# Exploring the Smart Future of Participation: Community, Inclusivity, and People With Disabilities

John Bricout, University of Minnesota-Twin Cities, USA Paul M. A. Baker, Georgia Institute of Technology, USA https://orcid.org/0000-0002-6649-3309

Nathan W. Moon, Georgia Institute of Technology, USA Bonita Sharma, University of Texas at San Antonio, USA

## ABSTRACT

COVID-19 is having an enormous impact on civic life, including public services, governance, and the well-being of citizens. The pace and scope of technology as a force for problem solving, connecting people, sharing information, and organizing civic life has increased in the wake of COVID-19. This article critically reviews how technology use influences the civic engagement potential of the smart city, in particular for people with disabilities. The article aims to articulate new challenges to virtual participation in civic life in terms of accessibility, usability, and equity. Next, the article proposes a framework for a smart participation future involving smarter communities that utilize universal design, blended bottom-up, and virtual community of practice (VCoP) approaches to planning and connecting citizens with disabilities to smart cities. Policy and ethical implications of the proposed smart participation future are considered.

## KEYWORDS

COVID-19, Design, Disability, Participation, Smart City, Smart Community, Technology, Virtual

## **1. INTRODUCTION**

The COVID-19 pandemic has occasioned a sudden and drastic shift to digital technology-mediated, pervasive, applications across broad swaths of society, including education, business, health care and government with effects that are anticipated to extend beyond the immediate health crisis (Bevins, et al., 2020; Blackburn, et al., 2020, Dimson, et al., 2020, Howard & Borenstein, 2020; Torous, et al., 2020). Researchers anticipate that COVID-19 will accelerate the adoption of new technologies and operational practices (Castka, et al., 2020). Technologies such as the Internet of Things (IoT), big data analytics, artificial intelligence (AI), and a variety of tools for overcoming social isolation and enhancing digital lives, such as virtual reality, holograms and streaming video have been given a large boost by the pandemic (Mazzoleni, et al., 2020; Ting, et al., 2020). The increase in widespread digital technologies, while promising to enhance human capabilities, well-being and productivity, is also fraught with ethical challenges for the delivery of public services, governance and information

DOI: 10.4018/IJEPR.20210401.oa8

This article, published as an Open Access article on January 7, 2021 in the gold Open Access journal, International Journal of E-Planning Research (converted to gold Open Access January 1, 2021), is distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/4.0/) which permits unrestricted use, distribution, and production in any medium, provided the author of the original work and original publication source are properly credited.

tools for vulnerable and disadvantaged populations (Dubov & Shoptaw, 2020; Torous, et al., 2020). Disparities in digital literacy and access, affordability and usability, all facets of the digital divide, pose challenges for marginalized populations, and thus their redress must figure into any policy discussion of how advancing – 'smarter' - technologies can spur civic progress and participation.

The pandemic's immediate impact on public health and economics fuels COVID-19's role as a longer-term driver of more pervasive technology, leading to what is being termed a 'new normal' (i.e., Catska, et al., 2020; Torous, et al., 2020). It is reasonable to anticipate that this trend will accelerate movement towards further development of e-planning applications, with attendant benefits and challenges. Big data, AI, machine learning, and IoT are also anticipated to be drivers of data intelligence applications and use cases for 'smart cities' that incorporate information and communication technologies (ICT) and other technologies to foster infrastructure, services and culture in urban areas that promote citizen participation and well-being (Xu & Geng, 2019). The likely e-planning impacts are profound and merit consideration in the context of civic life. Particularly as vulnerable and marginalized populations, such as people with disabilities, experience the negative effects of COVID-19 disproportionately (Courtenay & Perera 2020; Kupper, et al., 2020).

#### 2. CITIZENS WITH DISABILITIES

Any discussion on the civic impact of these digital technologies must recognize that their application in public, social and economic settings is contingent on designing for accessibility usability, and equity in their application, while factoring in the cost of adoption. In particular, enabling technology tends to evolve more rapidly than user adaptations or device usability, to the detriment of consumer participation and enlarging a digital divide (De Filippi, et al., 2019). The importance of accessibility and disability features is commensurate with the size of the population across the world, totaling more than one billion people who are living with disabilities (Armitage & Nellums, 2020). In this time of COVID-19, mitigation strategies must address communication, service provision, social distancing and health care barriers (Armitage & Nellums, 2020). Technology that is developed to be inclusive can play an important mitigation role for these barriers (Gandy, et al., 2017; Kupper, et al., 2020; Moon, et al., 2019; Denker & Baker, 2020). Similarly, technology designed to be ethical, accessible, and usable, can also ameliorate many of these barriers (Clever, et al., 2018; Ryan & Gregory, 2019; Suryotrisongko, et al., 2017). Until the relatively recent adoption of universal design principles in the past two decades, people with disabilities have been using capability-enhancing technologies to overcome barriers to social participation, and have a lived experience adopting and adapting technology, acquiring expertise and 'hacks' that in the remote working and social distancing world of COVID-19 are a net advantage (Shew, 2020). This is not to diminish the challenges posed by technology itself, arising from accessibility and usability barriers to people with cognitive and communication limitations for example (Courtenay & Perera, 2020).

Nonetheless, due to the changes flowing from the COVID-19 pandemic, adoption of digital technologies for people with disabilities and their participation in the development of new technology has the potential to enhance their social participation and engagement (Courtenay & Perera, 2020). This movement towards engagement and participation is abetted by the increasing use of these universally designed technologies, grounded in the design philosophy shift from 'fixing' the individual, to one that aims to reduce the environmental barriers towards participation for people with disabilities. In other words, universally designed technologies, adaptive to users' needs, are inclusive and relational (person-in- environment), rather than compensatory and individual-focused, enhancing the societal participation of people with disabilities as citizens (Lid, 2013).

As citizens participating in public life during this era of COVID-19, people with disabilities experience the same dilemmas borne of complex social problems as the general population, only more acutely. They also could benefit from the inclusive design (Gandy, et al., 2017) of technologies and systems that are bringing new solutions and preventive action through social innovation to urban

challenges in the guise of smart cities, with information and communication technologies (ICT) as the digitalized backbone. Ideally, smart cities should be part of a deliberate planning process, rather than developed piecemeal, owing to the complexity of urban aggregations and the need for coordination operationally and technologically of the components (Tang, et al., 2019). Having said that, a new perspective offered by Feder-Levy and colleagues (2016) ties access to information to self-organization, shared among citizens. These data, aggregated into more useful and situated information, make for a well-informed city that itself drives change, organized from the bottom up (Feder-Levy, et al., 2016). Traditional top-down approaches and innovative bottom-up processes can complement one another, such that there is a blend of stakeholder perspectives and preferences (i.e., Breuer, et al., 2014).

