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## Genomics of Basal and Squamous Cell Carcinomas

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### 1. Introduction

Non-melanoma skin cancers, which include basal and squamous cell cancers, are the most common human cancers. Because of their relatively low metastatic rate and relatively slow growth these are frequently underreported. The high prevalence and the frequent occurrence of multiple primary tumours in affected individuals make non-melanoma skin cancers an important but underestimated public health problem.

There has been a dramatic increase in the incidence of non-melanoma skin cancer in the past 40 to 50 years, despite the awareness of the harmful effects of excessive sun exposure. A population-based study from Wales has shown that the crude incidence for non-melanoma skin cancer has increased from 173.5 to 265.4 per 100,000 population per annum between 1988 and 1998 (Holme *et al*, 2000, *Br J Dermatol*).

Although ultraviolet radiation is the most important risk factor in the genesis of both squamous cell carcinomas and basal cell carcinomas, there is a proportionately greater effect of increasing sun exposure on the risk of developing squamous cell carcinoma (Kricke *et al*, 1995, *Int J Cancer*). The desirability of a tan, increased leisure time and the introduction of cheap package holidays have resulted in a marked increase in the levels and change of pattern of sun exposure in the last 4 to 5 decades and this is thought to have led to an increase in the incidence of NMSC.

**Basal cell carcinomas (BCC)** which are the commonest cancer in Caucasians are slow growing tumour which, rarely metastasize. Their incidence is increasing by ~10%/year worldwide indicating that the prevalence of this tumour will soon equal that of all other cancers combined (Karagas *et al*, 1995, *Skin cancer: Mechanisms and human relevance*). Furthermore, 40-50% of patients will develop at least one more within 5 years.

UV radiation is the major aetiological agent in the pathogenesis of BCC and an understanding of its effects on the skin is clearly critical. However, though exposure to UVR is essential, its relationship with risk is unclear and epidemiological studies suggest its quantitative effect is modest. For example, a large European case-control study has shown only a two-fold increase in risk with increased exposure (Rosso *et al*, 1996, *Br J Can*) while recent studies suggest that intermittent rather than cumulative exposure is more important

(Kricger, 1995, *Int J Cancer*). The relationship between tumour site and exposure to UVR is also unclear. The distribution of lesions does not correlate well with the area of maximum exposure to UVR in that BCC are common on the eyelids, at the inner canthus and behind the ear, but uncommon on the back of the hand and forearm. Indeed, compared with squamous cell cancer (SCC), BCC are relatively more common on less exposed sites such as the trunk. Thus, though exposure to UVR is critical, patients develop BCC at sites generally believed to suffer relatively less exposure. The basis of the different susceptibility of skin at different sites to BCC development is not known, but may be related to the association of BCC with intermittent UV exposure.

Surgery remains the mainstay in the treatment of BCC. However, with a better understanding of the aetiopathogenesis and the relatively non-aggressive nature of these lesions, newer forms of destructive and non-destructive treatments are a focus of research. Induction of apoptosis, modulation of differentiation and immunomodulation are some of the strategies by which some of the current pharmacotherapeutic agents exert their action. Unravelling the genetics of BCC will provide a basis for further research on the introduction of pharmacogenetics and development of newer agents targeting the specific genes implicated in the causation of BCC.

Chronic irritation, inflammation and injury to the skin can predispose to malignant epithelial neoplasms, in particular **squamous cell carcinomas** (Kaplan, 1987, *Adv Derm*). Examples include complicated scars from frostbite, electrical injury, chronic sinuses or fistulas, chronic osteomyelitis, chronic stasis dermatitis, and scars following various cutaneous infections.

The most often reported dermatoses complicated by cancer are discoid lupus erythematosus, scarring variants of epidermolysis bullosa, genital lichen sclerosus et atrophicus, its variant balanitis xerotica obliterans and lichen planus.

Lupus vulgaris, a chronic form of cutaneous tuberculosis is complicated by squamous cell carcinoma or less commonly basal cell carcinomas in up to 8% of the patients (Betti *et al* 2002, *Hautarzt*, Forstrum *et al*, 1969, *Ann Clin Res*). Squamous cell cancers can also arise from lesions of erythema *ab igne*, a characteristic dermatosis resulting from repeated or prolonged exposure to infrared radiation, insufficient to produce a burn (Peterkin, 1955, *BMJ*).

There is strong evidence that Photochemotherapy (PUVA) increases the risk of developing squamous cell carcinoma and this correlates with the cumulative dose of ultraviolet A. High dose PUVA (more than 200 treatments) is associated with a 14-fold increase in the risk of NMSC compared to low dose PUVA (Stern *et al*, 1998 *Arch Dermatol*). Arsenic is an important chemical carcinogen implicated in the development of non-melanoma skin cancer. In the first half of the 20<sup>th</sup> century, this was caused by the ingestion of medicinal arsenic in the form of medications for asthma and psoriasis. Mining and well water which is high in arsenic are the main sources today.

Patients who have received a renal transplant have a 50-250-fold increased risk of developing squamous cell carcinoma and a 5-10 fold increase in the risk of developing basal cell carcinoma implicating anti-tumour immunity (McGregor *et al*, 1995, *Lancet*, Bouwes Bavinck, 1995, *Hum Exp Toxicol*). Moreover, there is a close association between the

development of non-melanoma skin cancer and premalignant lesions such as actinic keratoses and the presence of viral warts in these patients (Bouwes Bavinck, 1995, *Hum Exp Toxicol*). Actinic keratoses are hyperkeratotic lesions occurring on chronic light exposed adult skin, and carry a low risk of progression to invasive squamous cell carcinoma. Lesions are usually multiple and comprise of macules or papules with a rough scaly surface resulting from disorganised keratinisation and a variable degree of inflammation. Although the rate of progression of individual squamous cell carcinoma has been estimated to be less than 0.1%, the presence of actinic keratoses is an important marker of excessive UV exposure and increased risk of non-melanoma skin cancer (Salasche, 2000, *J Am Acad Dermatol*)

Mucosal lesions such as leukoplakias are also known to be premalignant with 2-5 % becoming malignant in 10 years (Crispian, 2004, *Rooks textbook of Dermatology, Blackwell publishing*). Bowen's disease is a form of intraepidermal squamous cell carcinoma, which presents as a persistent, non-elevated, red, scaly or crusted plaque and carries a small potential of invasive spread. Most studies suggest a risk of invasive cancer of about 3%. There is a significant frequency of multiple lesions and an association of Bowen's disease with other skin cancers, which may reflect predominant solar aetiology or, in some cases exposure to arsenic. Genital, especially perianal Bowen's disease has a higher risk of invasive malignancy.

Various studies indicate that the risk of skin cancer may be related to the overall amount of immunosuppression (Jensen, 1999, *Science*, Bouwes Bavinck, 1996, *Transplantation*, Dantal, 1998, *Lancet*). Skin cancers are the most common malignancies that occur in transplant patients and their frequency increases with time after transplantation (Penn, 1993, *Hematol Oncol Clin North Am*). The normal SCC/BCC ratio as observed in normal population is reversed in transplant recipients, with an excess in SCC development (Ong et al, 1999, *J Am Acad Dermatol*, Barr et al, 1989, *Lancet*) Moreover, these tumours behave more aggressively with a higher risk of metastasis than in general population (Penn, 1991, *Transplant Proc*).

