

# *Flow-induced reconfiguration of buoyant and flexible aquatic vegetation*

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1	Flow induced reconfiguration of buoyant and flexible aquatic vegetation
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#### 13 Abstract

14 Plant posture can play a key role in the health of aquatic vegetation, by setting drag, 15 controlling light availability, and mediating the exchange of nutrients and oxygen. We study the 16 flow-induced reconfiguration of buoyant, flexible aquatic vegetation through a combination of 17 laboratory flume experiments and theoretical modeling. The laboratory experiments measure 18 drag and posture for model blades that span the natural range for seagrass stiffness and 19 buoyancy. The theoretical model calculates plant posture based on a force balance that includes 20 posture-dependent drag and the restoring forces due to vegetation stiffness and buoyancy. When 21 the hydrodynamic forcing is small compared to the restoring forces, the model blades remain upright and the quadratic law,  $F_x \propto U^2$ , predicts the drag well ( $F_x$  is drag, U is velocity). When 22 23 the hydrodynamic forcing exceeds the restoring forces, the blades are pushed over by the flow, 24 and the quadratic drag law no longer applies. The model successfully predicts when this 25 transition occurs. The model also predicts that when the dominant restoring mechanism is blade stiffness, reconfiguration leads to the scaling  $F_x \propto U^{4/3}$ . When the dominant restoring mechanism 26 27 is blade buoyancy, reconfiguration can lead to a sub-linear increase in drag with velocity, i.e.,  $F_x$  $\propto U^a$  with a < 1. Laboratory measurements confirm both these predictions. The model also 28 29 predicts drag and posture successfully for natural systems ranging from seagrasses to marine 30 macroalgae of more complex morphology.

#### 31 Introduction

32 The most obvious hydrodynamic effect of aquatic vegetation is that it provides resistance 33 to flow. In the past, this has led to aquatic vegetation being removed from river channels to 34 increase conveyance capacity and reduce flooding (Kouwen and Unny 1973). It is now 35 recognized that aquatic vegetation provides many important ecosystem services by resisting flow 36 and altering local flow conditions (Carpenter and Lodge 1986; Bouma et al. 2005; Peralta et al. 37 2008). By reducing the near-bed flow, benthic vegetation promotes the sedimentation of 38 suspended material and inhibits sediment resuspension, thereby limiting erosion (Fonseca and 39 Fisher 1986; Barko and James 1998). A reduction in suspended material leads to greater light 40 penetration and enhanced productivity (Madsen et al. 2001; de Boer 2007). The ensuing low 41 flow environment within vegetation beds serves as shelter for fish and aquatic invertebrates. 42 However, these ecosystem services come at a cost – the vegetation must withstand the equal and 43 opposite drag force exerted by the water, which can damage or dislodge the vegetation (Denny et 44 al. 1998; Bouma et al. 2005).

45 Many aquatic macrophytes are flexible. They are pushed over into more streamlined 46 postures with increasing velocity. Relative to rigid, upright vegetation, this reconfiguration leads 47 to significantly reduced drag for flexible vegetation (Koehl 1984; Vogel 1994). In addition to 48 setting drag, posture influences other processes important to the health of aquatic vegetation. For 49 example, vegetation posture controls light availability. An upright posture exposes the vegetation 50 to higher light intensities, while a streamlined posture increases the projected leaf area absorbing 51 the incoming light but makes self-shading among neighboring macrophytes more likely 52 (Zimmerman 2003). Posture can also control nutrient and oxygen exchange between the 53 vegetation and the surrounding water. Faster flows perpendicular to the vegetation lead to thinner

54 diffusive boundary layers around the vegetation, which can enhance the rate of nutrient (Hurd 55 2000) and oxygen (Mass et al. 2010) transfer. In addition to regulating the health of the 56 vegetation, nutrient uptake and oxygen production provide an important ecosystem service: 57 aquatic vegetation prevents dangerous eutrophication and anoxia (Costanza et al. 1997). Previous 58 studies show that the morphology of aquatic vegetation can change in response to the local 59 hydrodynamic environment (Puijalon et al. 2005; Peralta et al. 2006; Stewart 2006), reflecting 60 the feedbacks between flow, plant posture and the biological processes described above. 61 Due to its importance to flood and ecosystem management, the physical interaction 62 between water flow and aquatic vegetation has received significant attention (Nikora 2010). 63 There have been numerous attempts to characterize the drag generated by flexible vegetation in 64 unidirectional currents starting with Kouwen and Unny (1973). However, a universal description 65 of reconfiguration and drag for flexible aquatic vegetation remains elusive (see discussion of 66 Sand-Jensen 2003 by Green 2005; Sukhodolov 2005; Statzner et al. 2006). Reconfiguration can 67 also be important for terrestrial vegetation in wind-exposed environments (Harder et al. 2004). In 68 a recent review concerning the effect of wind on plants, de Langre (2008) proposed a simple 69 reconfiguration model balancing the opposing moments due to aerodynamic drag and plant 70 stiffness that qualitatively reproduced the trends observed in experimental drag data. There is, 71 however, an important distinction between terrestrial and aquatic vegetation – aquatic vegetation 72 can be positively buoyant. Seagrass blades have gas-filled lacunae (Penhale and Wetzel 1983), 73 while kelps and other macroalgae have gas-filled floats called pneumatocysts (Denny et al. 1997; 74 Stewart 2006). As a result, hydrodynamic drag is resisted both by vegetation stiffness and 75 buoyancy (Stewart 2006).

#### Buoyant, flexible vegetation in flow

76 Previous models examining the reconfiguration of aquatic vegetation, developed by 77 Green (2005) and Abdelrhman (2007), consider vegetation stiffness to be negligible. Hence, 78 these models are not universally applicable. A recent study by Dijkstra and Uittenbogaard (2010) 79 does consider the interaction between flow and vegetation that is both buoyant and has non-80 negligible stiffness. However, Dijkstra and Uittenbogaard (2010) focus primarily on the effect of 81 the vegetation on the flow structure, without addressing vegetation reconfiguration in detail. In 82 this study, we focus on the effect of unidirectional flow on vegetation posture and drag, making 83 our work complementary to that of Dijkstra and Uittenbogaard (2010). Alben et al. (2002, 2004) 84 and Gosselin et al. (2010) show how the reconfiguration of flexible bodies depends on the 85 relative magnitude of the drag force and the restoring force due to body stiffness. We extend 86 these recent advances in our understanding of flow-induced reconfiguration for application to 87 aquatic vegetation by explicitly considering both rigidity and buoyancy as restoring forces. For 88 simplicity, we develop the model for individual blades with rectangular cross-sections 89 characteristic of seagrasses. However, the same physical principles hold for other 90 morphologically complex salt- and fresh-water vegetation. We show that the model is able to 91 predict posture and drag for systems ranging from model blades (present study), to real 92 seagrasses (Fonseca and Kenworthy 1987; Abdelrhman 2007), and marine macroalgae of 93 complex morphology (Stewart 2006).

#### 94 Methods

95 Theoretical model for buoyant, flexible vegetation in flow

To develop a model describing the flow-induced reconfiguration of buoyant, flexible
seagrass blades, we start with a few simplifying assumptions. First, we assume that the blades
can be modeled as isolated, buoyant, inextensible elastic beams of constant width (*b*), thickness

99 (*t*), density ( $\rho_{\nu}$ ), and elastic modulus (*E*). Second, the horizontal velocity (*U*) is uniform over 100 depth. Third, we consider steady flow where the dominant hydrodynamic force is form drag. 101 Viscous skin friction is assumed to be negligible. Later, we discuss how the model can be 102 modified to account for more complex vegetation morphologies as well as spatial variations in 103 vegetation and flow properties. We also develop a formal criterion to indicate when skin friction 104 becomes important. Unsteady flows, such as those induced by surface waves, are not considered 105 in this paper.

We use the curvilinear coordinate system shown in Fig. 1, in which *s* is the distance along the blade from the base and  $\theta$  is the local bending angle of the blade relative to the vertical ( $\theta = 0$ denotes an upright posture). The blade length is *l*, so that *s* = *l* represents the tip of the blade. Form drag, which derives from the velocity normal to the blade surface, is represented using a standard quadratic law. The drag force per unit blade length is,

111 
$$f_D = (1/2)\rho C_D b U^2 \cos^2 \theta$$
 (1)

112 where  $\rho$  is the density of the water and  $C_D$  is the drag coefficient (Blevins 1984; Schouveiler et 113 al. 2005). The drag force is resisted by blade stiffness and blade buoyancy. The blade-normal 114 restoring force due to stiffness (*V*) is the spatial derivative of the internal bending moment, M =115  $EI(d\theta/ds)$ , i.e.,

116 
$$V = -EI\frac{d^2\theta}{ds^2}$$
(2)

117 where  $I (=bt^3/12)$  is the second-moment of area (Alben et al. 2002; Gosselin et al. 2010). The 118 vertical buoyancy force is

119 
$$f_B = \Delta \rho g b t = (\rho - \rho_v) g b t$$
(3)

120 per unit blade length. Here,  $\Delta \rho$  is the density difference between the water and the blade, and *g* is 121 gravitational acceleration.