We anticipate that the new normal for smart cities is one in which they co-exist with stakeholder groups defined by having shared identity, interests and values at a different level of aggregation; 'smart communities' are notable for an emphasis on grassroots and consumer-centered planning and design, owing to the need for more immediate feedback from primary stakeholders. These smart communities share in the ICT backbone of smart cities but their 'smart citizens' are not merely 'service users', rather, they organize around collective issues and are situated in a locale pointing to the inclusion of an inductive bottom-up planning approach (de Waal & Dignum, 2017). This avoids the peril of what De Filippi and colleagues (2019) call a 'techno-deterministic' environment and grounds urban social innovation in a collaborative relationship between public authorities and citizens.

Traditionally, planning, in the disciplinary sense of the word, generally falls within the domain of the public sector. New innovative approaches generated by the high-tech sector, has contributed to an instructive literature on artificial intelligence (AI) applications including robotics, autonomous vehicles and chatbots to improve and innovate the delivery of public services (Yigitcanlar, et al., 2020). In their recent review of the extant literature addressing the question of "how AI can contribute to and improve the livability and well-being of citizens of smart cities?" (Yigitcanlar, et al., 2020, p.10), Yigitcanlar and colleagues pointed to a number of challenges. Specifically, regarding the delivery of 'intended value', secure and valid information (i.e., privacy and checks against misinformation), upholding public values, safety, transparency, and ethical safeguards. As Bianchini and Avila (2014) point out, ethical considerations for smart cities extend beyond protecting data security, safety and legitimacy to include power differentials that may favor privileged groups and social classes and social classes.

## 3. SMART CITIES AND COMMUNITIES

There are many definitions of data-enhanced contexts, such as smart cities, depending on the specific frame of the observer (e.g. industry, planners, policy analysts, etc.), but the overarching aims of these settings are to be more sustainable, equitable, and livable (Toli & Murtagh, 2020). There are many conceptions of what constitutes a smart city. Most often, the smart city concept emphasizes the importance of data, sensing, collection, manipulation and transport as the infrastructures for investing strategically in human and social capital to enhance citizen well-being and participatory governance, with ICT as the undergirding communication architecture (Karppi & Vakkuri, 2020).

Policy design, policymaking and the collective goals of public authorities and private interests, with inputs from citizens in the context of social values, the political and economic environments, in combination adds to this picture, serving to legitimize governance (Bednarska-Olejniczak, et al., 2019). In recent years, the operation of ICT in smart cities has expanded to include the Internet of Things (IoT), cloud computing, big data and digital public repositories, and mobile applications (Bednarska-Olejniczak, et al., 2019; Yigitcanlar, et al., 2020). It has become common to make a distinction between the more data-centric 'smart-city' and the contextually focused 'smart communities.' (De Filippi, et al., 2019).

Smart communities prioritize citizen participation, investments in human and social capital, benefiting from the cost-savings and efficiency of ICT service delivery, while emphasizing shared stakeholder interests and concerns (De Filippi, et al., 2019). Smart cities are frequently associated with ICT and 'smart' components (i.e., smart homes, smart mobility, smart governance) but also with collaboration between community, research institutes, and providers of technology, in an iterative, developmental process of re-learning that is future-oriented, measurable and adaptive (Musakwa & Gumbo, 2017). Six distinct aspects often articulated in discussions of smart cities are 'smart economy' (innovative and entrepreneurial), 'smart mobility' (sustainable and safe transportation), 'smart governance' (transparent and participatory public services), 'smart environment' (natural and sustainable), 'smart living' (quality of life, personal and collective), and 'smart people' (human and social capital valued) (Vanolo, 2014 p.887).

Citizen participation in a 'citizen-focused' city e-planning process is important for a variety of reasons, including the integration of their perspective into decision making, service design and infrastructure, the development of sustainable, creative, and cost-effective solutions and a boost to citizen trust, satisfaction and government productivity (Gohari, et al., 2020). There are many complicating factors in actually achieving citizen engagement, including clear and comprehensible information, adequate citizen knowhow, fostering a culture of engagement, government and local organization investment, and public trust in technology (Massey, et al., 2018). In a deliberative democracy, policy is characterized by trade-offs, rather than concentrating power and decision making in the citizenry as a whole, and in a non-homogeneous, pluralist society, diverse perspectives are valued, as is assessing the effectiveness of policy outcomes (Gohari, et al., 2020). Among those diverse groups, the most vulnerable and least represented such as people with disabilities, must be engaged, and their voices incorporated into the planning and implementation of smart cities.

### 4. POLICY AND PLANNING

Integral to planning, public policy plays a critical role in terms of processes (ensuring the transparency of data and processes in smart cities, service negotiation, quality, feedback, and support) (Money, et al., 2015), as well as in the development of community wide objectives and outcomes. These practices are supportive of social innovation as well as addressing smart city objectives (Cohen, et al., 2014; Money & Cohen, 2015, 2015; Nam & Pardo, 2012). Policy innovations in support of social innovation within smart cities encompasses management innovations and can help coordinate strategies across facets of government in an integrated fashion, across sectors, actors and levels, for example linking health and transport polices for the benefit of healthy transportation choices (Nam & Pardo, 2012).

It is important to remember that policy, like planning, does not emerge in a vacuum, but is an iterative process by which the convergence of actions yields a change in societal structure and interactions. Policy emerges from a set of interrelated decisions around achieving situation-specific goals that in principle should be within the actors' power to achieve (Jenkins, 1978). Traditionally, this formulation follows a loose hierarchy where high level abstract principles set the framework that provides the environment in which low-level approaches are implemented, with more recent scholars noting the various degrees to which policy goals are met is a function of the gap between setting goals and achieving stemming from the policy formation process (Hill & Hupe, 2003). An alternative approach, ideally suited toward technology related interventions, is an inductive designoriented process, intentionally inclusive of citizens.