Patients receiving renal transplant from HLA -B antigen mismatched donors, are at a higher risk of developing SCC, which is thought to be related to more intense immunosuppression which these patients receive (Bouwes Bavinck et al 1991, *N Engl J Med*). SCC in transplant patients develop mostly on sun exposed sites (Bavinck et al 1993, *Br J Dermatol*) and are more frequent in individuals with fair skin, blue eyes, and blonde and red hair (Bavinck et al 1993, *Br J Dermatol*, Euvrard et al 1995, *J Am Acad Dermatol*, McLelland et al 1998, *Transplantation*); the standard risk factors for development of SCC. Early diagnosis and treatment of squamous cell cancers is important to avoid metastasis and tissue destruction as these cancers are more invasive and have a higher metastatic spread compared to basal cell cancers.

## 2. SCC predisposing syndromes

### 2.1 Xeroderma pigmentosum

An autosomal recessive disease characterised by elevated sensitivity to sunlight, multiple epidermal skin cancers in childhood as a consequence of increased susceptibility to DNA damage and abnormal DNA repair. In vitro, cells from XP patients show a decreased ability

to conduct base excision repair in which single strand areas of DNA are excised and replaced with a new set of bases after sunlight induced damage.

## 2.2 Albinism

Partial or complete failure to produce melanin in the skin and the eyes. SCC and melanoma develop in sun exposed sites of most individuals at an early age. (Lookingbill *et al* 1995, J Am Acad Dermatol.)

## 2.3 Muir Torre syndrome

Germ line mutations in genes involved in DNA mismatch repair and microsatellite instability result in this autosomal dominant syndrome characterised by the presence of one or more sebaceous neoplasms in association with internal malignancy, most frequently of the colon. SCC have also been described in these patients.

## 2.4 KID (Keratosis, Ichthyosis, Deafness)

Invasive SCC developing within dysplastic lesions have been reported in several patients suffering from this syndrome (Madariaga *et al*, 1986, Cancer).

## 2.5 Dystrophic epidermolysis bullosa

Recessive dystrophic epidermolysis bullosa (RDEB) is an autosomal recessive mechanobullous disorder caused by mutations in the human type VII collagen gene (COL7A1). Individuals with DEB lack type VII collagen and anchoring fibrils, structures that attach epidermis and dermis. The leading cause of death in RDEB is invasion and metastasis of cutaneous SCC. Although the SCC in RDEB are frequently well-differentiated by histopathology, they often have a poor prognosis due to multicentricity, rapid invasiveness, and development of distant metastases. Mutations in the p53 tumor suppressor gene and loss of p16ink4a through hypermethylation have been seen in cutaneous SCC from these patients (Arbiser *et al*, 2004, J Invest Dermatol). This suggests that alterations in both p53 and p16ink4a can contribute to SCC in RDEB. Patients with RDEB have also been found to have elevated levels of b fibroblast growth factor, which may contribute to increased fibroblast collagenase and the development of SCC (Arbiser *et al*, 1998, Mol Med). Reduced expression of IGFBP-3, as seen in SCC associated with RDEB, has been suggested as a likely reason for the aggressive behaviour and poor prognosis of these tumors (Mallipeddi *et al*, 2004, J Invest Dermatol).

## 2.6 Fanconi anaemia

Fanconi anemia is an autosomal recessive disorder characterized by congenital malformations, bone marrow failure, and the development of SCC and other cancers. Environmental factor such as human papillomavirus (HPV) may be involved in the pathogenesis of SCC in Fanconi anemia patients (Kutler *et al* 2003, J Natl Cancer Inst). HPV DNA was isolated in 84% of the SCC specimens from the patients with Fanconi anaemia and a large proportion of patients with Fanconi anemia and SCC were homozygous for Arg72, a p53 polymorphism that may be associated with increased risk for HPV-associated human malignancies (Kutler *et al* 2003, J Natl Cancer Inst).

## 2.7 Rothmund Thompson syndrome

Rothmund-Thomson syndrome (RTS) is a rare autosomal recessive genodermatosis characterized by early onset of progressive poikiloderma including alopecia, dystrophic teeth and nails, juvenile cataracts, short stature, hypogonadism, bone defects and several other cutaneous and extracutaneous findings. Most (but apparently not all) cases of RTS are caused by null or hypomorphic mutations in the *RECQL4* gene, a putative DNA helicase. A role for *RECQL4* in the repair of DNA double-strand breaks by homologous recombination has been suggested (Petkovic *et al*, 2005 *J Cell Sci*). Several cases of skin malignancies including SCC have been described in RTS patients, indicating a higher incidence of cutaneous malignancies (Piquero-Casals *et al* 2002, *Pediatr Dermatol*).

## 2.8 Werner syndrome

Werner syndrome is a genetic disorder of early ageing, excess cancer risk, high incidence of type II diabetes mellitus, early atherosclerosis, ocular cataracts, and osteoporosis. The protein encoded by the defective gene, *WRN* (*WRNp*) associates with 3'-5'-exonuclease and ATPase activities. Werner syndrome protein (*WRN*) is a RecQ-type DNA helicase, which seems to participate in DNA replication, double-strand break (DSB) repair, and telomere maintenance. A deficiency in maintaining DNA integrity is thought to be a consequence of the defective DNA helicase. In vivo alterations of oxidative stress parameters in WS patients have been demonstrated which may cause oxidative damage to biomolecules, with multiple oxidative stress-related alterations, resulting in multi-faceted clinical consequences (Pagano *et al*, 2005, *Biogerontology*, Pagano *et al*, 2005, *Free Radic Res*).

## 2.9 Other syndromes

These include hereditary non-polyposis coli, dyskeratosis congenita, Huriez syndrome and chronic mucocutaneous candidiasis.

## 3. Candidate susceptibility genes

The concept of genetic susceptibility to BCC and SCC is complex as genes may influence susceptibility as well as tumour numbers, rate of appearance and site. Selection of putative susceptibility genes must be in part subjective though the varied effects of UVR suggest that candidate genes may be selected from those involved in DNA repair, defence against oxidative stress, immune modulation, tanning and other related biochemical activities.

Although sunlight plays a crucial role in the development of SCC and to a lesser extent BCC, there is enough evidence that this process is multifactorial with contributions from genetic and environmental factors. Several genes have been implicated in providing protection against and modifying the effects of UV radiation. It is also understood that UV is both mutagenic and locally immunosuppressant, thereby implying a huge pathogenic potential.