122 The inset in Fig. 1 shows the blade-normal force balance for  $s \ge s^*$ , where  $s^*$  is an 123 arbitrary position along the blade. This force balance yields the governing equation for posture:

124 
$$V^{*}(s^{*}) + \int_{s^{*}}^{l} f_{B} \sin \theta^{*}(s^{*}) ds = \int_{s^{*}}^{l} \cos(\theta(s) - \theta^{*}(s^{*})) f_{D}(\theta(s)) ds$$
(4)

125 (rigidity) (buoyancy) (drag)

V\* is the blade-normal restoring force due to stiffness at  $s = s^*$ , and  $\theta^*$  is the bending angle at s =126 127  $s^*$ . The buoyancy force acts vertically and so the component of the buoyancy force acting in the 128 direction of  $V^*$  is  $f_B \sin \theta^*$  per unit blade length. Hence, the integral on the left-hand side of Eq. 4 represents the projection in the direction of  $V^*$  of the total buoyancy force for  $s \ge s^*$ . Similarly, 129 130  $f_D$  is the blade-normal drag force per unit length, and so the integral on the right-hand side 131 represents the projection in the direction of  $V^*$  of the total drag force for  $s \ge s^*$ . A force balance 132 parallel to the blade would yield an expression for the blade tension at  $s^*$ . However, we do not 133 explicitly calculate blade tension here.

Using the complete expressions for  $f_B$  (Eq. 3) and  $f_D$  (Eq. 1), and evaluating the integral on the left-hand side, Eq. 4 can be rewritten as:

136 
$$-EI\frac{d^2\theta}{ds^2}\Big|_{s^*} + \Delta\rho gbt(l-s^*)\sin\theta^* = \frac{1}{2}\rho C_D bU^2 \int_{s^*}^l \cos(\theta-\theta^*)\cos^2\theta \, ds \tag{5}$$

To make Eq. 5 dimensionless, we replace *s* with a normalized coordinate  $\hat{s} = s/l$  so that  $\hat{s} = 1$ represents the tip of the blade and  $\hat{s}^* = s^*/l$  is an arbitrary position along the blade, as before. With this normalization, the curvature term in Eq. 5 scales as  $|d^2\theta/ds^2| \sim 1/l^2$ , and the restoring force due to blade stiffness scales as  $EI/l^2$ . This scaling is reasonable when the blade bends gradually over its entire length. For streamlined postures, however, the blades bend significantly 142 over a short distance close to the bed, producing curvature that is much larger than  $1/l^2$ .

143 Therefore, we should keep in mind that the scale  $EI/l^2$  underestimates the restoring force due to

144 blade stiffness when bending occurs locally, e.g., only near the bed.

145 Dividing Eq. 5 by the factor  $EI/l^2$  yields the following dimensionless equation for 146 posture, i.e., describing  $\theta^* = f(s^*)$ 

147 
$$-\frac{d^2\theta}{d\hat{s}^2}\Big|_{\hat{s}^*} + B(1-\hat{s}^*)\sin\theta^* = Ca\int_{\hat{s}^*}^1\cos(\theta-\theta^*)\cos^2\theta \ d\hat{s}$$

148 Posture is essentially controlled by two dimensionless parameters:

149 
$$B = \frac{\Delta \rho g b t l^3}{EI}$$
(7)

150 
$$Ca = \frac{1}{2} \frac{\rho C_D b U^2 l^3}{EI}$$
(8)

151 Physically, *B* represents the ratio of the restoring force due to buoyancy and the restoring force 152 due to stiffness. We call this the buoyancy parameter. *Ca* is the Cauchy number, which indicates 153 the relative magnitude of the hydrodynamic drag and the restoring force due to stiffness. Finally, 154 we impose the following boundary conditions (Alben et al. 2002; Gosselin et al. 2010): the base 155 of the blade is a clamped joint,  $\theta = 0$  at  $\hat{s} = 0$ , and the tip of the blade is free,  $d\theta/d\hat{s} = 0$  at  $\hat{s} = 1$ .

156 Crucially, *B* and *Ca* reflect the assumptions made in order to normalize Eq. 5.

157 Specifically, the drag and buoyancy scales represent the maximum possible values for these

158 forces, whereas the scale  $EI/l^2$  can underestimate the stiffness restoring force, as discussed

159 previously. The potential mismatch in scales is evident in Eq. 6. The term reflecting the

160 buoyancy force is proportional to the factor  $(1-\hat{s})\sin\theta$  which cannot exceed 1. Similarly, the

value of the integral on the right-hand side, representing the drag force, also cannot exceed 1.

162 However, the curvature term is unbounded. For streamlined postures, where blade curvature is

(6)

163 large close to the bed,  $|d^2\theta/ds^2| \gg 1$ , and the restoring force due to blade stiffness is larger than 164 that suggested by the scale  $EI/l^2$ .

Reconfiguration reduces drag through two different mechanisms. First, reconfiguration reduces the frontal area of the vegetation, and second, the reconfigured shape tends to be more streamlined (de Langre 2008). To quantify the reduction of drag due to reconfiguration we propose an effective blade length,  $l_e$ . This is defined as the length of a rigid, vertical blade that generates the same horizontal drag as the flexible blade of total length l. In dimensionless terms, the effective length is:

171 
$$\frac{l_e}{l} = \frac{\int_0^l (1/2)\rho C_D b U^2 \cos^3 \theta \, ds}{(1/2)\rho C_D b l U^2} = \int_0^l \cos^3 \theta \, d\hat{s}$$
(9)

Based on this definition, the total horizontal drag force is  $F_x = (1/2)\rho C_D b l_e U^2$ , where the drag 172 173 coefficient,  $C_D$ , for the flexible blades is identical to that for rigid, vertical blades. The effective 174 length is equal to the blade length,  $l_e = l$ , if the blades remains upright in flow ( $\theta = 0$ ). As the blades are pushed over ( $\theta > 0$ ), the effective length decreases so that  $l_e < l$ . Note that the effective 175 176 length defined in Eq. 9 accounts for drag reduction both due to the reduced frontal area in the 177 reconfigured state, and due to the more streamlined shapes of the bent blades. In contrast, the 178 deflected vegetation height, often used to quantify drag reduction due to reconfiguration, 179 accounts only for a reduction in frontal area. For the coordinate system used here, the 180 dimensionless deflected height of the blades is:

181 
$$\frac{h}{l} = \int_0^1 \cos\theta \, d\hat{s} \tag{10}$$

182 Comparing Eqs. 9 and 10, it is clear that the effective length is always less than, or equal to, the 183 deflected height,  $l_e \le h$ .

#### 184 Model predictions

Before describing the general case, where both blade buoyancy and stiffness play a role, we first consider the two limiting cases: zero stiffness and zero buoyancy. For the zero stiffness case, Eq. 6 simplifies to:

188 
$$(1-\hat{s}^*)\sin\theta^* = (B^{-1}Ca)\int_{\hat{s}^*}^1\cos(\theta-\theta^*)\cos^2\theta \,d\hat{s}$$
 (11)

The parameter ( $B^{-1}Ca$ ) represents the ratio of the drag force and the buoyancy force. With zero stiffness, the blade cannot sustain any internal bending moments. Hence, the boundary condition at the base of the vegetation changes from a clamped joint to a pin joint,  $d\theta/d\hat{s} = 0$  at  $\hat{s} = 0$ . Further, because there is no restoring force due to blade curvature, the angle  $\theta$  reflects the local balance between drag and buoyancy. Since the model does not consider any spatial variations in blade density or flow speed, the angle  $\theta$  is constant along the blade. This is evident by balancing the blade-normal components of the forces shown in Eqs. 1 and 3. If *b*, *t*,  $\Delta\rho$  and *U* are constant

along  $\hat{s}$ ,  $\theta$  must also be. As a result, Eq. 11 simplifies further to:

197 
$$\sin \theta = (B^{-1}Ca)\cos^2 \theta \tag{12}$$

198 Eq. 12 can be solved easily to yield the blade angle,  $\theta$ , as a function of  $(B^{-1}Ca)$ . For  $\theta$  constant 199 along the blade, the blade remains straight as it tilts over (Fig. 2a, inset), and the effective blade 200 length and deflected height are  $(l_e/l) = \cos^3 \theta$  and  $(h/l) = \cos \theta$ , respectively (Eqs. 9 and 10).