This relatively new approach to the development of policy, the application of design thinking processes, (Lewis, et al., 2020), can be loosely understood as a 'human-centric' approach to policy development that draws from the techniques used by industrial designers. Design thinking is an approach that may help mitigate undesirable technology related problem elements. Design thinking encourages citizens, policy designers, planners, and agencies to work in a collaborative and iterative manner. The most important skill for a design thinker is to 'imagine the world from multiple perspectives – those of colleagues, clients, end-users, and customers (current and prospective). One helpful categorization of stakeholders is the following framework: citizens, members of industry, members of a community,

not-for-profit groups, and government entities. By gathering and consolidating a varied and healthy representation of different stakeholders who reciprocally affect, and are in turn, affected by, the policy formulation process, smart city policies can more closely approach an inclusive outcome. Traditionally, and too frequently, there is a delay in the gathering of these stakeholders until late in the development process. Specifically, according to Mintrom and Luetjens "...after problem definition has occurred, options have been analyzed, and broadly acceptable ways forward have been explored. Consulting at this later stage reduces the risk of policy work being subjected to major challenge and being sent back to the drawing board" (Mintrom and Luetjens, 2016, p.393). The rationale for inclusion of key stakeholder from the initial phases of any smart city design and development springs from this.

In terms of populations that planners might want to be especially cognizant of, people with disabilities are particularly vulnerable to the economic as well as health ravages of COVID-19 (Armitage & Nellums, 2020; Turk & McDemott, 2020). As vulnerable populations, they would especially benefit from inclusive technologies for connecting with government services, information, and social participation found in smart contexts (Kumar & Rawat, 2014; Grigoryeva, et al., 2014). For these stakeholders, the increased impetus towards digital information, communication and exchange holds great promise for keeping pathogens at bay while simultaneously bringing resources closer to hand.

There is, however, room for better accessibility and usability, in terms of brokering social connections, connections to services, vital information and even employment opportunities. While 'smart city' planning has been inclusive of smart governance, consideration of multiple stakeholder perspectives has been inadequate, and the voices of diverse citizen stakeholders are not adequately represented (Marrone & Hammerle, 2018). Engaging citizen participation through shared interests and values is critical to redefining the relationship between people and their city (De Filippi, et al., 2019). Local awareness at the level of neighborhoods as fundamental units of community is an important outgrowth of this approach (De Filippi, et al., 2019; Wahlstrom, et al., 2020) but the bonds of shared interest and values are uncircumscribed by geography. People with disabilities have the same right to having their basic psychological and physiological needs and opportunities as other people, sometimes expressing itself in different or differently emphasized public service needs for accessibility, safety, security, and inclusion (Suryotrisongko, et al., 2017). Properly ensuring access to those rights and needs constitute shared values and interests of people with disabilities, as well as others from excluded groups who are then recognized and made known (Simplican, 2019). One notable example is the way in which online systems are increasingly taking on roles in such domains as employment, education, public safety and access, and healthcare, which have implications for individuals with disabilities and older individuals in the wake of the social and economic changes brought about by COVID-19 (Trewin, et al., 2019).

Community is a defined 'space' geographic or otherwise, associated with feelings of belonging, identity and shared purpose. Inclusion is a key characteristic of community in this context. For people with disabilities cogent arguments have been made for community not necessarily as a place apart, but rather a place that is inclusive and 'convivial,' and safe (Simplican, 2019). For people with disability the 'smart community' can serve as nexus for participation and profound connection in the urban context. Smart Homes, mobility resources, including smart wheelchairs, mapping, parking and routing apps, as well as accessibility data projects can inform the development of inclusive, safe, informative and flexible infrastructure for 'disability-friendly' smart cities (Suryotrisongko, et al., 2017). The COVID-19 digitalization inflection point presents us with an opportunity to conceptualize design features of smart communities facilitating the self-determination of citizens with disabilities.

## 5. INTEGRATING STAKEHOLDER VOICES

Smart cities and more generally smart communities are in part a response to 'wicked problems' resolved by simple or single-faceted solutions. Wicked problems instead require systemic approaches

that encompass transportation, education, energy, and social inequities, leveraging human and social capital, while endeavoring to capture the voices of citizens (Marrone & Hammerle, 2018; Souza, et al, 2016; van Waart, et al., 2015). In the era of COVID-19 with its multi-faceted health, economic and social deprivations particularly afflicting people with disabilities as a wicked problem, a 'smart city' or more broadly, an inclusive, smart environment, approach adapted to their situation and needs is desirable. Moreover, cities are 'multi-actor' and complex containing disparate groups and populations (Wahlstrom, et al., 2020), complicating community problems.

For technology to be responsive to the social and ethical needs of a specific community of interest, it is important to make a paradigm shift for policy design, from 'borderless' technology to technology that is participatory and situated in a locale, be it a community or a neighborhood (Cauvain, et al., 2018; Karvonen, 2013; Viitanen, et al., 2015). Machine learning (ML) is one approach that yields design algorithms suitable for smart cities. Machine learning is a computational approach using large amounts of data to minimize errors, learns patterns, makes predictions and recalibrates accordingly (Canonico, et al., 2018).

The notion of smart aggregations of people with disabilities engaging in citizen participation can be expanded to incorporate networked artificial intelligence autonomous vehicles, intelligent agents, Internet of Things (IoT) where multiple technologies converge (Traunmüller, 2017). Ethical issues around transparency and confidentiality of data, as well as economic and digital divides that make the technological infrastructure less accessible to marginalized populations warrant consideration in the policymaking process (de Wijis, et al., 2016). Information infrastructure is the backbone of smart city architecture and effectiveness, with the aim not only of solving complex urban problems, but also of preventing them, through integrated IT systems, wireless infrastructure, service-oriented systems, real-time awareness, believed to be trustworthy (i.e., confidence-building and caring) empowering consumers and service providers (Cohen, et al., 2014; Money & Cohen, 2015). Trust is a key issue, and a key vulnerability of smart cities in the face of misinformation, inaccessible data, and policies predicated on the interests of particular parties, rather than on the public good. When considering vulnerable populations, such as people with disabilities these issues become more acute – trust, a fair 'marketplace', for exchanges between service providers and consumers, as well as policies that not only protect, but also build capacity. Smart cities are vulnerable to what Joss and colleagues (2017) term a 'techno-bureaucratic governance mode' (p.44) in which complexities are reduced to a small number of readily monitored parameters, social justice concerns are marginalized, and too little public scrutiny is given to governance data, while collective normative concerns are not typically articulated or addressed (Joss, et al., 2017). This supports our argument, advanced above, for including a bottom-up approach to making smart cities grassroots participation within smaller, 'known' communities where trust already exists.