### 3.1 DNA repair

Signature UV-DNA lesions, cyclobutane dimers and 6-4 photoproducts, are repaired via the nucleotide excision repair pathway which may be subdivided into transcription-coupled repair and global genome repair. The XPC protein is specific to this latter repair pathway

recognizing helix distorting lesions and initiating their repair. Inactivating XPC mutations are associated with xeroderma pigmentosa and an extremely high risk of skin cancer. Most early research on DNA repair and skin cancer was performed in patients with xeroderma pigmentosum (XP), a rare autosomal recessive syndrome in which multiple skin tumours are seen. Reduced capacity to repair DNA was observed in XP cells (Cleaver *et al*, 1969, *Proc Natl Acad Sci USA*). Early in life, homozygote XP patients develop severe photosensitivity and a 2,000-fold increased risk of skin cancer.

A common polymorphism in intron 9 of the XPC gene has been associated with both reduced repair of UV-DNA damage and increased risk of squamous cell head and neck cancer. It has been reported that PAT<sup>+</sup> polymorphism may slightly modify the risk of SCC among individuals with a phenotype which results in low UV-DNA adduct burdens (Nelson *et al*, 2005, *Cancer Lett*). The XPD is another gene involved in the nucleotide excision repair pathway removing DNA photoproducts induced by UV radiation. Genetic variation in XPD may exert a subtle effect on DNA repair capacity with an inverse association between the Lys751Gln and Asp312Asn polymorphisms and the risks of melanoma and squamous cell carcinoma (Han *et al*, 2005, *Cancer Epidemiol Biomarkers Prev*).

UVA-induced oxidative DNA damage and blocked DNA replication by UVB-induced photoproducts can lead to double-strand breaks (DSBs). DSB repair genes XRCC2, XRCC3, and LigaseIV were evaluated for their associations with skin cancer risk. (Han *et al*, 2004, *Cancer Res*). XRCC3 18085T (241Met) allele and its associated haplotype were significantly inversely associated with the risks of SCC and BCC (Han *et al*, 2004, *Br J Cancer*) The XRCC1 gene is also involved in the base excision repair pathway. The 399Gln allele was inversely associated with SCC risk in those who had five or more lifetime sunburns, those with a family history of skin cancer, and those in the highest tertile of cumulative sun exposure in a bathing suit (Han *et al* 2004, *Cancer Res*). There was also a significant association of the carriage of 194Trp allele with increased SCC risk, which was modified by family history of skin cancer (Han *et al*, 2004, *Cancer Epidemiol Biomarkers Prev*).

In sporadic BCC, DNA repair capacity below the upper 30<sup>th</sup> percentile was associated with a 2.3 fold increase in BCC relative risk. However, some studies have reported increased repair in BCC patients and so batch variability and the effects of age, family history of skin cancer and current sun exposure may confound results (Hall *et al* 1994, *Int J Cancer*). At least two types of XP are caused by defects in DNA helicases that are involved in nucleotide excision repair and in transcription. Werner and Bloom syndromes are hereditary skin cancer disorders that are associated with helicase defects but curiously not with the development of BCC's (Yu Ce *et al*, 1996, *Science*, Ellis *et al*, 1995, *Cell*). Rothmund-Thomsen syndrome, which in some cases is caused by defects in a DNA helicase (Kitao *et al*, 1999, *Nat Genet*) does seem to predispose to BCC (Wang *et al*, 2001, *Am J Med Genet*). This tissue specific effect of helicases is poorly understood. Also, other forms of genomic instability disorders including the chromosome breakage disorders like ataxia telangiectasia and Nijmegen breakage syndrome and disorders with p53 gene mutations like Li Fraumeni syndrome (Malkin *et al*, 1990, *Science*) or dyskeratosis congenita, a disorder associated with failure to maintain telomeres (Knight *et al*, 1999, *Am J Hum Genet*, Vulliamy T, 2001, *Nature*) are not causally associated with BCC.

### 3.2 Chemical detoxication

While exposure to UVR is accepted as a critical causative factor in the pathogenesis of BCC, the magnitude of the risk associated with increased exposure appears to be insufficiently large to explain the considerable phenotypic diversity demonstrated by patients in terms of tumour numbers, site and patterns of presentation.

UVA and UVB radiation cause indirect damage to DNA by inducing oxidative stress (Griffiths *et al*, 1998, *Crit Rev Clin Lab Sci*). Reactive oxygen species thus produced, interact with lipids, proteins and DNA to generate intermediates that combine with DNA to form adducts (Lear *et al*, 2000, *Br J Dermatol*).

The authors have focused on the extensive clinical diversity following initial presentation, demonstrated by patients to identify subgroups that are associated with different risks of developing tumours. Two phenotypes are particularly important; firstly, presentation with clusters of BCC. These patients, termed multiple presentation phenotypes (MPP), had 2-5 BCC at one presentation and comprised 15% of our study group of 1200 BCC patients. A minority of patients demonstrated multiple clustering events, a phenomenon that appears to be strongly associated with a genetic pre-disposition (Ramachandran *et al*, 1999, *Cancer Epidemiol Biomarkers Prev*; Ramachandran *et al*, 2000, *Cancer*).

The second risk phenotype, characterized by tumours on the trunk, is also associated with a pre-disposition. These patients are important as there is evidence that different mechanisms mediate development of BCC on this, compared with other sites. For example, patients whose first tumour was truncal had more BCC than other patients (mean 2.4 vs. 2.0 tumours), were significantly younger at first presentation and developed more clusters of BCC than cases who did not develop truncal tumours. First presentation with a truncal tumour is associated with significantly more subsequent BCC on this site compared with cases with an initial head and neck lesion (Ramachandran *et al*, 2001, *Cancer*). These data suggest the development of a truncal BCC is not random but rather is associated with a pre-disposition. In contrast, the rate of increase of non-truncal BCC/year was similar in patients with and without initial truncal lesions suggesting different mechanisms determine the development of truncal and non-truncal BCC (Ramachandran *et al*, 2001, *Cancer*).

Both the MPP and truncal phenotypes were characterized by a susceptibility to develop numerous BCC. All patients with more than 5 BCC had one or both of these phenotypes.

The GST supergene family offers protection against cytotoxic and mutagenic effects of electrophiles generated by UV induced oxidative stress. This is achieved by conjugation of glutathione to electrophiles. GSTM1 catalyses the conjugation of 4-hydroxynonenal and linoleic acid hydroperoxide, products of lipid peroxidation (Kerb *et al*, 1997, *J Invest Dermatol*). It also catalyses the conjugation of DNA hydroperoxide (Kerb *et al*, 1997, *J Invest Dermatol*), a product of DNA oxidation, 5 hydroxymethyluracil, a mutagenic compound formed by either oxidative attack on the methyl group of the thymine base of DNA or from deamination of products formed by the oxidation of 5-methylcytosine (Boorstein *et al* 1989, *Nucleic Acids Res*. Lear *et al* 2000, *Br J Dermatol*). The GSTM1 and GSTT1 have been shown to be associated with the development and accrual of basal cell carcinoma (Lear *et al* 1996, 1997, *Carcinogenesis*), raising the possibility of an association with SCC as well. Indeed,



GSTM1 gene has been shown to be associated with actinic keratoses, supporting the possibility of its implication in SCC (Carless *et al*, 2002, *J Invest Dermatol*).