The predicted effective length for the zero-stiffness case is plotted as a function of the parameter  $(B^{-1}Ca)$  in Fig. 2a. The inset in Fig. 2a shows predicted postures for  $(B^{-1}Ca) = 0.1$  and  $(B^{-1}Ca) = 3.2$ . When the hydrodynamic forcing is much smaller than the buoyancy force,  $(B^{-1}Ca)$ << 1, the blade remains upright in flow. Specifically, the effective length is approximately equal to the blade length,  $0.9 < (l_e/l) < 1$  for  $(B^{-1}Ca) < 0.25$ . As the hydrodynamic forcing increases relative to the buoyancy force, the blade is pushed over and the effective length is reduced. As an

example, for  $(B^{-1}Ca) = 3.2$ ,  $\theta = 59^{\circ}$ , the effective length is  $(l_{e}/l) = 0.14$ , and the deflected height 207 is (h/l) = 0.52, as shown in Fig. 2a. For  $(B^{-1}Ca) >> 1$ , the blade is pushed toward a near-208 horizontal posture, for which  $\sin\theta \approx 1$ , and Eq. 12 simplifies to  $\cos\theta \sim (B^{-1}Ca)^{-1/2}$ . In the limit of 209 large  $(B^{-1}Ca)$ , therefore, the deflected height and effective length are  $(h/l) \sim (B^{-1}Ca)^{-1/2}$  and  $(l_e/l)$ 210 ~  $(B^{-1}Ca)^{-3/2}$ , respectively (Fig. 2a). The Cauchy number (Eq. 8) is proportional to the square of 211 the velocity,  $Ca \propto U^2$ , and so the above scaling implies that for  $(B^{-1}Ca) >> 1$ , the effective length 212 is inversely proportional to the velocity cubed,  $l_e \propto U^3$ , and the horizontal drag decreases with 213 increasing velocity,  $F_x \propto U^2 l_e \propto U^1$ . More generally, for  $B^{-1}Ca > 1$ , the effective length 214 decreases with increasing velocity as  $(l_e/l) \sim (B^{-1}Ca)^{-A}$ , and so  $l_e \propto U^{-2A}$ , where the generic 215 exponent, A, is greater than 0.5. Hence, the horizontal force,  $F_x \propto U^2 l_e \propto U^{(2-2A)}$ , increases sub-216 linearly with velocity, i.e.,  $F_x \propto U^a$  with a = (2-2A) < 1. 217

218 Next we consider the case where only blade stiffness is important – the zero-buoyancy 219 case. For this case, the boundary condition at the base is a clamped joint, with  $\theta = 0$  at  $\hat{s} = 0$ . 220 Because B = 0, the governing Eq. 6 simplifies to:

221 
$$-\frac{d^2\theta}{d\hat{s}^2}\Big|_{\hat{s}^*} = Ca \int_{\hat{s}^*}^1 \cos(\theta - \theta^*) \cos^2\theta \, d\hat{s}$$
(13)

This equation for blade posture is solved to an accuracy of  $10^{-3}$  in  $\theta$  using an iterative shooting method (Stoer and Bulirsch 2002). The predicted effective length (Eq. 9) for the zero-buoyancy case is plotted against the Cauchy number in Fig. 2b, along with the predicted blade postures for Ca = 1 and Ca = 32. The model suggests that for Ca < O(1), the hydrodynamic forcing is unable to overcome blade stiffness and the blade remains upright in flow. Specifically, the effective blade length is approximately equal to the blade length,  $0.9 < (l_e/l) < 1$ , for Ca < 2. For these conditions, the drag force increases with the square of velocity,  $F_x \propto U^2$ . However, as the Cauchy number increases (*U* increasing), the blade is pushed over by the flow, and both the deflected height and effective blade length decrease. As an example, for Ca = 32 (inset, Fig. 2b), the effective length is  $(l_e/l) = 0.30$  and the deflected height is (h/l) = 0.61 (Fig. 2b). Note that the decrease in effective length with increasing velocity (i.e., increasing *Ca*) is more gradual for the zero-buoyancy case compared to the zero-stiffness case described above (Fig. 2a).

The model predicts that for Ca >> 1, the effective length scales as  $(l_e/l) \sim Ca^{-1/3}$  (Fig. 2b). 234 This scaling suggests that  $l_e \propto Ca^{-1/3} \propto U^{2/3}$  (c.f.  $l_e \propto U^3$  for the zero-stiffness case). Hence, the 235 drag force increases with velocity as  $F_x \propto U^2 l_e \propto U^{4/3}$ , in agreement with the results obtained by 236 Alben et al. (2002) and Gosselin et al. (2010) for non-buoyant bodies. The scaling  $l_e/l \sim Ca^{-1/3}$ 237 238 emerges directly from the balance of drag and the restoring force due to stiffness. For 239 streamlined postures (e.g., Fig. 2b, inset, Ca = 32), the blades bend more severely near the base producing a smaller radius of curvature than that implied by the scale  $|d^2\theta/ds^2| \sim 1/l^2$  used in Eq. 240 5, and so the restoring force due to blade stiffness is larger than that implied by the scale  $EI(1/l)^2$ . 241 242 For bent postures, the effective length,  $l_e$ , captures the magnitude of the restoring force more 243 accurately because it reflects the length over which the blade is actually bending, leading to  $EI(|d^2\theta/ds^2|) \sim EI(1/l_e)^2$ . Since the restoring force due to stiffness and the drag force must balance 244 in the reconfigured state, we have  $EI(1/l_e)^2 \sim (1/2)\rho C_D b l_e U^2$ . Expressing this balance in 245 dimensionless form (see Eq. 8), we see that the effective length scales as  $(l_e/l) \sim Ca^{-1/3}$ . 246 247 We now discuss the general case, where blade buoyancy and stiffness are both important. 248 As before, we solve Eq. 6 numerically using an iterative shooting method. The four curves in Fig. 2c show effective lengths for the zero-buoyancy case described above, B = 0 (bold black 249 250 line), along with the cases B = 10 (fine black line), 50 (bold gray line) and 100 (fine gray line).

251 Comparing these four curves indicates that the addition of buoyancy delays the onset of blade

reconfiguration relative to the zero-buoyancy case (Fig. 2c), i.e., the blades remain upright at higher velocities. For the zero-buoyancy case, the effective length is approximately equal to the blade length,  $(l_e/l) \approx 1$ , for Ca < O(1). For B > 1, the effective length is approximately equal to the blade length as long as the drag force scale does not exceed the buoyancy force scale,  $(B^{-1}Ca)$ < O(1), or Ca < O(B). As an example, for B = 100,  $l_e/l \approx 1$  for Ca < O(100) (Fig. 2c). Above these thresholds, the blades are pushed over by the flow and the effective length decreases.

If the hydrodynamic forcing becomes significantly larger than blade buoyancy,  $(B^{-1}Ca)$ 258 259 >> 1, blade stiffness becomes the dominant restoring mechanism. Specifically, all the curves collapse onto the scaling law developed above,  $(l_{e}/l) \sim Ca^{-1/3}$ , and the effective length becomes 260 261 independent of the buoyancy parameter, B. This is illustrated by the predicted blade postures for 262 Ca = 1000 (Fig. 2c, right-most inset). Close to the base, blade posture is very similar for all four 263 values of the buoyancy parameter, indicating that the curvature close to the bed is set purely by a 264 balance between drag and the restoring force due to blade stiffness. The effect of buoyancy only 265 becomes apparent closer to the top of the blades; the more buoyant blades are raised a bit higher 266 in the water. However, given the near-horizontal orientations, the top of the blades do not 267 generate significant drag. The majority of the drag is generated very close to the base, where the 268 blades are clamped and remain vertical due to blade stiffness. As a result, blade buoyancy does 269 not significantly affect the drag generated, and the effective length, which characterizes drag, 270 becomes independent of the buoyancy parameter.

#### 271 Laboratory experiments

To validate the model developed above, we conducted laboratory experiments measuring drag and blade posture for model blades designed to be dynamically similar to seagrasses. Due to variations in material properties, morphology and flow conditions, the buoyancy parameter and

275 Cauchy number vary considerably in natural systems. For example, the typical density of the seagrass Zostera marina varies in the range 700 – 900 kg m<sup>-3</sup> (Fonseca 1998; Abdelrhman 2007; 276 Fonseca et al. 2007), so that  $\Delta \rho \approx 125 - 325$  kg m<sup>-3</sup> (the density of seawater is assumed to be 277 1025 kg m<sup>-3</sup>), and the range of reported values for the elastic modulus is  $E \approx 0.4 - 2.4$  GPa 278 279 (Bradley and Houser 2009). Zostera marina blades can also vary greatly in length with 280 observations ranging from  $l \approx 15 - 200$  cm (Ghisalberti and Nepf 2002). Using a more typical 281 blade length range of l = 30 - 60 cm, and assuming the blade width and thickness are b = 0.8 cm 282 and t = 0.35 mm (Luhar et al. 2010), we estimate that the buoyancy parameter (Eq. 7) ranges between  $B \approx 1 - 170$ . For a typical velocity range of U = 5 - 50 cm s<sup>-1</sup>, we estimate the Cauchy 283 284 number (Eq. 8) ranges from  $Ca \approx 10 - 40,000$ .

