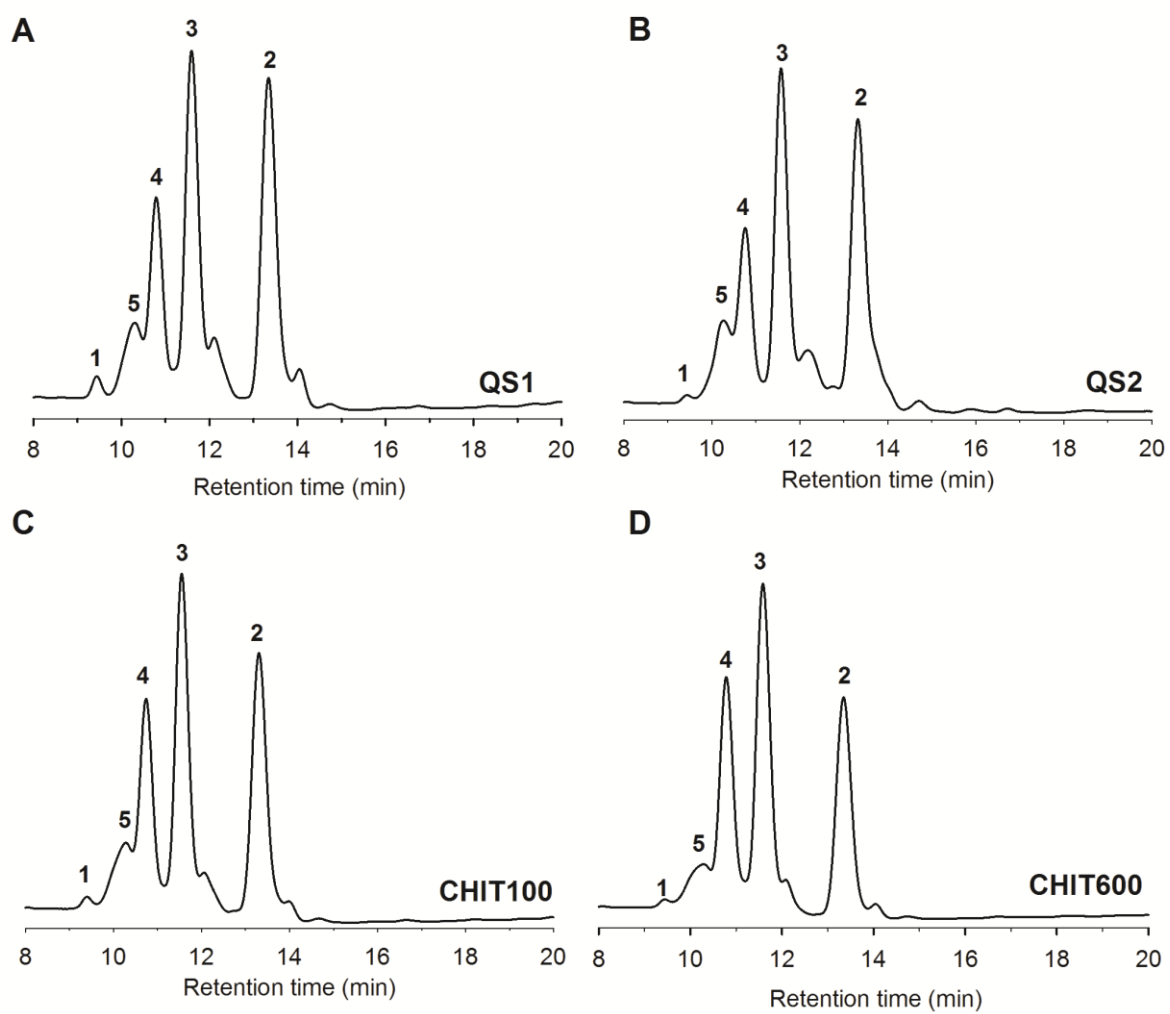


Figure 5

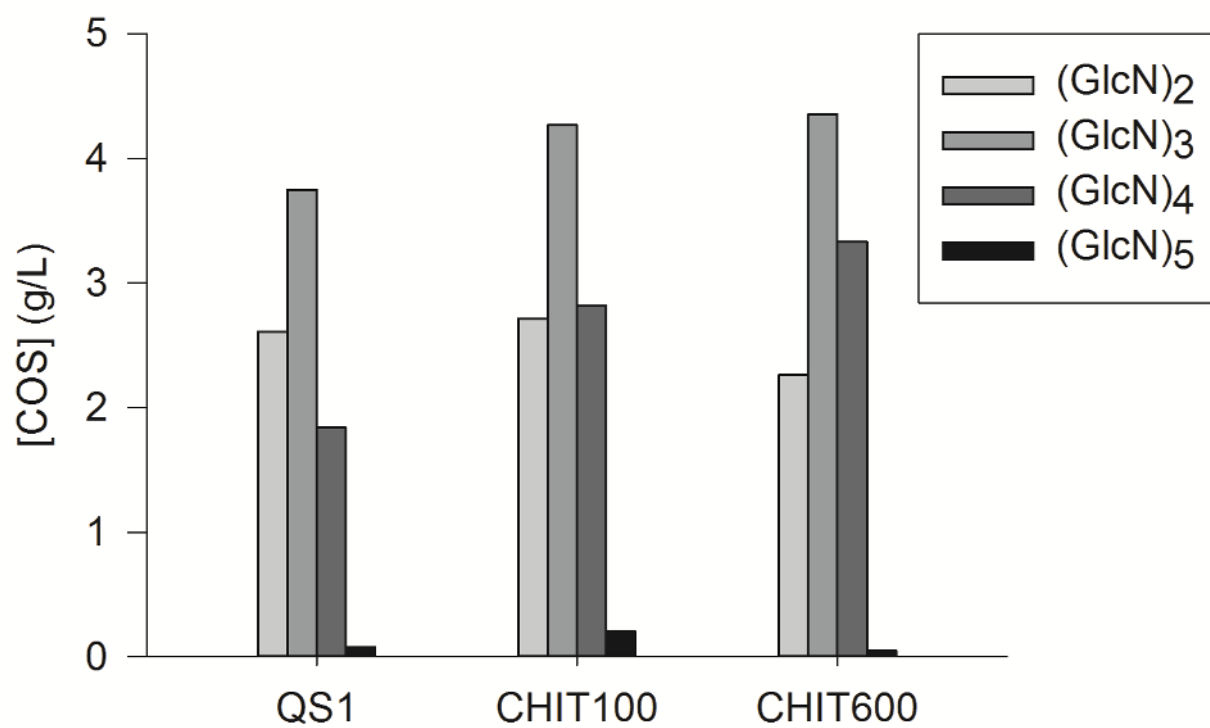