The authors have examined the role of polymorphism in genes encoding detoxifying enzymes such as glutathione S-transferases (GST) and cytochrome P450s (CYP). The CYP supergene family comprise over 30 isoforms, which catalyse the biotransformation of a range of xenobiotics, often as the first of a two-phase detoxication. The resultant potentially highly reactive intermediate is then a substrate for phase two enzymes including members of the GST supergene family. The GSTs can also catalyse the detoxication of the products of oxidative stress (e.g. lipid and DNA hydroperoxides). Cytosolic GST activity in mammalian tissues is due to the presence of multiple GST isozymes, which can be assigned to 8 classes, e.g.  $\alpha$ ,  $\theta$ ,  $\mu$ ,  $\pi$ ,  $\sigma$ ,  $\kappa$ ,  $\omega$  and  $\zeta$  (Hayes *et al*, 1995, *Crit Rev Biochem Mol*). In human skin, the  $\pi$  class of GST is the predominant isozymes and is found predominantly in sebaceous glands (Raza *et al*, 1991, *J Invest Dermatol*). GST-  $\pi$  has been suggested to be an oncofetal protein that is expressed during carcinogenesis (Moscow *et al*, 1998, *Proct Natl Acad Sci USA*). Several polymorphisms in GST family members exist (Hayes *et al*, 1995, *Crit Rev Biochem Mol Biol*; Pemble, 1994, *Biochem J*) and have been associated with impaired detoxification, thus influencing the risk for several cancers, including non-melanoma skin cancer (Heagerty *et al*, 1994, *Lancet*; Heagerty, 1996, *Br J Cancer*).

A GSTT1 null genotype is associated with high UV sensitivity (Kerb *et al*, 1997 *J Invest Dermatol*) and we have shown that a GSTM1 null genotype also predisposes for BCC, probably due to its role in defence against UV induced oxidative stress (Lear *et al*, 1997, *Carcinogenesis*; Lear, 1996, *Carcinogenesis*). Polymorphism of GSTM3 was also shown to increase the risk for multiple BCC (Yengi, 1996, *Cancer Res*). Polymorphism in cytochrome p450 CYP2D6 has also been associated with susceptibility as well as tumour numbers (together with vitamin D receptor and tumour necrosis factor alpha). In the case of multiple clustering, associations between the CYP2D6 EM genotype and risk demonstrated particularly large odds ratio (OR=15.5) (Ramachandran *et al*, 1999, *Cancer Epidemiol Biomarkers Prev*; Lear *et al*, 1996, *Carcinogenesis*).

### 3.3 Immunological effects

Though the role of UVR in the pathogenesis of skin tumours has been extensively studied, several reports have suggested that the resultant tumours are, at least in mice, highly immunogenic and regress on transfer to non-exposed hosts. This implies that the immune status of the UVR irradiated skin is compromised in those who develop tumours (Granstein, 1996, *Photochem Photobiol*). These findings are explained by data showing that exposure to UVR results in a cascade of events including a T-lymphocyte-mediated immunosuppression (Kripke, 1994, *Cancer Res*; Streilein 1993, *J Invest Dermatol*). The extent of the immunosuppression appears, to some degree, dose-dependent. Studies on the mechanism of this effect have concentrated on two chromophores; DNA and urocanic acid, both of which can result in altered expression of several cytokines including tumour necrosis factor alpha (TNF- $\alpha$ ), interleukin (IL-)10, IL-1 $\alpha$ / $\beta$ , IL-3, IL-6, IL-8, IL-10, granulocyte-macrophage colony-stimulating factor (GM-CSF) and nerve growth factor. This results in an alteration from a T helper 1 (Th1) to a suppressive T helper 2 (Th2) response (Granstein, 1996, *Photochem Photobiol*; Kripke, 1994, *Cancer Res*; Streilein 1993, *J Invest Dermatol*) thereby inhibiting the ability of antigen presenting cells to induce anti-tumour immunity. In a pilot

study, we found in 133 patients with multiple BCC, the TNF allele haplotype a2b4d5 significantly influenced BCC number (mean BCC number; 8.1 vs. 3.7 in other allele combinations) (Hajeer *et al*, 2000, *Br J Dermatol*). Further support for the role of the immune system in the pathogenesis of skin cancer came from the finding that HLA-DR4 is associated with multiple BCC (Czarnecki *et al*, 1993, *Dermatology*) but this has been disputed (Rompel *et al*, 1995, *Rec Res Canc Res*). Interestingly, there is a possible link between GST and immune modulation in non-melanoma skin cancer with studies showing a link between contact hypersensitivity to dinitrochlorobenzene (a substrate for GST) and squamous cell carcinoma (and non-significantly with BCC) (de Berker, 1995, *Lancet*). Furthermore, GSTM1 and GSTT1 genotypes have been shown to influence inflammatory response following UVR exposure, a finding possibly reflecting the link between oxidative stress and eicosanoid mobilisation.

### 3.4 Immunosuppression

The critical role of immunomodulation in skin cancer susceptibility is further supported by data showing immunosuppressed transplant patients are at considerably higher risk of both BCC and SCC than the general population. SCC of the skin is the most common malignancy occurring in the setting of solid organ transplantation and immunosuppression, and its incidence increases substantially with the extended survival after transplantation (Otley *et al*, 2000, *Liver Transpl*). SCC occurs more frequently in transplant patients (Ondrus *et al*, 1999, *Int Urol Nephrol*) whereas in the general populations BCC is three to six times more frequent than SCC (Barrett *et al*, 1993, *Cancer*). It was shown in heart transplant recipients that the number of skin cancers is significantly correlated with both age at transplantation and duration of follow-up (Ong, 1999, *J Am Acad Dermatol*). In Europe, 40% of renal transplant recipients develop skin cancer within 20 years after grafting, (Hartevelt *et al*, 1990, *Transplantation*). Heart transplant recipients are at a higher risk than kidney transplant recipients, most probably due to the fact that they receive higher doses of immunosuppression agents (Euvrard *et al*, 1995, *J Am Acad Dermatol*) but it cannot be overlooked that the different types of immunosuppressive agents have different effects in this respect. Immunosuppression as practised after organ transplantation does not increase the risk of developing BCC to the same extent as SCC. The incidence of BCC seems not to be affected by PUVA treatment. A diminished response to skin application of dinitrochlorobenzene was found in people with SCC but not in patients with BCC, again supporting the notion that the incidence of BCC is not affected by immune status to the same extent as SCC (de Berker *et al*, 1995, *Lancet*).

### 3.5 Human Immunodeficiency Virus

People suffering from acquired immunodeficiency syndrome (AIDS) have shown an elevated risk for the development of BCC (Franceschi *et al*, 1998, *Br J Cancer*; Ragni *et al*, 1993, *Blood*). Human Immunodeficiency virus (HIV) patients with BCC more frequently show blue eyes, blonde hair, family history and extensive prior sun-exposure (Lobo *et al*, 1992, *Arch Dermatol*). The pigmentation phenotype is probably an independent risk factor that adds to the increased risk of BCC conferred by the immunosuppression. There have been some reports of BCC's metastasising in people suffering from AIDS, (Steigleder, 1987, *Z Hautkr; Sitz*, 1987, *JAMA*) suggesting that immune surveillance is one of the factors determining the normally metastatic nature of the BCC. Why immunosuppression by HIV increases the risk

of BCC, whereas pharmaceutical immunosuppression does not is not clear. The depletion of CD4 lymphocytes by HIV may lead to a more pervasive defect in adaptive antitumour immunity that does mere functional suppression by commonly used immunosuppressive compounds.