285 To span the estimated range for the buoyancy parameter, we constructed model blades from two different materials, silicon foam (E = 500 kPa;  $\Delta \rho = 330 \text{ kg m}^{-3}$ ; t = 1.9 mm) and high-286 density polyethylene (HDPE, E = 0.93 GPa;  $\Delta \rho = 50$  kg m<sup>-3</sup>; t = 0.4 mm). We tested model 287 288 blades of five different lengths ranging from l = 5 cm to l = 25 cm in 5 cm-increments. The blade 289 width was b = 1.0 cm in all cases. For the foam blades, the buoyancy parameter ranged from B =290 2.7 for the 5 cm-long blades to B = 340 for the 25 cm-long blades (Table 1). For the HDPE blades, the buoyancy parameter ranged from  $B = 5 \times 10^{-3}$  for the 5 cm-long blades to B = 0.62 for 291 292 the 25 cm-long blades (Table 1). In general, the foam blades represented buoyancy-dominated 293 cases, while the HDPE blades represented stiffness-dominated cases. All the model blades were subjected to eight different flow speeds, ranging from U = 3.6 cm s<sup>-1</sup> to U = 32 cm s<sup>-1</sup>. The 294 295 maximum value of the Cauchy number tested was Ca = 5500 for the foam blades, and Ca = 320296 for the HDPE blades. Note that because the model blades resemble flat plates, these values for 297 the Cauchy number have been calculated based on the drag coefficient for long, flat plates

perpendicular to the flow,  $C_D = 1.95$  (Vogel 1994). Table 1 lists the buoyancy parameter and the Cauchy number for all eighty test cases.

For flow speeds smaller than  $U = 15 \text{ cm s}^{-1}$ , the experiments were performed in a 24 m-300 301 long, 38 cm-wide and 60 cm-deep re-circulating flume. For flow speeds greater than U = 15 cm 302  $s^{-1}$ , the experiments were carried out in a 28 m-long, 76 cm-wide and 90 cm-deep re-circulating 303 flume. Both flumes had glass sidewalls. A schematic of the experimental set-up is shown in Fig. 304 3. At every flow speed, the horizontal drag force,  $F_x$ , acting on a single model blade of each 305 length tested was measured using a submersible s-beam load cell (Futek LSB210). The 306 measurements were logged to a computer using a bridge completion and data acquisition module 307 (National Instruments NI-USB 9237). Based on a calibration with known weights performed 308 prior to the experiments, the resolution of the load cell was 0.001 N and the accuracy was 10%. 309 Two separate calibrations showed that the load cell responded linearly over the range 0 - 0.015N ( $r^2 = 1.00$ , n = 13), and over the range 0 – 0.042 N ( $r^2 = 1.00$ , n = 10). 310

311 To ensure that the flow did not interfere with the force measurement apparatus, the load 312 cell was housed inside a trapezoidal, acrylic box of length 192 cm and height 12.7 cm, as shown 313 in Fig. 3. In all cases, the total water depth was 42.7 cm, so that the depth of the water above the 314 acrylic box was 30 cm. The load cell was fixed to the top surface of the box, midway along the 315 length of the box. A cylindrical, stainless steel blade holder, which protruded through a hole of 316 diameter 1.25 cm, was used to attach the model blades to the load sensor (Fig. 3). The blade 317 holder extended 4 cm above the top of the box. As a result, the model blades were positioned 318 above the bottom boundary layer, ensuring a uniform flow speed over the length of the blade. 319 Prior to the experiments, we measured vertical profiles of velocity above the acrylic box using an 320 Acoustic Doppler Velocimeter (ADV, Nortek Vectrino) for all eight flow speeds. We measured

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the velocity profiles midway along the box at a vertical resolution of 1 cm. At each measurement
location, 4-min records were obtained at 25 Hz. The vertical profiles, shown in Fig. 3, confirm
that the horizontal flow speed varied by less than 5% above blade holder. Note that the velocity
values listed in this paper denote the mean horizontal flow speed above the blade holder.
Force measurements were made for a period of 4 min at a sampling rate of 2 kHz (i.e.,
480,000 samples). The drag force was calculated based on the arithmetic mean of all the
samples. We also measured the force generated by the blade holder alone for each of the eight

329 generated by the blade holder was subtracted from the total drag (i.e., blade holder and blade). In 330 addition to measuring the drag force, we also photographed the model blades for each flow speed 331 using a digital still camera (Nikon D60). Multiple photographs were taken for each test case to 332 account for any short-term fluctuations in blade posture.

flow speeds tested. In order to estimate the drag force generated by the blades alone, the drag

#### 333 Results

328

#### 334 Model blades

335 Figure 4 shows force measurements (Fig. 4a,b, symbols) and observed blade postures 336 (Fig. 4c-f) for the shortest (5 cm) and longest (25 cm) model blades tested. Vortex-induced vibrations of the HDPE blades were observed at velocities greater than 20 cm s<sup>-1</sup>. However, the 337 338 standard deviations from the mean measured forces were smaller than 10% in all cases. Hence, 339 the errorbars in Fig. 4a,b reflect the 10% accuracy of the load cell. Model predictions for drag 340 (Fig. 4a,b, lines) and blade posture (Fig. 4c-f, white curves) are also shown. The model force 341 predictions agree with the observations for all but the shortest HDPE blade. For the 5 cm HDPE 342 blade, the horizontal force,  $F_x$ , is over-predicted by the model (Fig. 4a, black squares and line). 343 This over-prediction may be due to the fact that for flat plates with small length-to-width ratios,

344 pressure recovery near the tip leads to a drag coefficient that is lower than the value assumed 345 here,  $C_D = 1.95$  (Vogel 1994).

When the Cauchy number is small,  $Ca \le 2.5$  (Table 1), the blades do not reconfigure 346 347 significantly and the standard quadratic drag law applies. For example, the 5 cm HDPE blade 348  $(Ca \le 2.5 \text{ for all flow speeds, Table 1})$  remained near-vertical even at the highest velocity tested 349 (Fig. 4c), and the measured horizontal forces were approximately proportional to the square of velocity (Fig. 4a). Specifically, the horizontal force increased with velocity as  $F_x \propto U^a$ , with a =350 1.86±0.05. As the Cauchy number increases so that Ca >> 1, reconfiguration becomes significant 351 352 and the quadratic law overestimates drag. As an example, the 25 cm HDPE blade exhibited some 353 reconfiguration over the entire range of velocities tested here (Ca = 3.9 - 320, Table 1). The 354 blade remained vertical near the clamped base, but blade curvature increased with increasing 355 velocity (Fig. 4e). This flow-induced streamlining led to a near-linear relationship between the measured drag force and velocity (Fig. 4a). Specifically,  $F_x \propto U^a$  with  $a = 1.31 \pm 0.10$ , in 356 agreement with the predicted scaling law,  $F_x \propto U^{4/3}$ . Note that, because of reconfiguration, the 357 358 drag generated by the 25 cm HDPE blade was comparable to the drag generated by the 5 cm blade for velocities greater than 25 cm s<sup>-1</sup> (Fig. 4a). This is because reconfiguration reduces drag 359 both by reducing frontal area and by producing more streamlined shapes. For U = 32 cm s<sup>-1</sup>, the 360 361 25 cm HDPE blade had a larger frontal area than the 5 cm blade (see Fig. 4c,e). However, the 362 drag generated by the longer blade was reduced because it was pushed over into a more 363 streamlined posture compared to the upright shorter blade. 364 For the 25 cm foam blade (B = 340, Table 1), the reconfiguration response resembled the

For the 25 cm foam blade (B = 340, Table 1), the reconfiguration response resembled the zero-stiffness limiting case, with a nearly constant  $\theta$  along most of the blade length. However, curvature is observed at the bed because the blade is clamped, not pinned, as assumed by the 367 model for the zero-stiffness case. Note that the curvature occurs over a much shorter length scale (i.e., smaller radius of curvature) than that observed for the stiffer HDPE blade (see Fig. 4e,f). 368 369 This reinforces the idea that, even for buoyant blades with B >> 1, stiffness plays a role in 370 determining posture near the bed. The observed postures for the foam blades are slightly more upright compared to the model predictions for  $U = 16 \text{ cm s}^{-1}$  (Fig. 4d, f). This discrepancy may 371 372 be due to the uncertainty in *B* caused by variations in the foam density (Table 1). For velocities between 5 cm s<sup>-1</sup> and 20 cm s<sup>-1</sup>, the drag generated by the 25 cm foam 373 blades (Fig. 4b, gray circles) increased sub-linearly with velocity i.e.,  $F_x \propto U^a$  with a =374 375 0.69±0.22. This sub-linear relationship between drag and velocity is characteristic of a buoyancy-dominated response, as discussed earlier. For velocities greater than  $U \approx 20$  cm s<sup>-1</sup>, 376 377 however, the drag-velocity behavior of the 25 cm foam blade converged with that of the 5 cm 378 foam blade, for which  $a = 1.54 \pm 0.20$  (Fig. 4b, black squares). This exponent agrees, within 379 uncertainty, with the value 4/3 predicted for stiffness-dominated regimes, which is expected for  $B^{-1}Ca > O(1)$ . Indeed, for  $U \approx 20$  cm s<sup>-1</sup>,  $B^{-1}Ca \approx 6$  (Table 1). Hence, we see that a single blade 380 381 can transition between the buoyancy-dominated and stiffness-dominated regimes with increasing velocity. When the drag force scale exceeds blade buoyancy,  $B^{-1}Ca > O(1)$ , blade stiffness 382 becomes the dominant restoring mechanism, and the predicted scaling law  $F_x \propto U^{4/3}$  applies, 383 384 even if the value of B (>> 1) implies that buoyancy should dominate.