#### 6. SMARTER COMMUNITIES

Smart communities are place-based aggregations that incorporate a bottom-up, organic approach to exerting community-level voices and building capacity using the ICT infrastructure of smart technologies that can blended with top-down engagement approaches (Kim, et al., 2007). Smart communities not only engage community members but also promote social inclusion and a sense of belonging (Zavratnik, et al., 2020). Another permutation of smart community involves hyper-local environments reflecting complex urban landscapes, such as community-university partnerships to foster equitable civic engagement (Leigh, 2017). We propose the concept of volunteer 'smarter communities', which is an overlay, based not on locale, but rather upon shared interests, identity and values. People with disabilities can self-organize into voluntary smarter communities rooted in the experience of disablement, while also participating in a place-bound smart community.

The smarter community could, for example address bureaucratic impediments to participatory self-determination such as a lack of transparency, usability and ease of use barriers that stand-alone

technologies, such as health information technologies, cannot. People with disabilities, whether living in proximity (i.e., within a facility or housing unit) or independently at a remove, could avail themselves of these networked technologies *as a community* to benefit their collective health and well-being. In so doing they can tap into the advantages accruing from aggregated resources and influence. In this fashion, the smarter community can advance the smart future of participation for people with disabilities.

The idea is to engage and connect the core constituents of this community, while identifying assets and building capacity. Virtual linkages to public policies and services that are of particular concern to people with disabilities, such as transportation, employment, and education can invite them to join electronic commons with the aim of increasing the responsiveness of those policies and services. In this effort, partnerships between disability advocacy, service provider and public agencies will be critical to provide coordination and resources for information and exchange, including blogs, bulletin boards and immersive online environments promoting formal and informal encounters, meetings and forums. Undergirding these efforts will be community inventories, asset evaluations, and generate opportunities for participation in the design, as well as continuous assessment of, new services and policies to best respond to the aspirations and needs of those in this smarter community.

Citizens with disabilities also participate in the larger communities in which they reside, work, learn and recreate, so these smarter communities will need to link virtually, as well as physically, with them. Bridging events, issues, services and policies are one component, but so too are shared aspirations and concerns, addressing not only barriers to exclusion, but also common causes and inclusion, while retaining the right to opt for specialized or group-centered actions as needed. Given the broad spectrum of people with disabilities, much as for the general population, the parameters of the smarter community for – and with – people with disabilities will necessarily be flexible and fluid. This reflects the reality that people with disabilities are not a monolithic group, and indeed reflect the underlying diversity (socioeconomic, ethnic, sociocultural, sociopolitical, etc.) of the general population. The essential point is that there will be an increasingly robust and responsive space for people with disabilities to participate as full-fledged community members and citizens of smart cities in this COVID-19 era of pervasive technology.

## 7. A NEW FRAMEWORK

## 7.1. Virtual Communities of Practice

Virtual communities of practice (VCoP) provide some guidance as to how to constitute smarter communities. Communities of practice (CoP) are 'social learning systems' built around groups with shared knowledge and interest in a subject for which the CoP is a vehicle for enhancing their skills and knowledge and build reciprocal social exchanges (Ceran & Bahadir, 2019; Cheung, et al., 2013). The virtual environment permits virtual communities of shared priorities, goals and ideas with the potential to traverse geographical, political and psychological boundaries (Jiminez-Zarco, et al., 2014). The interactions that take place virtually in a VCoP enable members to advance their knowledge, grounded in shared investments in a topic, set of problems or concerns (Gould, et al., 2019). The overarching goal is to promote community-driven leadership, member participation, collaboration, networks, problem solving and knowledge sharing to build capacity, that is, activities, resources and infrastructure to bolster individual and collective capabilities (Gould, et al., 2019). There are clear parallels to the mechanisms, purpose and functioning of VCoP and smart cities, with the caveat that VCoP as voluntary associations, place learning and knowledge building at the heart of the shared enterprise.

Smart cities have more functions than VCoP as they also provide access to concrete services, such as transportation, and an assortment of opportunities for social participation beyond knowledge building and sharing, such as e-government. Moreover, smart cities have a greater focus on problem solving and prevention, rather than knowledge capture and transfer, serving diverse populations. For

instance, 'smart prevention' of cancer as an extension of smart cities, with an emphasis on population shifts in behaviors using micro-environmental level data, and monitoring threats, while leveraging 'smart governance' to modify the context of the threat, rather than altering individual behaviors (Wray, et al., 2018). So-called 'smart tools' deployed by public managers for sustainability, to avoid environmental destruction, such as planning tools (e.g., programmed land use) to mitigate the effects of climate change (Karppi & Vakkuri, 2020). Alberto Vanolo has ascribed the net effect of smart systems for governance and design in service of 'smart urbanity' to a 'smart mentality,' with the caution that a techno-centric vision, uncontested, celebratory and uncritical not prevail over genuine consensus and open discourse (Vanolo, 2014; Karppi & Vakkuri, 2020). A concern with developing genuine consensus as to the means and ends of smart cities, rather than to a default technological determinism presents an argument for smarter communities that engage stakeholders in the issues of highest priority to them.

The idea is to apply some lessons from VCoP in the development of smarter communities built on a foundation of shared interests, knowledge and trust to virtual communities of people with disabilities. Active member participation is critical to the development of VCoP and works best when there is a framework into which participants can build their virtual community space (Hamel, et al., 2012). Although participation in VCoP is often voluntary, leading to fluctuating participation that can be problematic, communities by design tend to work poorly (Hamel, et al., 2012), leading to formally constituted CoP with administrators and built-in maintenance resources in an effort to ensure sustainability (i.e., Antonacci, et al., 2017; Cheung, et al., 2013; Jiminez-Zarco, et al., 2014). Greater sustainability of virtual CoP is, however, actually associated with voluntary communities of learning arising from grassroots organizing or emergent community-based leadership (Bradbury & Middlemiss, 2015; Ceran & Bahadir, 2019). By analogy, we propose smarter communities of people with disabilities designed with a strong emphasis on participatory processes for them to be effective and sustainable.