### 3.6 Human Leukocyte Antigen (HLA) haplotypes

The major histocompatibility complex (MHC) genes code for membrane protein that play important roles in controlling immune responses (*Benacerraf, 1981, Science*). There are two classes of genes, class I (HLA-A, -B, -C) and class II (HLA-DR, -DP and DQ) which play a role in host defence against the development and spread of tumours (*Dausset et al, 1982, Cancer Surv*). For example, loss of class I antigens is related to tumour progression in melanomas (*Ruiter, 1984, Cancer Res*). Furthermore, abnormalities in cell-mediated immunity have been reported in patients with multiple BCC (*Myskowsky et al, 1981, J Am Acad Dermatol*) whereas normal skin shows high levels of class I molecules, BCC shows either complete absence or heterogeneous expression (*Cabrera et al, 1992, Immunobiol*). All class I -negative tumours were histologically proven to be aggressive, whereas all non-aggressive BCC's were class I positive. The low levels or absence of expression of class I antigens may result in escape from recognition by cytotoxic T cells, which then facilitates tumour growth. (*Garcia- Plata, 1991, Inv Met*). The presence of HLA - DR7 and decrease of HLA-DR4 are significantly associated with BCC (*Bouwes Bavinck, 2000, Arch Dermatol*). HLA -DR4 is decreased in BCC, especially in patients with multiple BCC's located on the trunk (*Rompel et al, 1995, Rec Res Canc Res*). HLA-DR1 is weakly associated with the development of multiple BCC's at an early age (*Czarnecki et al, 1992, J Am Acad Dermatol*). A correlation between HLA-A11 expression and skin cancer in immunosuppressed renal transplant recipients has been shown (*Bavnick, 1990, N Engl J Med; Bouwes Bavnick, 1997, Australia J Invest Dermatol*). One study showed that HLA -A11 was associated with resistance to skin cancer in renal transplant recipients, (*Bavnick, 1990, N Engl J Med*) while another study shows that renal transplant recipients with HLA - A11 had an increased risk for developing skin cancer (*Bouwes Bavnick, 1997, Australia J Invest Dermatol*). The apparent discrepancy may be the result of different genetic backgrounds and differential environmental factors.

### 3.7 Human Papilloma Virus

The life cycle of these species-specific DNA tumour viruses is inseparably linked to differentiation processes in pluristratified epithelia (*Stanley et al, 1994, Ciba Found Symp*). Mucosal HPV types 16, 18, 31 and 33 are strongly associated with the genesis of anogenital and cervical carcinomas (*Bosch et al, 2002, J Clin Pathol*). Following viral genome integration, E6 and E7 oncoproteins are overexpressed, with inhibition of apoptosis via p53 dependent and independent mechanisms (*Thomas et al, 1999, Oncogene*). E6 protein from the cervical associated HPC-16 mediates degradation of p53 (*Black et al, 2003, Clin Exp Immunol*). A common p53 polymorphism at position 72 replacing proline with arginine renders p53 more susceptible to E6 mediated degradation. The arginine allele was found to be a risk factor in the development of cervical cancers and there was also a significant association with cutaneous SCC development in renal transplant patients (*Storey et al, 1998, Nature*).

Cutaneous HPV types 5 and 8 are associated with warty lesions and SCC in the sun exposed sites of patients with the rare inherited condition epidermodysplasia verruciformis. This led

to the proposal that these EV types may also be oncogenic. (Majewski *et al*, 1995, Arch Dermatol ). The mechanism by which EV associated HPV might contribute to the development of SCC remains unclear. Unlike oncogenic mucosal HPV, EV-HPV DNA persist extrachromosomally in cancers and EV associated E6 proteins are unable to abrogate apoptosis via the degradation of p53. (Elbel *et al*, 1997, Virology) Instead, BAK protein, a member of the Bcl-2 family may be abrogated resulting in inhibition of apoptosis (Jackson *et al* 2000, Genes Dev).

HPV DNA has been identified in over 80% of immunosuppressed and 30% of immunocompetent SCC patients and EV-HPV types are consistently overexpressed in immunosuppressed patients. (Harwood *et al*, 2002, Curr Opin Infect Dis, Pfister *et al* 2003, J Natl Cancer Inst Monogr.) The association between prevalence of EV-HPV infection and SCC risk has been further strengthened by seroepidemiological studies (Bouwes Bavinck *et al* 2000, Br J Dermatol., Feltkamp *et al*, 2003, Cancer Res. Masini *et al* 2003, Arch Dermatol.). Furthermore, localisation of HPV DNA to malignant keratinocytes in SCC as well as EV-HPV gene transcription in almost 40% of tumours has been found by in situ hybridisation technique, thus providing further evidence of the role of HPV in pathogenesis of SCC (Purdie *et al* 2005, J Invest Dermatol.). The presence of UV induced p53 mutations in cutaneous SCC contrasts with tumours induced by high-risk HPV types, which contain wild type p53. It is postulated that arginine allele of p53, perhaps in combination with UV induced mutation, is more susceptible to interference from particular HPV types and subsequent malignant transformation (Black *et al*, 2003, Clin Exp Immunol). HPV 77 has so far been detected in cutaneous lesions of renal transplant patients and contains a p53 DNA binding site. Besides inducing p53 mutations, sunlight may also be indirectly involved in the pathogenesis of SCC by causing activation of p53 and subsequent stimulation of HPV 77 promoter activity (Purdie *et al*, 1999, EMBO J.). Other viruses suggested to increase susceptibility to SCC include HPV 20, HPV 27 (Ruhland *et al* 2001, Int J Cancer.) and human herpes virus type 1 (Leite *et al* 2005, Cancer Lett.). Although HPV has been associated strongly with malignant progression of warts to SCC and with epidermodysplasia verruciformis, (Galloway *et al*, 1989, Adv Virus Res) different oncogenic subtypes of the virus were found in 60% of BCC's from immunosuppressed patients in contrast to 36% of BCC's from non-immunosuppressed patients, suggesting that these viruses may be involved in the development of BCC (Shamanin *et al*, 1996, J Natl Cancer Inst). In renal transplant recipients with skin cancer, HPV 5 / 8 DNA could be detected, (Barr *et al*, 1989, Lancet) and Weinstock *et al* (Weinstock *et al*, 1995, Arch Dermatol) suggested immunosuppression to be a factor in BCC carcinogenesis by affecting HPV infection.