The force measurements for the foam blades also suggest that when  $B^{-1}Ca > O(1)$ , drag becomes independent of blade length. For U > 20 cm s<sup>-1</sup>, the measured forces for both the 5 cmand 25 cm foam blades agree within uncertainty (Fig. 4b). At  $U \approx 20$  cm s<sup>-1</sup>,  $B^{-1}Ca \approx 6$  for both the 5 cm and 25 cm foam blades (*see* Table 1). Above this threshold velocity, reconfiguration is stiffness-dominated for both foam blades and the effective length scales as  $l_e/l \sim Ca^{-1/3}$ . Since the

390	Cauchy number is proportional to $l^3$ (Eq. 8), this scaling implies that the effective length
391	becomes independent of the blade length, $l_e \sim l (Ca^{-1/3}) \propto l^0$ , and hence, so does the drag force.
392	Consistent with the data shown in Fig. 4, the model is able to accurately predict the
393	effective blade length, $l_e/l$ , for all eighty test cases (Fig. 5). The measured effective lengths were
394	calculated from the measured forces, $F_x$ , using the relation: $(l_e/l) = F_x/(1/2\rho C_D b l U^2)$ . The
395	effective lengths for all the HDPE blades fall onto a single curve (Fig. 5a), which is similar to the
396	zero-buoyancy case shown in Fig. 2b. This result suggests that for $B < 1$ (see Table 1), blade
397	stiffness is the dominant restoring mechanism and the effect of buoyancy on reconfiguration may
398	be neglected. Further, in agreement with model predictions, the data suggest the following
399	scaling relationships at the stiff and flexible limits: $(l_e/l) \sim Ca^{\alpha}$ , with $\alpha = -0.07 \pm 0.03$ for $Ca < 2$ ,
400	and $\alpha = -0.41 \pm 0.06$ for $Ca > 10$ .

In contrast, the effective lengths for the foam blades of different length follow distinct 401 402 curves (Fig. 5b) that depend on the value of the buoyancy parameter (see Table 1), confirming 403 the model prediction (Fig. 5b, solid lines) that blade buoyancy delays the onset of reconfiguration. However, all five curves seem to collapse together for  $B^{-1}Ca > O(1)$  (see Fig. 404 405 5b, Ca > 1000), again indicating that once the hydrodynamic forcing exceeds blade buoyancy, 406 blade stiffness becomes the dominant restoring mechanism, and so blade stiffness may not be 407 neglected even if B >> 1. Recall that even at the highest B, curvature is observed near the bed 408 (Fig. 4f), indicating that stiffness must influence posture.

409 Note that the model predictions described in this section were based on the known blade 410 properties, flow speed and the drag coefficient for flat plates. No empirical fitting parameters 411 were used. Agreement between the experimental observations and the predictions therefore 412 confirms that the model effectively captures the physics underlying the flow-induced

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413 reconfiguration of buoyant, flexible blades. Of course, the experiments were designed to fit the 414 simplifying assumptions made to develop the model. For example, the model blades had a 415 constant, rectangular cross-section, and the blade material properties did not vary over the blade 416 length. The flow speed was also constant over the length of the blade. Below, we show that the 417 model developed here is also able to predict drag and posture for real aquatic vegetation in flow, 418 where some of these simplifying assumptions break down.

#### 419 *Real aquatic vegetation*

420 Abdelrhman (2007) photographed Zostera marina blades exposed to three different flow speeds,  $U = 6 \text{ cm s}^{-1}$ ,  $12 \text{ cm s}^{-1}$ , and  $14 \text{ cm s}^{-1}$ . The model described here accurately predicts the 421 422 observed postures (Fig. 6). As mentioned above, the geometric and material properties for 423 Zostera marina blades vary significantly in natural systems. To arrive at our estimates for blade 424 posture, we assumed that the blade width and thickness were b = 0.8 cm and t = 0.35 mm, 425 respectively (Luhar et al. 2010). As before, we assumed that the drag coefficient was identical to 426 that for flat-plates,  $C_D = 1.95$ . Abdelrhman (2007) reported that the blade density was 700 kg m<sup>-</sup> <sup>3</sup>, so that  $\Delta \rho = 325$  kg m<sup>-3</sup>, and the blade length was l = 40 cm. We estimated blade postures for 427 428 two different values of the elastic modulus, E = 0.4 GPa and E = 2.4 GPa, corresponding to the 429 minimum and maximum values reported by Bradley and Houser (2009). The more upright 430 predicted posture (Fig. 6) corresponds to the higher elastic modulus, E = 2.4 GPa. 431 Abdelrhman (2007) also developed a coupled flow-structure model to predict seagrass 432 posture in flow, which was able to predict the deflected height of the seagrass reasonably well. 433 However, the model developed by Abdelrhman (2007), assumed that blade stiffness was 434 negligible, and that posture was set by a balance between hydrodynamic forces (drag, lift and

skin friction) and buoyancy. Unsurprisingly, the blade posture predictions made by this model

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436 resemble the postures shown in Fig. 2a for the zero-stiffness case (i.e., pin-joint at the bed and a 437 constant angle  $\theta$ ). Clearly, this is not consistent with the images shown in Fig. 6, which indicate 438 that the seagrasses remain upright close to the bed. The images also show that an increase in 439 velocity leads to an increase in curvature near the bed. These observations suggest that blade 440 stiffness is important.

441 Fonseca and Kenworthy (1987) observed the deflected height, h/l, for three different 442 species of seagrass exposed to flow: Thalassia testudinum, Halodule wrightii, and Zostera 443 marina. Figure 7 compares the observations (symbols) with model predictions (lines, Eq. 10). 444 Table 2 lists the reported blade properties for each species of seagrass that were used here to 445 predict deflected height. The natural variability in seagrass blade properties is reflected in the 446 upper- and lower-bound predictions shown as dashed lines. The upper- and lower-bound 447 predictions correspond to the stiffest (lowest B, Table 2) and most flexible (highest B, Table 2) 448 cases, respectively. In general, the observations lie within the limits predicted by the model. 449 However, there are some discrepancies. Figure 7a shows that the observed deflected height for 450 *Thalassia testudinum* lies closer to the upper-limit predicted by the model. Also, some outliers 451 appear above the upper-limit. These results indicate that the assumed blade properties 452 underestimate blade stiffness or blade buoyancy for the specific population of *Thalassia* 453 testudinum studied by Fonseca and Kenworthy (1987). For instance, the elastic modulus may 454 have been greater than the assumed value, E = 2.4 GPa. Note also that we do not consider any 455 variations in seagrass buoyancy, or blade thickness. Seagrass blade buoyancy can change over 456 time and in response to flow conditions (Abdelrhman 2007), and so the assumed density difference between the blades and the water ( $\Delta \rho = 85 \text{ kg m}^{-3}$ ), could be an underestimate. 457 Similarly, the blade stiffness is proportional to the cube of blade thickness,  $I \propto t^3$ , and so even a 458

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relatively small increase in blade thickness could lead to significantly stiffer blades. Finally, previous studies (Fonseca et al. 1982) suggest that the maximum bending for *Zostera marina* is achieved at a velocity of  $\sim$ 50 cm s<sup>-1</sup>. The predictions shown in Fig. 7c are consistent with this observation.

463 Stewart (2006) measured the forces acting on the marine macroalga Turbinaria ornata 464 exposed to currents. This macroalga consists of a central stipe, or stem, that is covered with 465 blades and pneumatocysts along part of its length. Stewart (2006) noted that populations of this 466 macroalga in sheltered, backreef habitats had buoyant pneumatocysts, while populations in 467 wave-exposed, forereef habitats lacked pneumatocysts, or that the pneumatocysts were very 468 small and non-buoyant. Instead, algae from the forereef sites had shorter, thicker stipes. To test 469 how these variations in morphology affected drag, Stewart (2006) measured the forces acting on 470 algae samples obtained from an exposed, forereef site, and a sheltered backreef, site, for velocities ranging from U = 32 cm s<sup>-1</sup> to U = 75 cm s<sup>-1</sup>. The force measurements were used to 471 estimate the drag coefficient in the reconfigured state,  $C_D^*$ , using the quadratic drag law,  $C_D^* =$ 472  $F_x/(1/2\rho A U^2)$ , where A is the planar surface area for the algae in an un-deflected state. Recall that 473 the effective length is defined as  $(l_e/l) = F_x/(1/2\rho C_D A U^2)$ . We calculated the effective length from 474 475 the reported values of  $C_D^*$  by combining the above relations, leading to  $(l_e/l) = C_D^*/C_D$ . The data 476 shown in Stewart (2006) suggest that the drag coefficient was  $C_D \approx 2$  at the limit when the macroalgae remained upright in the water. Hence, we assumed  $C_D = 1.95$ , as before. 477 478 To arrive at model predictions for this morphologically complex macroalga, we calculated the buoyancy parameter as  $B = F_B l^2 / EI$  (c.f. Eq. 7), where  $F_B$  is the total buoyancy of 479

each alga, *l* is the total stipe length, *E* is the elastic modulus and  $I = \pi r^4/4$  is the second moment

481 of area for the stipe of radius *r*. Similarly, we calculated the Cauchy number based on the

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relation  $Ca = (1/2)\rho C_D A U^2 l^2 / (EI)$  (c.f. Eq. 8). The vegetation parameters used to estimate *B* and *Ca* were either reported by Stewart (2006), or estimated from values given in that paper. We repeat them in Table 3 for convenience. The buoyancy parameters were B = 15 and B = -0.56 for the backreef and forereef samples, respectively. The negative value for the buoyancy parameter indicates that the forereef algae were denser than water.