## 7.2. Universal Design

There are any number of ways of achieving public objectives. Here, we are interested in increasing the inclusivity and facilitating impact of smarter communities. This typically requires a change process which could include (e)planning, policy development, or market mechanisms. Looking specifically at implementation approaches, design thinking can be one that emphasizes inclusive, equitable codesign of a number of key features of the smarter community. Co-design that emphasizes the role of stakeholders most affected by a technology, termed 'participatory design,' is an inclusive approach that facilitates the engagement of people with disabilities in the design process (Trewin, et al., 2019). Accessibility, voice, influence and increased opportunities for participation are key components of realizing an inclusive future for people with disabilities. The concept and practice of participatory universal design (UD) approaches align well with the proposed architecture of smarter communities for people with disabilities. UD, alternatively framed as 'design for all,' is a term that interpreted differently depending on the use context (i.e., product design, rehabilitation, architecture, policy). The core concept is to reduce contextual load and facilitate as many uses of a space (or object, technology, policy, etc.) by as many types of users as possible (Gossett, et al., 2009; Jones, 2014; Lid, 2013). The seven UD principles are equitable, flexible and simple use, perceptible information, error tolerance, low physical effort, adequate size and space (Jones, 2014).

Universal design, as used in this article, incorporates user choice obtained using a participatory design process in which all the community stakeholders - government, citizens and industry - provide design inputs and evaluative feedback on prototypes to achieve more effective options, understanding, and (ideally) a shared vision of the desired community in collaboration (van Waart, et al., 2015). This is consistent with the UD vision of inclusivity, and advances the development and sustainability of a smarter communities by creating broad coalitions of collaborators who engage in a vision and

implementation process, rather than a smaller group of privileged stakeholders that would in any event, be less representative of the wider community.

At its best, UD mitigates ridged ideological and physical boundaries promoting participation beyond the bounds of legal or regulatory requirements of barrier-free or special adaptations, and exceeds the usual scope of accessibility, to encompass all people, regardless of ability status (Gossett, et al., 2009; Jones, 2014). For people with disabilities UD can be a tool to help achieve full citizenship: facilitating 'presence', fostering relationships, promoting dignity, inclusion (Lid, 2016). The endpoint is ethical engagement, social inclusion, and spatial participation, aligned with the values and knowledge base of planning philosophy (Lid, 2016). Thus, we argue that, UD is more than simply a design approach, but can inform innovative community decision-making that is integral to the formation and deployment of smarter communities for people with disabilities.

### 8. CONCLUSION

The current state of social flux, a consequence of the changes wrought by social adjustment to a global pandemic, perversely offers the opportunity to effect transformation that results in more inclusive, rewarding communities. Bringing into conversation, inclusive smart technologies, inclusive design approaches, and innovative community processes can create synergies of community change for people with disabilities. While this can work independently — smarter communities for instance could at minimum be just smart cities, designed and functioning in isolation. Similarly, they are not restricted to a specific physical, or contextual environment, or by political boundaries. The focus of community, or it may overlap with larger interests nested in the contextual metropolitan (or organizational) construct. Smarter communities are, ideally, responsive to problems of import to their community members, which may or may not translate into the larger, complex 'wicked' problems.

The relationship of a smart community 'overlay' to associated planning context is critical for leveraging the collective community power (social, economic, or political influence) which will compound the return on the investment for members. Becoming a learning community is a key opportunity for communities, smart or otherwise, and learning (or, if you will - becoming smarter) – is an outcome of sharing experience and perspectives. In the era of COVID-19, which has stimulated so many novel socio-technical responses, reflective data collection as a purposeful tool for adapting to rapid change is key to over-the-horizon planning. The smarter community provides a platform for articulating and advancing the needs and aspirations of people with disabilities.

There are three synergistic outcomes of smarter community sustainability: engagement, evaluation and leadership, which emerge from the underlying inputs - inclusive smart technologies, inclusive design approaches, and innovative community processes noted above. Engagement in enhanced by availability of an accessible ICT environment, involvement in social networks, and an emergent community that has the knowledge and skills collectively to engage on the issues of shared interest. The ecosystem of public, non-profit and private organizations that provide services for people with disabilities can purvey the necessary context, education and training.

Participatory-designed mechanisms that allow members to give and receive evaluative feedback on progress towards common goals provide opportunities for continued engagement, as well as information for making needed changes. Relationship building with the larger city social infrastructure becomes an avenue through which extant feedback mechanisms hosted by the smart city provide access. Hence, the smart community need not replicate the communication infrastructure of an associated smart city, and smart city constituents can avail themselves of the feedback mechanisms already in place. Leadership in the smart community dependent on the stakeholder can range from formal and traditional informal and situational, as determined by the needs of the community. This has the benefit of facilitating adaptive responses to changing circumstances and membership. Leadership emerges organically based on group composition, salient issues, developmental goals, and smart city context through discourse. Virtual communication modes and social networking can form a key component of that discourse and help foster an emergent sense of community as has begun in the Global South with examples in India and China (Muggah, 2015). Community-based organizations, advocacy groups and other social network 'nodes' can provide some underlying structure and sustainability, while remaining flexible. Smarter community cohesion and identity will likely modify over time in response to changing contexts.

The coevolution of smart communities and smart cities as they strive to meet the changing needs and aspirations of their citizens with disabilities, as well as other communities of interest, will present planners with useful data that can inform new visions of communal life undergirded by ICT and other digital technologies. With its many impacts on social, economic and political life, COVID-19 has the potential to alter communal life significantly in ways that we cannot yet predict. The COVID-19 era turn towards technology as an intermediary in the transformation of social, economic and political life is another change vector that is illustrative rather than prescriptive. Moreover, digital technologies have important ethical consequences as a "…social ordering entity whose detrimental effects (unintended results caused by technological developments) cannot be predicted" (Biachini & Avila, 2014, p.37).

The challenge of COVID-19 for smarter communities from a planning perspective is formidable as it involves integrating what has traditionally been a deliberated, top-down public process with an organic bottom-up digital transformation for citizen participation in a blended approach that gives voice to the marginalized citizens. Policy approaches such as funding for time-limited technology-related demonstration projects, on one hand, and technology-related regulations aimed at protecting the rights of vulnerable populations, on the other, provide guardrails on the pace of change and update the social contract to reflect new realities. The process of planning in the face of digital transformation, can be more facilitative and less directive, but still critical in ensuring that the policy and ethical contexts in which smarter communities evolve support the stakeholder self-determination, transparency in governance, data privacy, and accessible services. It involves working with multiple stakeholders and sectors as a good faith agent promoting a harmonization process that does not squelch marginalized voices, but rather works to reconcile competing views and values without compromising them in the process.