Figure 6

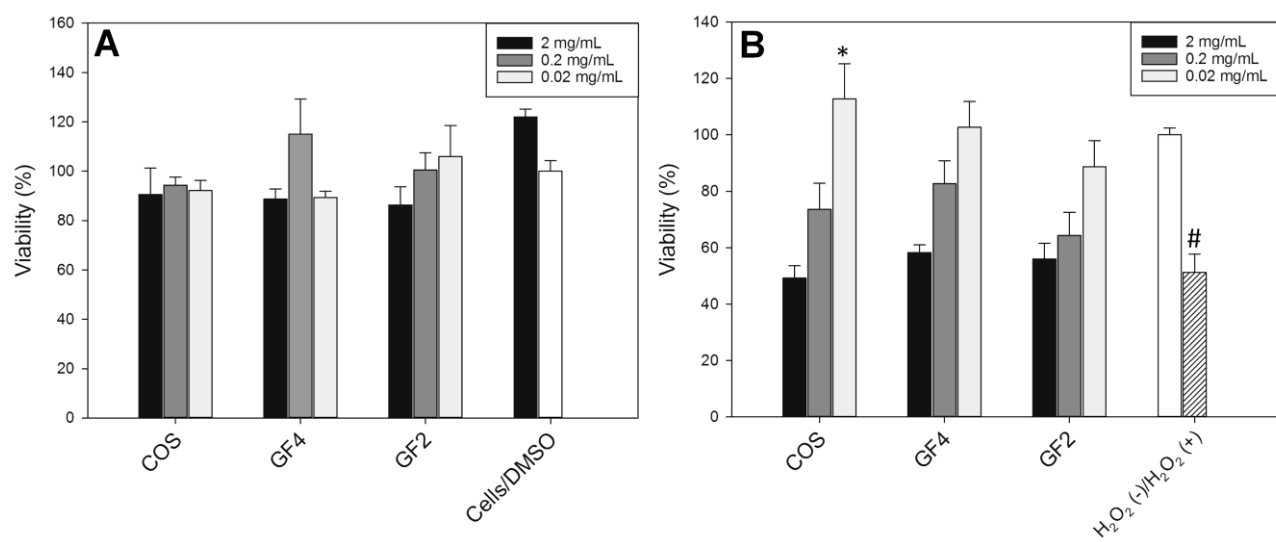


Figure 7