### 3.8 Delayed hypersensitivity

Patients with large SCC were found to have defective systemic cell-mediated immunity as shown by reduced reaction to intradermal antigen, and low rate of sensitization to dinitrochlorobenzene (DNCB) (Weimar *et al* 1980, J Am Acad Dermatol.). Because GST metabolises DNCB and polymorphisms of GST are associated with multiple skin tumours, variations in GST may underlie these differences (de Berker *et al*, 1995, Lancet). The T cell levels and leukocyte migration test in preoperative patients with SCC were also found to be significantly lower than in the noncancer control population. (Avgerinou *et al*, 1985, Dermatologica.)

## 4. Germline and somatic mutations

Carcinogenesis involves a stepwise progression from a normal to a malignant phenotype through an accumulation of genetic alterations to cellular proto-oncogenes, that stimulate cell proliferation and tumour suppressor genes (TSG) that inhibit this process. In tumours, mutation of proto-oncogenes results in expression of constitutively active proteins, whereas mutational inactivation of TSG leads to loss of protein function.

### 4.1 p53

p53 is a TSE that normally functions in cell-cycle arrest, DNA repair and apoptosis. It functions as a critical regulator of the cell cycle progression and programmed cell death in response to insults that damage DNA, such as UVR exposure (Natraj, 1995, Photochem Photobiol.). The p-53 gene encodes a phosphoprotein that is involved in cell-cycle control and maintenance of chromosomal stability (Katayama 2004, Nat Genet; Hollstein M, 1991, Science). The most common genetic aberrations in human skin cancers are found at the level of p53 gene expression (Kastan, 1991, Cancer Res). DNA strand breaks results in expression of p-53, which in turn stimulates p21<sup>Cip1</sup> expression, which binds and inhibits cyclin-dependent kinases 2 and 4 resulting in G1 blockade of cell cycle progression. This inhibition of cell cycle progression allows for DNA repair before it is replicated in S phase to prevent retention of introduced mutations. In severe DNA damage, p53 induces BAX, which binds to BCL-2 and inhibits its antiapoptotic activity, resulting in programmed cell death. Thus, mutations would be retained in genomic DNA if p53 gene becomes inactivated, leading to clonal expansion and tumourigenesis.

p53 gets activated in response to cellular stress through phosphorylation (Siliciano et al, 1997, Genes Dev, Caspari, 2000, Curr Biol). MDM2 associates with p53 and regulated its level of activity depending on the phosphorylation status of p53. Upon dephosphorylation, p53 binds to MDM2 and is degraded through the ubiquitin-proteasome pathway (Kubbutat et al, 1997, Nature, Haupt et al, 1997, Nature)

The response to DNA damage is growth, senescence or apoptosis (Vogt Sionov et al, 1999, Oncogene). The relative cellular content of p53 determines the response following DNA damage; when the content is low to moderate, cells will go into cell- cycle arrest to allow DNA repair, but when p53 levels are high, cells will progress to apoptosis (Ronen et al, 1996, Cell Growth Different). In response to DNA damage, p53 is phosphorylated by DNA damage-sensing proteins such as ATM and becomes detached from MDM2, resulting in stabilization and activation and of target genes regulated by p53 (Unger et al, 1999, EMBO J). In normal skin, wild type p53 is not detectable but appears within 2 hours after UV irradiation, with peak levels at 24 hours and again undetectable levels at 36 hours (Hall et al, 1993, Oncogene). Mutant p53 can accumulate in cells and p53 mutations have been detected in about half of all BCCs (Aeupemkiate et al, 2002, Histopathology, Demirkan et al, 2000, Pathol Oncol Res). Aggressive BCC are significantly associated with increased p53 expression, probably representing the mutated form. Despite the available evidence, the apparent limited contribution of DNA damage and chromosomal instability to the BCC phenotype means that the relevance of p53 mutations for BCC growth remains to be demonstrated as in the absence of genetic damage p53 activation does not occur. Moreover, one of the hallmarks of

p53 dysfunction, aberrant mitosis, has never been observed in BCC (Pritchard, 1993, *Am J Dermatopathol*).

Patients with BCC, who were sunscreen users, had significantly lower level of p53 mutations in their BCC as compared to non-sunscreen users (Rosenstein *et al*, 1999, *Photochem Photobiol*) suggesting that p53 mutations in BCC are secondary events. Inactivation of p53 occurs predominantly by point mutation of one of the allele followed by loss of the remaining wild type allele (Knudson *et al*, 1985, *Cancer Res*). The p53 gene shows UV signature mutation, i.e. predominantly C(C) → T (T) conversions (Ziegler *et al*, 1993, *Proct Natl Acad Sci USA*, Wikonkal *et al*, 1999, *J Invest Dermatol Symp Proc*). In 33% of BCCs found in Korean patients, p53 mutations were detected (Kim, 2002, *J Dermatol Sci*) and up to 50% of the BCCs in Caucasian patients showed this mutation (Aeupemkiate *et al*, 2002, *Histopathology*, Demirkan, 2000, *Pathol Oncol Res*), suggesting that different ethnic factors play a role in BCC carcinogenesis, although differences in sun exposure may account for some of the observed differences.

Thus, while it is known that p53 is involved in genome surveillance through the regulation of cell proliferation and death and is frequently inactivated in BCC (Rady *et al*, 1992 *Cancer Res*, Ziegler *et al*, 1993, *Proct Natl Acad Sci USA*), with up to 56% of tumours displaying mutation in the conserved region of one p53 allele, it has been suggested that p53 mutation is a crucial but late event in BCC progression (Van der Riet *et al*, 1994, *Cancer Res*). BCC also display a high level of LOH specifically at chromosome 9q22 suggesting the existence of a BCC TSG in this region (Quinn *et al*, 1994, *Cancer Res*).

Up to 90% of cutaneous SCC lesions have UV induced signature mutations such as formation of thymidine dimers in the p53 gene, resulting in uncontrolled proliferation of keratinocytes (Brash *et al*, 1991, *Proc Natl Acad Sci U S A*, Ziegler, 1994, *Nature*). Overexpression of p53 co-relates with sun-exposure (Coulter *et al* 1995, *Hum Pathol*. Liang, 1999, *Virchows Arch.*) and mutant p53 has been observed to accumulate in the cell cytoplasm, probably due to increased half-life of the protein (Dowell *et al* 1994, *Cancer Res*, Soussi, 2000, *Ann N Y Acad Sci*.). Indeed, sunlight-induced mutations are found in p53 in actinic keratoses, the precancerous lesion of for SCC. In addition, it has been shown that mutations at particular p53 codons are present in sun exposed normal human skin and UV irradiated mouse skin (Ziegler *et al*, 1994, *Nature*. Nakazawa *et al*, 1994, *Natl Acad Sci U S A*, Jonason *et al*, 1996, *Proc Natl Acad Sci U S A*.)