## ACKNOWLEDGMENT

The contents of this article were developed in part under a grant from the National Institute on Disability, Independent Living, and Rehabilitation Research (NIDILRR grant number 90RE5025). NIDILRR is a Center within the Administration for Community Living (ACL), Department of Health and Human Services (HHS). The contents of this paper do not necessarily represent the policy of NIDILRR, ACL, HHS, and you should not assume endorsement by the Federal Government.

## REFERENCES

Antonacci, G., Fronzetti Colladon, A., Stefanini, A., & Gloor, P. (2017). It is rotating leaders who build the swarm: Social network determinants of growth for healthcare communities of practice. *Journal of Knowledge Management*, 21(5), 1281–1239. doi:10.1108/JKM-11-2016-0504

Armitage, R., & Nellums, L.B. (2020). The COVID-19 response must be disability inclusive. *The Lancet Public Health*, 5(5), E257(Open Access). 10.1016/ S2468-2667(20)30076-1

Bednarska-Olejniczak, D., Olejniczak, J., & Svobodova, L. (2019). Towards a smart and sustainable city with the involvement of public participation – the case of Wroclaw. *Sustainability*, 11(2), 332. doi:10.3390/su11020332

Bevins, F., Bryant, J., Krishnan, C. & Law, J. (2020, April). *Coronavirus: How should US higher education plan for an uncertain future?* McKinsey & Company, Public Sector Practice.

Bianchini, D., & Avila, I. (2014, Spring). Smart cities and their smart decisions: Ethical considerations. *IEEE Technology and Society Magazine*, 33(1), 34–40. doi:10.1109/MTS.2014.2301854

Blackburn, S., LaBerge, L., O'Toole, C., & Schneider, J. (2020, April). *Digital strategy in a time of crisis*. McKinsey & Company, Digital.

Bradbury, S., & Middlemiss, L. (2015). The role of learning in sustainable communities of practice. *Local Environment*, 20(7), 796–810. doi:10.1080/13549839.2013.872091

Breuer, J., Walravens, N., & Ballon, P. (2014). Beyond defining the smart city: Meeting top-down and bottomup approaches in the middle. *TeMA Journal of Land Use, Mobility and Environment*. 10.6092/1970-9870-2475

Canonico, L. B., McNeese, N. J., & Duncan, C. (2018, September). Machine learning as grounded theory: Human-centered interfaces for social network research through Artificial Intelligence. *Proceedings of the Human Factors and Ergonomics Society*, 62(1), 1252–1256. doi:10.1177/1541931218621287

Castka, P., Searcy, C., & Fisher, S. (2020). Technology-enhanced auditing in voluntary sustainability standards: The impact of COVID-19. *Sustainability*, *12*(11), 4740. doi:10.3390/su12114740

Cauvain, J., Karvonen, A., & Petrova, S. (2018). Market-based low-carbon retrofit in social housing: Insights from Greater Manchester. *Journal of Urban Affairs*, 40(7), 937–951. doi:10.1080/07352166.2018.1439340

Ceran, O., & Bahadir, H. (2019). Online communities of practice: Sustainable leadership model. *Journal of Learning and Teaching in Digital Age*, 4(1), 140–147. https://www.learntechlib.org/p/209522/

Cheung, C., Lee, M., & Lee, Z. (2013). Understanding the continuance intention of knowledge sharing in online communities of practice through the post-knowledge-sharing evaluation process. *Journal of the American Society for Information Science and Technology*, *64*(7), 1357–1374. doi:10.1002/asi.22854

Clever, S., Crago, T., Polka, A., Al-Jaroodi, J., & Mohamed, N. (2018). Ethical analysis of smart city applications. *Urban Science*, 2(4), 96. doi:10.3390/urbansci2040096

Cohen, S., Money, W., & Quick, M. (2014). Improving integration and insight in smart cities with policy and trust. *WIMS*, *57*. doi:10.1145/2611040.2611091

Courtenay, K., & Perera, B. (2020). COVID-19 and people with intellectual disability: Impacts of a pandemic. *Irish Journal of Psychological Medicine*, 1–6. Advance online publication. doi:10.1017/ipm.2020.45 PMID:32404232

De Filippi, F., Coscia, C., & Guido, R. (2019). From smart-cities to smart-communities: How can we evaluate the impacts of innovation and inclusive processes in urban context? *International Journal of E-Planning Research*, 8(2), 24–44. doi:10.4018/IJEPR.2019040102

De Waal, M., & Dignum, M. (2017). The citizen in the smart city. How the smart city could transform citizenship. *Información Tecnológica*, 59(6), 263–273. doi:10.1515/itit-2017-0012

De Wijis, L., Witte, P., & Geertman, S. (2016). How smart is smart? Theoretical and empirical considerations on implementing smart city objectives – a case study of Dutch railway station areas. Innovation. *European Journal of Soil Science*, 29(4), 424–441. doi:10.1080/13511610.2016.1201758

Denker, A. H., & Baker, P. M. A. (2020). Digital tech for inclusive aging: Usability, design and policy. *Journal on Technology and Persons with Disabilities*, 7, 255–264.

Dimson, J., Foote, E., Ludolph, J., & Nikitas, C. (2020). When governments go remote. McKinsey & Company, Public Sector Practice. Author.

Dubov, A., & Shoptaw, S. (2020). The value and ethics of using technology to contain the COVID-19 epidemic (Letter to the Editor). The American Journal of Bioethics. doi:<ALIGNMENT.qj></ALIGNMENT>10.1080/15265161.2020.1764136

Feder-Levy, E., Liebertal, E. B., & Portugali, J. (2016). The well-informed city: A decentralized, bottom-up model for smart city service using information and self-organization. 2016 IEEE International Smart Cities Conference (ISC2), 1-4. doi:10.1109/ISC2.2016.7580767

Gandy, M., Baker, P. M. A., & Zeagler, C. (2017). Imagining Futures: A Collaborative Policy Design for Wearable Computing. *Futures*, 87(March), 106–121. doi:10.1016/j.futures.2016.11.004

Gohari, S., Baer, D., Nielsen, B. F., Glicher, E., & Situmorang, W. Z. (2020). Prevailing approaches and practices of citizen participation in smart city projects: Lessons from Trondheim, Norway. *Infrastructures*, *5*(4), 36. doi:10.3390/infrastructures5040036