487 Despite the more complex vegetation morphology, agreement between the observed and 488 predicted values for effective length is very good (Fig. 8). The shorter, stiffer forereef samples 489 remained more upright over the range of velocities tested by Stewart (2006), and therefore had 490 higher effective lengths. In contrast, the longer, more flexible backreef samples were pushed 491 over by the flow, leading to lower effective lengths. The flow speeds tested by Stewart (2006) 492 were higher than those recorded in the sheltered, backreef location but lower than those for the 493 exposed, forereef site. The ranges of field conditions reported by Stewart (2006) are marked by 494 shaded regions in Fig. 8. For conditions characteristic of the backreef site, the hydrodynamic forcing and buoyancy are comparable,  $B^{-1}Ca \approx O(1)$ , and so the model predicts that the buoyant, 495 496 backreef algae are likely to remain upright. However, for conditions characteristic of the forereef 497 site, the Cauchy number is large, Ca > O(10), and so the model predicts significant 498 reconfiguration for the forereef algae. Below, we briefly discuss the possible ecological 499 implications of these results.

#### 500 Discussion

501 By considering the differences in the reconfiguration response for buoyancy- and 502 stiffness-dominated cases, we can start to address how selective pressures may produce 503 differences in vegetation morphology across different flow environments, such as those observed 504 by Stewart (2006). As described above, Stewart (2006) observed that populations of the

505 macroalga Turbinaria ornata in sheltered, backreef habitats had buoyant pneumatocysts, while 506 populations in exposed, forereef habitats lacked pneumatocysts, or had pneumatocysts that were 507 small and non-buoyant. This variation can perhaps be explained based on the limited nature of 508 the restoring force due to buoyancy. Stewart (2004) suggests that an upright posture can benefit 509 benthic vegetation both by increasing light availability and by enhancing nutrient and oxygen 510 transfer. If the primary purpose of the buoyant pneumatocysts is to help maintain an upright 511 posture, investment in pneumatocysts would only be worthwhile if the additional buoyancy has a 512 significant effect on posture. This is only possible if the drag force scale is smaller than the buovancy force,  $B^{-1}Ca \leq O(1)$ . The material and geometric properties listed in Table 3 suggest 513 514 that this is unlikely to be the case for these macroalgae at velocities typical of the forereef site (U $\approx 100$  cm s<sup>-1</sup>, Fig. 8). Even if the forereef samples were as buoyant as the backreef samples, so 515 516 that  $F_B = 23$  mN (instead of -10 mN, Table 3), the buoyancy parameter would be  $B \approx 1.4$  (instead of B = -0.6), while the Cauchy number would be  $Ca \approx 37$  for U = 100 cm s<sup>-1</sup>, leading to  $B^{-1}Ca$ 517 518 >> 1. As a result, the additional buoyancy afforded by the pneumatocysts would have little effect on posture. In contrast, for velocities typical of the sheltered, backreef site ( $U \approx 15$  cm s<sup>-1</sup>, Fig. 519 520 8), the Cauchy number is  $Ca \approx 17$ , which is comparable to the value of the buoyancy parameter for the backreef samples, B = 15. In this case, since  $B^{-1}Ca \sim O(1)$ , investment in the 521 522 pneumatocysts may be worthwhile because buoyancy can help maintain an upright posture. 523 We must stress that the above discussion is presented primarily as a starting point for 524 further study. A more complete analysis of the ecological trade-offs associated with allocating 525 resources towards pneumatocysts rather than stem or leaf tissue needs to account for many other 526 factors in addition to posture in the water column. A deeper understanding of the energetic costs 527 involved is necessary. The effect of this allocation on photosynthetic performance and

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528 susceptibility to breakage must also be considered. Further, *Turbinaria ornata* grows in wave-529 dominated environments and so any discussion of hydrodynamic performance must take into 530 account the work of Denny and colleagues, who have studied the interaction between buoyant, 531 flexible organisms and waves extensively (Denny et al. 1997; Denny et al. 1998; Denny and 532 Gaylord 2002).

A number of assumptions were made to yield the governing Eq. 6 for blade posture. The assumption of a rectangular beam cross-section is reasonable for seagrasses (Folkard 2005; Fonseca et al. 2007) but the cross-section and material properties can vary along a real blade (Fonseca et al. 2007; Bradley and Houser 2009). In addition, the flow speed is likely to vary along the blade. We can account for spatial variations in vegetation properties (E, I,  $\Delta\rho$ , b, t,  $C_D$ ) and velocity (U) by reverting Eq. 5 to a more general form:

539 
$$\frac{d}{ds}\left(-EI\frac{d\theta}{ds}\right)\Big|_{s^*} + \sin\theta^* \int_{s^*}^{l} \Delta\rho g b t ds = \int_{s^*}^{l} \frac{1}{2}\rho C_D b U^2 \cos^2\theta \cos(\theta - \theta^*) ds \tag{14}$$

Eq. 14 can then be made dimensionless as before. However, because the blade material
properties and flow vary along the blade length, the buoyancy parameter (Eq. 7) and Cauchy
number (Eq. 8) must be defined using characteristic values (e.g., an average) for these quantities.
Unfortunately, the spatial variation of material properties remains poorly characterized, and the
flow structure depends on both blade posture and canopy density (Luhar et al. 2008; Dijkstra and
Uittenbogaard 2010; Luhar et al. 2010). As a result, an extension of the model to include these
variations introduces additional uncertainty.

547 Depth-uniform flow is a reasonable assumption only for individual plants (or very sparse 548 canopies) over smooth beds such that vegetation does not significantly affect the flow, and the 549 height of the bottom boundary layer is small compared to the height of the vegetation. However, 550 the presence of neighboring blades can change the flow structure, which can affect the 551 reconfiguration response. To explore this point, we compare the reconfiguration response for a 552 depth-uniform flow of velocity  $U(0 \le z \le h) = u$  with that for the two representative velocity 553 profiles shown in Fig. 9a. For sparse vegetation canopies, the velocity profile approaches that of 554 a rough, turbulent boundary layer (fig. 1 in Luhar et al. 2008). As an abstraction of this case, we 555 consider a profile where the velocity, U(z), increases linearly from 0 to 2*u* over the canopy 556 height, h ('sparse canopy', Fig. 9a). For dense canopies, the velocity profile resembles a shear 557 layer with an inflection point near the top of the canopy (fig. 1 in Luhar et al. 2008). As an 558 abstraction of this case, we consider the velocity in the lower half of the canopy to be constant, 559  $U(z \le h/2) = 2u/3$ , and in the upper half of the canopy  $(h/2 \le z \le h)$  to be linearly increasing from 560 2u/3 to 2u ('dense canopy', Fig. 9a). We solve the governing Eq. 14 for these velocity profiles 561 using an iterative shooting method for two different values of the buoyancy parameter, B = 0 and 562 B = 100. Note that for all three velocity profiles, the average velocity over the canopy height is u. 563 Hence, we calculate the Cauchy number (Ca, Eq. 8) and effective length  $(l_e/l, Eq. 9)$  using u as 564 the velocity scale.

565 For both values of B, the predicted deflected canopy heights (h/l, Fig. 9b) for each of the 566 three velocity profiles are nearly identical for Ca = 1 to 1000. The maximum absolute difference 567 in h/l is 0.04 over this range, suggesting that the simple depth-uniform model developed here 568 may be used to reasonably predict h/l for field conditions as long as the canopy-averaged 569 velocity is used to calculate Ca. The effective lengths  $(l_e/l, Fig. 9c)$  for the three velocity cases 570 also show similar trends. However, there are some differences. For Ca = 1, the effective lengths 571 are higher for the sparse and dense canopy cases compared to the depth-uniform case. At Ca = 1, 572 the plants remain nearly upright and drag is generated along the entire canopy height. Since drag per unit length is proportional to  $U(z)^2$  and the canopy-average of  $U(z)^2$  is greater than  $u^2$  for both 573

the sparse and dense canopy cases, the effective length is larger. In contrast, for Ca = 1000, the depth uniform case has the largest effective length. At Ca = 1000, the vegetation is pushed over so far that the drag is generated primarily in the lower part of the canopy. Since U(z) < u in the lower part of the canopy for the sparse and dense canopy cases (Fig. 9a), the drag generated for these cases is lower than that for the depth-uniform case.