Sunlight has been shown to be a tumorigenic mutagen and tumour promoter by favouring the clonal expansion of p53 mutated cells. The role of UV in carcinogenesis is also supported by the observation that most human precancers (Marks *et al*, 1986, *Br J Dermatol*.) and UV induced clusters of p53 overexpressing cells in mouse skin (Berg *et al* 1996, *Proc Natl Acad Sci U S A*) regress in the absence of continued exposure. The dermal-epidermal junction and hair follicles are the locations of the presumed stem cells in skin (Lavker *et al* 1993, *Recent Results Cancer Res.*) and appear to be the source of tumours in experimental animals (Miller *et al*, 1993, *J Invest Dermatol*.). It is therefore thought that normal sun exposed skin carries a substantial burden of keratinocytes predisposed to cancer (Jonason *et al*, 1996, *Proc Natl Acad Sci U S A*.). The ubiquitin proteasome pathway rapidly degrades wild type p53 in normal tissue (Maki *et al* 1996, *Cancer Res*.). Thus, high level of p53 expression is seen in

cutaneous SCC and other tumours in contrast to the low levels found in non-malignant tissue.

Clonal expansion of p53 mutated cell would be favoured if a p53 mutation confers resistance to apoptosis resulting from UV exposure (Ziegler A, 1994, *Nature*). Such resistance would allow sunlight to act as tumour promoter by killing normal cells and sparing the mutants (Ziegler A, 1994, *Nature*). After surviving irradiation, these mutant cells could then clonally expand into vacated compartments (Jonason *et al*, 1996, *Proc Natl Acad Sci U S A*).

#### 4.2 p63

p63 is a p53 homologue that is mapped to chromosome 3q27. This gene encodes six different isoforms, which have either transactivating or dominant negative effects on p53-reporter genes. p63 is a reliable keratinocyte stem cell marker involved in the maintenance of the stem cell population. It is expressed in the nuclei of epidermal basal and suprabasal cells, cells of the germinative hair matrix and the external root sheath of hair follicles, basal cells of the sebaceous gland and in the myoepithelial /basal cells of the sweat glands. p63 has a nucleoplasmic distribution in the basal compartment of stratified epithelia such as skin, tonsil, bladder, and certain subpopulations of basal cells in prostate, breast, uterine cervix and bronchi (Wang *et al*, 2001, *Hum Pathol*; Quade *et al*, 2001, *Gynaecol Onco*; Di Como *et al*, 2002, *Clin Cancer Res*). All terminally differentiated cells stain negative for p63. The p63 is restricted to cells with high proliferation and absent from cells undergoing terminal differentiation (Parsa *et al*, 1999, *J Invest Dermatol*). p63-deficient mice have striking developmental defects such as absence or truncation of limbs, absence of hair follicles, teeth and mammary glands, and the skin lacks stratification and differentiation (Mills *et al*, 1999, *Nature*). This indicates that p63 is essential for several aspects of differentiation during embryogenesis. Several isoforms of p63 can bind to p53 consensus sequences and activate p53 target genes. p63 is only rarely mutated in BCC (Little *et al*, 2002, *Int J Biochem Cell Biol*). p63 functions not only as a stem cell marker of keratinocytes but also maintain the stem cell phenotype. In keeping with its basal localisation in normal epidermis, BCC cells express p63 (Di Como *et al*, 2002, *Clin Cancer Res*, Dellavale *et al*, 2002, *Exp Dermatol*). It was shown that aberrant expression of p63 altered the UVB induced apoptotic pathway that down regulation of this protein in the response to UV irradiation is important in epidermal apoptosis (Liefer *et al*, 2000, *Cancer Res*).

Although it has been described that in contrast to p53, p63 seems not to be associated with tumor predisposition, as neither p63 knockout mouse models nor germline p63 mutations are related to an increased risk of tumorigenesis; its role in the pathogenesis of SCC is becoming more convincing. Using immunohistochemistry techniques undifferentiated cells of grade III SCCs showed strong positivity for p63 (Reis-Filho *et al* 2002, *J Cutan Pathol*). The SCCs in situ showed remarkable expression of p63 in all cell layers. Terminally differentiated squamous cells were either negative or showed only focal immunoreactivity in the carcinomas. p63 is consistently expressed in the basal cells of epidermis and cutaneous appendages, including the basal/myoepithelial cells of sweat glands. These probabilities favour that p63 might play a role in the pattern of differentiation and in the oncogenesis of usual carcinomas of the skin (Reis-Filho *et al* 2002, *J Cutan Pathol*).

### 4.3 PTCH

A major breakthrough in understanding BCC tumourigenesis came from the study of patients with Nevroid Basal Cell Carcinoma Syndrome (NBCCS) an autosomal dominant disease whose symptoms include developmental abnormalities and a predisposition to multiple BCC. The disease is linked to chromosome 9q22, which harbours the *PTCH* gene where inactivating germline mutations have been found in these patients (Hahn *et al*, 1996, *Cell*; Johnson *et al*, 1996, *Science*). Somatic *PTCH* mutation has also been described in sporadic BCC (Azsterbaum *et al*, 1998, *J Invest Dermatol*, Gailani *et al*. 1996, *Nat Genet*). In accordance with a tumour suppressor mechanism for *PTCH*, loss of the wild type allele has been demonstrated in BCC from both NBCCS patients and in up to 68% of sporadic BCC (Gailani *et al*. 1996, *Nat Genet*).

Although most SCC carry a mutation in the p53 gene, they have also been shown to display *PTCH* mutations (Ping *et al*, 2001, *J Invest Dermatol*.) and allelic loss of *PTCH* gene (Ahmadian *et al* 1998, *Oncogene*.) and an increased incidence of SCC has been observed in UV irradiated heterogeneous *PTCH* knock out mice (Aszterbaum *et al*, 1999, *Nat Med*). The introduction of wild-type *PTCH* into human SCC lines that express mutant *PTCH* has been shown to suppress their oncogenic potential (Koike *et al* 2002, *Oncogene*). These finding implicate the role of *PTCH* in development of SCC in addition to its established association with the development of BCC (Asplund *et al* 2005, *Br J Dermatol*.) However, the association between *PTCH* and cutaneous SCC development remains controversial as a previous investigation of the *PTCH* status in cutaneous SCC failed to identify mutations on such cases (Eklund *et al* 1998, *Mol Carcinog*). Consistently, *PTCH* LOH has not been found to be as frequent in SCC, indicating a lesser importance of *PTCH* gene in SCC development (Asplund *et al* 2005, *Br J Dermatol*.)

### 4.4 Hedgehog signalling and BCC development

*PTCH* is the human homologue of the *Drosophila patched (ptc)* gene which encodes Ptc protein. Ptc a part of a receptor for the diffusible morphagen Hedgehog (Hh). In *Drosophila* Hh signalling is essential for the control of segment polarity during development. Hh is expressed in the Hensen node, the floorplate of the neural tube, the early gut endoderm, the posterior limb buds and throughout the notochord, and encodes a signal responsible for patterning the early embryo (Kim *et al*, 2002, *J Dermatol Sci*, Bodak *et al*, 1999, *Proc Natl Acad Sci USA*, Bale *et al*, 2001, *Hum Mol Genet*).