Gossett, A., Mirza, M., Barnds, K., & Feidt, D. (2009). Beyond access: A case study on the intersection between accessibility, sustainability and universal design. *Disability and Rehabilitation. Assistive Technology*, *4*(6), 439–450. doi:10.3109/17483100903100301 PMID:19817658

Gould, D. W., Lamb, E., Dearth, S., & Collier, K. (2019). Building state and public health capacity in syndromic surveillance through an online community of practice. *Public Health Reports*, *134*(3), 233–227. doi:10.1177/0033354919828713 PMID:30763205

Grigoryeva, I., Shubinskiy, M., & Mayorova, E. (2014). ICT as a driver for senior citizens' social inclusion. In *Proceedings of the 8th International Conference on Theory and Practice of Electronic Governance* (pp. 292-295). Association for Computing Machinery. doi:10.1145/2691195.2691260

Hamel, C., Benyoucef, M., & Kuziemsky, C. (2012). Determinants of participation in an Inuit online community of practice. *Knowledge Management Research*, *10*(1), 41–54. doi:10.1057/kmrp.2011.15

Hill, M., & Hupe, P. (2003). The multi-layer problem in implementation research. *Public Management Review*, 5(4), 471–490. doi:10.1080/1471903032000178545

Howard, A., & Borenstein, J. (2020). AI, robots and ethics in the age of COVID-19. *MIT Sloan Management Review*. https://sloanreview.mit.edu/article/ai-robots-and-ethics-in-the-age-of-covid-19/

Jenkins, W. I. (1978). Policy Analysis: A Political and Organizational Perspective. St. Martin's Press.

Jiminez-Zarco, A., Gonzalez-Gonzalez, I., Sagio-Rubio, F., & Torrent-Sellens, J. (2014). The co-learning process in healthcare professionals: Assessing user satisfaction in virtual communities of practice. *Computers in Human Behavior*, *51*(Part B), 1303-1313. 10.1016/j.chb.2014.11.057

Jones, P. (2014). Situating universal design architecture: Designing with whom? *Disability and Rehabilitation*, *36*(16), 1369–1374. doi:10.3109/09638288.2014.944274 PMID:25066068

Joss, S., Cook, M., & Dayot, Y. (2017). Smart cities: Towards a new citizenship regime? A discourse analysis of the British Smart City Standard. *Journal of Urban Technology*, 24(4), 29–49. doi:10.1080/10630732.2017.1336027

Karppi, I., & Vakkuri, J. (2020). Becoming smart? Pursuit of sustainability in urban policy design. *Public Management Review*, 22(5), 746–766. doi:10.1080/14719037.2020.1718188

Karvonen, A. (2013). Towards systemic domestic retrofit: A social practices approach. *Building Research and Information*, *41*(5), 563–574. doi:10.1080/09613218.2013.805298

Kim, Y. M., Bazant, E., & Storey, J. D. (2007). Smart patient, smart community: Improving client participation in family planning consultations through a community education and mass media program in Indonesia. *International Quarterly of Community Health Education*, 26(3), 247–270. doi:10.2190/IQ.26.3.d PMID:17827094

Volume 10 • Issue 2 • April-June 2021

Kumar, S., & Rawat, S. (2014). e-Participation: Smart-phoning the less abled. In *Proceedings of the 8th International Conference on Theory and Practice of Electronic Governance* (pp. 460-461). Association for Computing Machinery.

Kupper, H., Banks, L., Bright, T., Davey, C., & Shakespeare, T. (2020). Disability-inclusive COVID-19 response: What it is, why it is important and what we can learn from theUnited Kingdom's response. *Wellcome Open Research*, *5*, 79. doi:10.12688/wellcomeopenres.15833.1 PMID:32500099

Leigh, E. W. (2017). An exploration of 'hyper-local' community-university engagement in the development of smart cities. *Equity & Excellence in Education*, 50(4), 421–433. doi:10.1080/10665684.2017.1393642

Lewis, J. M., McGann, M., & Blomkamp, E. (2020). When design meets power: Design thinking, public sector innovation and the politics of policymaking. *Politics & Policy*, 48(1), 111–130. doi:10.1332/03055731 9X15579230420081

Lid, I. M. (2013). Developing the theoretical content in Universal Design. *Scandinavian Journal of Disability Research*, 15(3), 203–215. doi:10.1080/15017419.2012.724445

Lid, I. M. (2016). Implementing universal design in a Norwegian context: Balancing core values and practical priorities. *Disability Studies Quarterly*, *36*(2), 1–15. doi:10.18061/dsq.v36i2.3234

Marrone, M., & Hammerle, M. (2018). Smart cities: A review and analysis of stakeholders' literature. *Business & Information Systems Engineering*, 60(3), 197–213. doi:10.1007/s12599-018-0535-3

Massey, B., Verma, P., & Khadem, S. (2018). Citizen engagement as a business model for smart energy communities. *5th International Symposium on Environment-Friendly Energies and Applications (EFEA)*, 1-6. doi:10.1109/EFEA.2018.8617063

Mazzoleni, S., Turchetti, G., & Ambrosini, N. (2020). The COVID-19 outbreak: From 'black swan' to global challenges and opportunities [Editorial]. *Pulmonology*, *26*(3), 117–118. doi:10.1016/j.pulmoe.2020.03.002 PMID:32291202

Mintrom, M., & Luetjens, J. (2016). Design thinking in policymaking process: Opportunities and challenges. *Australian Journal of Public Administration*, 75(3), 391–402. doi:10.1111/1467-8500.12211

Money, W. H., & Cohen, S. (2015). Developing a marketplace for smart cities foundational services with policy and trust. *International Journal of Computer Science: Theory and Application. ORB Academic Publisher*, 3(1), 1–12.

Moon, N.W., Baker, P.M.A., & Goughnour, K.P. (2019). Designing wearable technologies for users with disabilities: Accessibility, usability, and connectivity factors. *Journal of Rehabilitation and Assistive Technologies Engineering*, *6*, 1-12. https://10.1177/2055668319862137

Muggah, R. (2015). A manifesto for the fragile city. Journal of International Affairs, 68(2), 19-36.