579 While seagrass blades have relatively simple, strap-like morphologies, other marine and 580 freshwater macrophytes can have more complex forms. For example, many marine (Stewart 581 2006) and freshwater (Sand-Jensen 2003) macrophytes consist of a stem covered with leaf-like 582 structures and buoyant, gas-filled pneumatocysts. The *Turbinaria ornata* case study described 583 above (Stewart 2006) shows that the model developed here remains applicable for such 584 macrophytes as long as appropriate changes are made to the buoyancy parameter and Cauchy 585 number. Specifically, the restoring force due to vegetation stiffness should be scaled on the 586 properties of the central structural element - the stem, while the drag force should be scaled on 587 the planar surface area of the vegetation to account for the contribution of the leaves. The 588 buoyancy parameter should be scaled on the net buoyancy force generated by the gas-filled 589 pneumatocysts. Other aquatic macrophytes such as kelp have drag- and buoyancy-generating 590 structures concentrated near the top of the stem. For such cases, the spatial distribution of drag 591 and buoyancy in the governing equation (Eq. 14) must be modified.

592 The model developed here only considers form drag. As the blades assume more 593 streamlined postures, skin friction can become important. To assess when skin friction becomes 594 significant, we consider the limit at which skin friction equals 10% of the horizontal form drag, 595  $F_x = (1/2)\rho C_D b l_e U^2$ . The skin friction force on a horizontal beam of length *l* and width *b* is  $F_f =$ 

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596  $(1/2)\rho C_f b l U^2$  where  $C_f$  is the skin friction coefficient (Kundu and Cohen 2004). A comparison of 597  $F_f$  and  $F_x$  shows that skin friction becomes important when the effective length is

$$598 \qquad \qquad \frac{l_e}{l} \le \frac{10C_f}{C_D} \tag{15}$$

599 Note that this limit is conservative since it compares form drag in the reconfigured state with 600 skin friction on the entire blade length. As before, the drag coefficient for flat plates normal to 601 flow is  $C_D = 1.95$ . For horizontal plates with laminar boundary layers, the skin friction coefficient is  $C_{f} = 1.33 Re^{-1/2}$ , where Re = Ul/v is the Reynolds number based on plate length, l 602 603 (Kundu and Cohen 2004). Substituting these expressions for  $C_D$  and  $C_f$  into Eq. 15, we see that skin friction becomes important as the effective blade length decreases below  $(l_e/l) < 6.8 Re^{-1/2}$ . 604 605 However, this relationship breaks down if the boundary layer on the blades becomes turbulent. 606 The transition to a turbulent boundary layer depends both on flow properties and surface 607 roughness. For relatively smooth surfaces, this transition is likely to occur as the Reynolds number increases above  $Re \approx 10^5$  (Kundu and Cohen 2004). Using l = 30 cm as a typical blade 608 length, the Reynolds number approaches this limit for a flow speed of  $U \approx 30$  cm s<sup>-1</sup>. For a range 609 of flow speeds U = 3 - 300 cm s<sup>-1</sup>, the Reynolds number is  $Re = 10^4 - 10^6$ , so that the skin 610 611 friction coefficient for laminar boundary layers is  $C_f \approx 0.001 - 0.01$ . For turbulent boundary 612 layers,  $C_f$  is also expected to be of O(0.001 - 0.01) (Kundu and Cohen 2004). For  $C_f \approx 0.01$ , a 613 conservative value, Eq. 15 suggests that skin friction is important for  $(l_e/l) < 0.05$ . However, note 614 that smooth surfaces are rare in the field. Even relatively smooth seagrasses are often covered by 615 epiphytes, which are likely to increase skin friction. 616 The model and results obtained in this study can inform the debate about the how to best

617 characterize reconfiguration and drag for flexible macrophytes (Green 2005; Sukhodolov 2005;

618 Statzner et al. 2006). Using the quadratic law, the drag force is usually expressed as  $F_x =$ 

 $(1/2)\rho C_D A U^2$ . As discussed in Statzner et al. (2006), the effects of reconfiguration may be 619 620 captured by changing either the drag coefficient,  $C_D$ , or the characteristic area, A, or by changing 621 both. One option is to use the frontal area of the reconfigured vegetation as the characteristic area 622 scale (Statzner et al. 2006). However, as discussed above, reconfiguration reduces drag through 623 two mechanisms: reduced frontal area and more streamlined shapes. As a result, using the frontal 624 area would additionally require changing the drag coefficient to account for the more streamlined 625 shapes. With two changing parameters, comparing results across studies becomes more difficult. 626 Instead, we suggest the use of an effective length,  $l_e$ , so that the characteristic area is  $A = bl_e$ , 627 where b is a characteristic width. The advantage of this approach is that it allows us to account 628 for the two distinct physical phenomena that can affect drag: Reynolds number effects can be 629 accounted for via the drag coefficient,  $C_D$ , and vegetation reconfiguration may be accounted for 630 via the effective length,  $l_e$ , which is governed by the Cauchy number, Ca, and buoyancy parameter, B. The drag would then be estimated as  $F_x = (1/2)\rho C_D b l_e U^2$ , using the drag 631 coefficient,  $C_D$ , for a rigid, upright blade. As it is not practical in all cases to develop a model 632 633 similar to that described here, as an approximation, we suggest the following physically-634 motivated empirical relationship for effective length:

635 
$$\frac{l_e}{l} = 1 - \frac{\left(1 - 0.9Ca^{-1/3}\right)}{1 + Ca^{-3/2}(8 + B^{3/2})}$$
(16)

The functional form of Eq. 16 was chosen to match model predictions for the zero-stiffness (Fig. 2a) and zero-buoyancy (Fig. 2b) cases. Eq. 16 reduces to  $(l_e/l) \approx 1$  when the drag force scale is smaller than either the restoring force due to buoyancy  $(B^{-1}Ca \ll 1)$  or the restoring force due to stiffness ( $Ca \ll 1$ ). For the zero-stiffness case Eq. 16 yields  $(l_e/l) \sim (B^{-1}Ca)^{-3/2}$  as  $B^{-1}Ca \gg 1$  (see Fig. 2a) and similarly, for the zero-buoyancy case, Eq. 16 simplifies to the predicted scaling  $(l_e/l)$  $\sim Ca^{-1/3}$  for  $Ca \gg 1$  (see Fig. 2b). Figure 10 shows that this empirical relationship (dashed lines) 642 follows model predictions (solid lines) extremely well for the general case. The maximum 643 absolute difference between the two predictions for effective length,  $(l_e/l)$ , is ~0.03 over the

644 range of parameters shown (B = 0 to 100, Ca = 0.1 to  $10^4$ ).

The predicted scaling law for effective length,  $(l_{e}/l) \sim Ca^{-1/3}$ , can also be interpreted in 645 646 terms of the Vogel exponent, often reported as a measure of reconfiguration (Vogel 1994). The 647 Vogel exponent,  $\gamma$ , quantifies deviations from the quadratic drag law by assuming the following relationship between drag and velocity:  $F_x \propto U^{2+\gamma}$ . The quadratic drag law holds for rigid, upright 648 649 bodies at high Reynolds number, for which  $\gamma \approx 0$ . However, because flexible bodies are pushed 650 over by the flow, the drag is reduced, leading to  $\gamma < 0$ . Using the predicted relationship,  $(l_e/l) \sim$  $Ca^{-1/3}$ , the drag force is proportional to  $U^{4/3}$ , which leads to a Vogel exponent of  $\gamma = -2/3$ . 651 652 Consistent with this prediction, the observations made by Boller and Carrington (2006) indicate that  $\gamma \approx -0.60$  for the intertidal macro alga *Chondrus crispus*. For the terrestrial giant reed 653 654 Arundo donax, Harder et al. (2004) observed that the Vogel exponent transitions from a value of  $\gamma = -0.12$  for velocities smaller than  $U = 1.5 \text{ m s}^{-1}$ , to  $\gamma = -0.71$  for velocities greater than U =655 1.5 m s<sup>-1</sup>. The low-velocity condition is consistent with a quadratic drag law ( $\gamma = 0$ ), implying 656 that these flows do not induce reconfiguration. However, for  $U > 1.5 \text{ m s}^{-1}$ , the observed 657 658 coefficient is consistent with the stiffness dominated reconfiguration ( $\gamma = -2/3$ ). The 659 observations described above suggest that the predicted scaling law for stiffness-dominated reconfiguration,  $F_x \propto U^{4/3}$ , holds for many systems. Note that for buoyancy-dominated systems 660 (B >> 1), the drag force can increase sub-linearly with velocity (Fig. 4b), so that  $\gamma < -1$ . 661 However, once the drag scale exceeds blade buoyancy,  $B^{-1}Ca > O(1)$ , the predicted scaling law 662 for effective length,  $(l_e/l) \sim Ca^{-1/3}$ , applies again and the Vogel exponent reverts to  $\gamma = -2/3$ . 663