Musakwa, W., & Gumbo, T. (2017). Impact of urban policy on transportation in Gauteng, South Africa: Smart or dumb city systems is the question. In Carbon Footprint and the Industrial Life Cycle, (pp. 339-356). Green Energy and Technology. doi:10.1007/978-3-319-54984-2\_16

Nam, T., & Pardo, T. (2012). Transforming city government: A case study of Philly. *Proceedings of the 6th International Conference of Theory and Practice of Electronic Governance*, 310-319. doi:10.1145/2463728.2463787

Ryan, M., & Gregory, A. (2019). Ethics of using smart city AI and big data: The case of four large European Cities. *ORBIT Journal*, 2(2), 1–36. doi:10.29297/orbit.v2i2.110

Shew, A. (2020). Let COVID-19 expand awareness of disability tech. *Nature*, *581*(7806), 9. doi:10.1038/d41586-020-01312-w PMID:32372043

Simplican, S. C. (2019). Theorizing community participation: Successful concept or empty buzzword? *Research and Practice in Intellectual and Developmental Disabilities*, 6(2), 116–124. doi:10.1080/23297018.2018.1503938

Souza, A., Figueredo, M., & Cacho, N. (2016). Social smart city: A platform to analyze social streams in smart city initiatives. *IEEE International Smart Cities Conference (ISC2)*, 1-6. doi:10.1109/ISC2.2016.7580848

Suryotrisongko, H., Kusuma, R. C., & Ginardi, H. (2017). Four-hospitality: Friendly smart city design for disability. *Procedia Computer Science*, *124*, 615–623. doi:10.1016/j.procs.2017.12.197

Tang, Z., Jayakar, K., Feng, X., Zhang, H., & Peng, R. X. (2019). Identifying smart city archetypes from the bottom up: A content analysis of municipal plans. *Telecommunications Policy*, *43*(10), 101834. Advance online publication. doi:10.1016/j.telpol.2019.101834

Ting, D. S. W., Carin, L., Dzau, V., & Wong, T. Y. (2020). Digital technology and COVID-19. *Nature Medicine*, 26(4), 458–464. doi:10.1038/s41591-020-0824-5 PMID:32284618

Toli, A. M., & Murtagh, N. (2020). The concept of sustainability in smart city definitions. *Frontiers in Built Environment*, 6, 77. doi:10.3389/fbuil.2020.00077

Torous, J., Myrick, K. J., Rauseo-Ripcupero, N., & Firth, J. (2020). Digital mental health and COVID-19: Using technology today to accelerate the curve on access and quality tomorrow. *JMIR Mental Health*, 7(3), 38848. doi:10.2196/18848 PMID:32213476

Traunmüller, R. (2017). Digitalization as a challenge for electronic government. In *Electronic Government and the Information Systems Perspective, 6th International Conference, Lyon, France, August 28-31 Proceedings* (pp. 3-8). Springer. doi:10.1007/978-3-319-64248-2\_1

Trewin, S., Basson, S., Muller, M., Branham, S., Treviranus, J., Gruen, D., & Manser, E. (2019). Considerations for AI fairness for people with disabilities. *AI Matters*, 5(3), 40–63. doi:10.1145/3362077.3362086

Turk, M. A., & McDermott, S. (2020). The COVID-19 pandemic and people with disability. *Disability and Health Journal*, *13*(3), 100944. Advance online publication. doi:10.1016/j.dhjo.2020.100944 PMID:32475803

Van Waart, P., Mulder, I., & de Bont, C. (2015). A participatory approach for envisioning a smart city. *Social Science Computer Review*, 1–16. doi:10.1177/0894439315611099

Vanolo, A. (2014). *Smartmentality: The smart city as a disciplinary strategy*. Urban Studies Journal Limited. doi:10.1177/0042098013494427

Viitanen, J., Connell, P., & Tommis, M. (2015). Creating smart neighborhoods: Insights from two low-carbon communities in Sheffield and Leeds, United Kingdom. *Journal of Urban Technology*, 22(2), 19–41. doi:10.10 80/10630732.2014.971537

Wahlstrom, M. H. (2020). Planning Cities4People: A body and soul analysis of urban neighbourhoods. *Public Management Review*, 22(5), 687–700. doi:10.1080/14719037.2020.1718190

Wray, A., Olstad, D. L., & Minaker, L. M. (2018). Smart prevention: A new approach to primary and secondary cancer prevention in smart and connected communities. *Cities (London, England)*, 79, 53–69. doi:10.1016/j. cities.2018.02.022

Xu, H., & Geng, X. (2019). People-centric service intelligence for smart cities. *Smart Cities*, 2(2), 135–152. doi:10.3390/smartcities2020010

Yigitcanlar, T., Desouza, K. C., Butler, L., & Roozkhosh, F. (2020). Contributions and risks of Artificial Intelligence (AI) in building smarter cities: Insights from a systematic review of the literature. *Energies*, *13*(6), 1473. doi:10.3390/en13061473

Zavratnik, V., Podjed, D., Trilar, J., Hlebec, N., Kos, A., & Duh, E. S. (2020). Sustainable and community-centred development of smart cities and villages. *Sustainability*, *12*(10), 3961. doi:10.3390/su12103961

#### International Journal of E-Planning Research

Volume 10 • Issue 2 • April-June 2021

John Bricout, Ph.D., is a professor of social work at the UMN School of Social Work. His research focuses chiefly on social technologies and the community participation of people with disabilities. In particular, his work focuses on, online community participation, user engagement, and the ethical design of socially assistive robotics for extending the capabilities and opportunities of people with disabilities.

Paul M. A. Baker, Ph.D., is the Senior Director, Research and Strategic Innovation at the Center for Advanced Communications Policy (CACP), and he holds the rank of Principal Research Scientist with the School of Public Policy. He is also Interim Chief Operating Officer for The Center for the Development and Application of Internet of Things Technologies (CDAIT).

Nathan W. Moon, Ph.D., is a Senior Research Scientist at the Georgia Institute of Technology, and he serves as Director of Research of the Center for Advanced Communications Policy (CACP) at Georgia Tech. His research focuses on increasing access to education and employment for people with disabilities, with specializations in the accessibility of information and communications technologies (ICTs), workplace accommodations and employment policy, broadening participation in STEM education, and program evaluation.

Bonita Sharma, Ph.D., is an assistant professor of social work in the UTSA School of Social Work. She studies the Impact of natural and built environment on sustainability and gender equality, community and global health, technology, empowerment and leadership of women and human mobility.