664 This study shows that a simple model balancing the effects of hydrodynamic drag with 665 the restoring forces due to vegetation stiffness and buoyancy can successfully predict posture and 666 drag for both model and natural aquatic vegetation. As a result, we suggest that future work 667 considering the interaction between flow and flexible vegetation should be framed in terms of the 668 two dimensionless parameters that represent the ratios of these three forces: the Cauchy number 669 (Ca, Eq. 8) and the buoyancy parameter (B, Eq. 7). The use of this convention has not only been 670 successful in the past (Nikora 2010), it also makes a quantitative comparison of reconfiguration 671 possible across vegetation species and hydrodynamic conditions. At the same time, some caution 672 is required when using these dimensionless parameters to guide theory and experiment. It is 673 tempting to disregard vegetation stiffness for cases where B >> 1. However, this study clearly 674 demonstrates that even for B >> 1, vegetation stiffness can be important once hydrodynamic drag exceeds vegetation buoyancy, i.e.,  $B^{-1}Ca > O(1)$ . 675

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### Tables

	l(cm)	В				(	Ca			
						U(c	$m s^{-1}$ )			
			3.6	7.1	11	14	16	22	27	32
HDPE	5	0.0049	0.032	0.12	0.28	0.50	0.66	1.2	1.8	2.5
$E = 0.93 \pm 0.08$ GPa	10	0.040	0.25	0.99	2.2	4.0	5.3	9.3	15	20
$\Delta \rho = 50 \pm 10 \text{ kg m}^{-3}$	15	0.13	0.86	3.3	7.5	14	18	32	50	68
$b = 1.0 \pm 0.05$ cm	20	0.32	2.0	7.9	18	32	42	75	120	160
$t = 0.4 \pm 0.04 \text{ mm}$	25	0.62	3.9	15	35	63	83	150	230	320
Silicon foam	5	2.7	0.55	2.1	4.8	8.7	11	20	32	44
$E = 500 \pm 60 \text{ kPa}$	10	22	4.4	17	38	70	92	160	260	350
$\Delta \rho = 330 \pm 50 \text{ kg m}^{-3}$	15	73	15	58	130	240	310	550	860	1200
$b = 1.0 \pm 0.05$ cm	20	170	36	140	310	560	730	1300	2000	2800
$t = 1.9 \pm 0.10 \text{ mm}$	25	340	69	270	600	1100	1400	2500	4000	5500

**Table 1.** List of test cases for the model blade experiments.

Species	$b (\mathrm{cm})^1$	$t  (\mathrm{mm})^1$	l (cm)	$E (\text{GPa})^2$	$\Delta \rho ~(\mathrm{kg}~\mathrm{m}^{-3})$	В
Thalassia testudinum	1.0	0.45	$20^{1}$ (10 - 30)	1.0 (0.4 – 2.4)	85 <sup>2</sup>	0.40 (0.02 - 3.3)
Halodule wrightii	0.2	0.40	$15^1$ (10 – 20)	1.0 (0.4 – 2.4)	85 <sup>2</sup>	0.20 (0.03 – 1.3)
Zostera marina	0.8	0.35	$40^{3}$ (30 - 50)	1.0 (0.4 – 2.4)	325 <sup>3</sup>	20 (3.5 - 98)
Source:	<sup>1</sup> Luhar et al. (2010); <sup>2</sup> Bradley and Houser (2009); <sup>3</sup> Abdelrhman (2007)					

**Table 2.** Assumed seagrass blade properties to generate the model predictions (Fig. 7) for comparison to the data reported in Fonseca and Kenworthy (1987).

**Table 3.** Material and geometric properties for the macroalga *Turbinaria ornata*, as reported by

 Stewart (2006). Also shown are the estimated buoyancy parameter and range of Cauchy number.

 A negative value of the buoyancy parameter corresponds to the case where the vegetation is

 denser than the water.

	Backreef	Forereef
E (MPa)	29	34
$l(\mathrm{cm})$	19	9.9
<i>r</i> (mm)	1.3	1.6
$A (\mathrm{cm}^2)^*$	12	7.0
$F_B$ (mN)	23	-10
В	15	-0.56
$Ca~(U=32-75~{\rm cm~s}^{-1})$	76 - 400	4.0 - 21

\* Indirect estimate based on other reported properties

#### **Figure Legends**

**Figure 1.** Schematic showing the coordinate system and force balance used to derive the mathematical model for the flow-induced reconfiguration of aquatic vegetation.

**Figure 2.** Model predictions for the effective blade length ( $l_e/l$ ) and blade posture. (a) Effective blade length plotted against the ratio of hydrodynamic forcing and blade buoyancy ( $B^{-1}Ca$ ), for zero-stiffness blades. Also shown are predicted blade postures for the cases marked with a dot:  $B^{-1}Ca = 0.1$  and  $B^{-1}Ca = 3.2$ . (b) Effective blade length plotted against the Cauchy number (*Ca*), for blades that are neutrally buoyant. The blade postures shown in the left and right subplots correspond to the cases marked with a dot, Ca = 1 and Ca = 32, respectively. (c) Effective blade length plotted against the Cauchy number : B = 0 (bold black line), 10 (fine black line), 50 (bold gray line), and 100 (fine gray line). The predicted blade postures shown in the subplots correspond to the cases marked with a dot, Ca = 1, Ca = 32, and Ca = 1000, from left to right, respectively.

**Figure 3.** Schematic of the experimental set-up. Also shown are the measured profiles of velocity for the eight different flow speeds tested in this study (Table 1). Note the vertical exaggeration.

**Figure 4.** (a) Horizontal force ( $F_x$ ), plotted against velocity (U), for the model blades made from high density polyethylene (HDPE). The black squares and black line correspond to the measured and predicted forces for the 5 cm-long blade. The gray circles and gray line correspond to the measured and predicted forces for the 25 cm-long blade. (b) Same as (a), but for the model

blades made from silicone foam. (c-f) Observed blade postures for two different flow speeds. The overlaid white curves are model predictions, and the scale bar is 5 cm. (c, e) correspond to the 5 cm- and 25 cm-long HDPE blades, respectively. (d, f) correspond to the 5 cm- and 25 cmlong foam blades.

**Figure 5.** (a) Effective blade length  $(l_e/l)$ , plotted as a function of the Cauchy number (*Ca*), for the model blades made from HDPE. The markers show experimental observations for the five different blade lengths tested, l = 5 cm (squares), 10 cm (asterisks), 15 cm (triangles), 20 cm (crosses), and 25 cm (circles), and the solid lines represent model predictions. The inset legend shows the value for the buoyancy parameter (*B*) for each of the five blade lengths. (b) Same as (a), but for the model blades made from foam.

**Figure 6.** (a) Comparison of model predictions for blade posture with the observations made by Abdelrhman (2007) for the seagrass *Zostera marina* exposed to a flow of speed  $U = 6 \text{ cm s}^{-1}$ . Predicted blade postures are shown as black curves on the left, while the observations are shown on the right (images from fig. 8 in Abdelrhman 2007). Note that the two predicted blade postures correspond to the highest and lowest assumed values for the blade elastic modulus, as described in the text. (b, c) Same as (a) but for flow speeds  $U = 12 \text{ cm s}^{-1}$  and  $U = 14 \text{ cm s}^{-1}$ , respectively.

**Figure 7**. (a-c) Deflected blade height (h/l), plotted against velocity (U). Observations made by Fonseca and Kenworthy (1987) are shown as squares, while the model predictions are shown as solid and dashed lines. The solid line corresponds to model predictions that use the elastic modulus and blade length that are in the middle of the range reported in previous literature. The

dashed lines correspond to predictions made with the upper- and lower-limit of elastic modulus and blade length (Table 2). (a) shows the data for the seagrass species *Thalassia testudinum*, while (b, c) show the data for *Halodule wrightii* and *Zostera marina*, respectively.

**Figure 8.** Effective blade length ( $l_e/l$ ) plotted against velocity (U) for the marine macroalga *Turbinaria ornata*. The black squares correspond to the measurements made by Stewart (2006) for samples collected from a wave-exposed, forereef site, while the gray circles correspond to the measurements for samples collected from a sheltered, backreef site. The black and gray lines show model predictions for the forereef and backreef samples, respectively. The shaded areas represent the velocities reported by Stewart (2006) for each site.

**Figure 9.** Reconfiguration response for three different velocity profiles. (a) Schematic of the three velocity profiles: the depth-uniform case (solid line), the dense canopy case (bold dashed line), and the sparse canopy case (fine dashed line). (b) Deflected canopy height (h/l) plotted against the Cauchy number (*Ca*) for two values of the buoyancy parameter, B = 0 (black lines) and B = 100 (gray lines). The solid lines denote the depth-uniform case while the bold and fine dashed lines correspond to the dense and sparse canopy cases. (c) Similar to (b) but showing the effective length ( $l_e/l$ ) plotted against the Cauchy number (*Ca*).

**Figure 10.** Effective blade length  $(l_e/l)$  plotted against the Cauchy number (Ca) for a range of values of the buoyancy parameter, B = 0 (bold black line), 10 (fine black line), 50 (bold gray line), and 100 (fine gray line). The solid lines denote predictions made by the numerical model, while the dashed lines correspond to the empirical relationship shown in Eq. 16.









Figure 3











## Figure 6











Figure 9



Figure 10