Ptc negatively regulates Hh signalling through inhibition of a transmembrane signalling protein Smoothed (Smo). There is some evidence that Ptc may influence the localisation or intramembrane conformation of Smo (Sprong *et al*, 2001, *Nat Rev Mol Cell Biol*). Binding of Hh to Ptc releases Smo inhibition leading to intracellular signalling involving Costal-2, Fused and Suppressor of Fused proteins (Stone *et al*, 1999, *J Cell Sci*). This leads to activation of GSK3 $\beta$  which stimulated the release of a transcription factor Cubitus interruptus (Ci) that regulates the expression of important genes involved in *Drosophila* cell proliferation including *dpp*, *wingless* and *ptc*. The Hh signalling pathway is highly conserved, where it is involved in determining cell fate and organogenesis in different species including humans (Wicking *et al*, 1999, *Oncogene*).



In humans, it is thought that signalling operates in a similar fashion to that described in *Drosophila*. To date, disrupted expression of the human homologues of *Hh* (*sonic hedgehog*; *SHH*), *Ptc* (*PTCH* and *PTCH2*), *Smo* (*SMOH*) and *Ci* (*GLI*) have been demonstrated in BCC tumorigenesis. Overexpression of *SHH* in transgenic human skin induces features of BCC in mice (Fan, 1997, *Nat Med*, Oro et al, 1997, *Science*). Furthermore, *SHH* activating mutations have also been described in sporadic BCC (Oro et al, 1997, *Science*). *SMOH* and *GLI* transgenic activation in mice leads to BCC-like cutaneous growths (Xie et al, 1998, *Nature*, Nilsson et al 2000 *Proc Natl Acad Sci. USA*). *SMOH*, *GLI-1* and *GLI-2* are frequently over expressed in BCC and *SMOH* activating mutations have also been described in 20% of sporadic BCC's (Xie et al 1998, *Nature*; Kallassy, 1997 *Cancer Res*; Dahmane 1997, *Nature*; Grachtchou, ,2000, *Nature Genet* ). The consequences of deregulated Hh signalling are widespread, as the downstream targets of GLI transcription factors include *WNT* signalling (human homologue of *wingless*), *TGF $\beta$*  (homologue of *dpp*) *BMP2B* and *BCL-2* (Fan, 1997, *Nature Med*) and may also influence cell cycle control genes including *p21<sup>WAF1</sup>* the *D-type cyclins* and *cyclin E* (Fan, 1999, *J Cell Biol*; Duman-Scheel , 2002, *Nature*).

#### 4.5 Melanocortin-1 receptor genotype

As pigmentation influences NMSC risk, the identification of gene variants at the melanocortin-1 receptor (MC1R), which control the production of red pigmentation in Caucasian individuals, suggest that the allelic variation within this gene should likewise be associated with skin cancer risk (Box et al 2001, *J Invest Dermatol.*) Indeed, gene variations at this locus are important in determining susceptibility to melanoma, BCC, SCC and solar keratoses (Box et al 2001, *J Invest Dermatol.*). The association between MC1R variants and the propensity to develop solar lesions is mediated largely through three variants, Arg151Cys, Arg160Trp, and Arg294His, which are also associated with red hair, fair skin colour and tanning ability (Box et al 2001, *J Invest Dermatol.*).

#### 4.6 RAS mutations

Although the role of TSG in the development of SCC is well established, evidence relating to the role of dominantly transforming oncogenes in the development of skin cancers is slow to emerge. Activating RAS mutations are the most common genetic abnormalities in human cancers. Following RAS mutation, MAPK mediated signalling and other pathways are activated, resulting in cell proliferation (Shields, 2000, *Trends Cell Biol.*). Activation of RAS oncogenes usually occurs by point mutations within specific codons of the H-RAS, N-RAS, and K-RAS genes. Activating H-RAS mutations were observed in 35% to 46% of SCC (Kreimer-Erlacher, 2001, *Photochem Photobiol.*, Pierceall, 1991, *Mol Carcinog.*) and 12% of actinic keratoses (Spencer et al , 1995, *Arch Dermatol.*). Incidences and numbers of skin tumors were much greater in Hras128 rats (a transgenic rat line carrying 3 copies of the human c-Ha-ras proto-oncogene with its own promoter region) than in their wild-type counterparts (Park et al, 2004, *Cancer Sci.*)

These data suggest that RAS mutations play an important role in the pathogenesis of SCC.

#### 4.7 CDKN2A

The p16(INK4a) and p14(ARF) TSGs are encoded within the CDKN2A locus on chromosome 9p21 and function as cell cycle regulatory proteins in the p53 and RB

pathways. Loss of heterozygosity of 9p21 markers has been seen in some cases of SCC (Brown *et al* 2004). Mutational analysis has confirmed point mutations that changed the amino acid sequence of p16 (INK4a) and p14 (ARF). Promoter methylation of p16 (INK4a) and p14 (ARF) has also been detected. Absent protein expression has been confirmed by immunohistochemistry in SCC with biallelic inactivating events. Overall, promoter methylation is the commonest mechanism of gene inactivation. Alterations at this locus are significantly more common in tumors from immunocompetent compared with immunosuppressed individuals (Brown *et al* 2004, *J Invest Dermatol.*). UV radiation-induced mutations in INK4a-ARF have been demonstrated in XP-associated skin carcinomas. The simultaneous inactivation of p53 and INK4a-ARF may be linked to the genetic instability caused by XP and could be advantageous for tumour progression.

#### 4.8 Progression and initiation of BCC

Exposure to UVR is significant in BCC formation and this is reflected in the *p53* mutations identified; C-T and CC-TT transitions at di-pyrimidine sites. A third of the BCC displaying LOH at 9q reveal mutations to *PTCH* indicative of UVR exposure. However, most mutations to *PTCH* are not typical of exposure. Further, inactivation of the second *PTCH* allele through LOH is unlikely to be due to UVB (Gailani *et al*, 1996, *J Natl Cancer Inst*). In addition, *ptc* heterozygous knockout mice (*ptc/ptc*<sup>-</sup>) display features of NBCCs syndrome, these mice develop microscopic follicular neoplasms similar to trichoblastoma and 40% subsequently develop BCC. If exposed to ionising or UVR irradiation these neoplasms occur at a much earlier stage and there is a clear shift in histological features to BCC (Aszterbaum *et al*, 1999, *Nature Med*). Thus, whilst UVR exposure is critical to SCC development, it appears that in BCC, UVR exposure may be more important in modifying tumour progression. Further characterisation of the role of the Hh signalling pathway should provide new insights into BCC carcinogenesis. Identifying the mutations to these BCC genes and the relationship of these mutations to environmental carcinogens may explain the variation in phenotype of sporadic BCC. It is possible that mutations manifest different phenotypic effects depending on the genetic background of the patient (e.g. skin type, hair colour, GST genotype). Whilst gene mutation influences tumour development, we can speculate that inter-individual variation in genes that protect against exposure to environmental carcinogens may modify the effects of exposure to mutation in these target genes. Thus, we have found an increase in the incidence of tumour specific *p53* mutation and expression in ovarian cancer patients with *GSTM1* null genotype (Sarhanis *et al* 1996, *Br J Cancer*). In addition, CYP3A and CYP2D6 activities and the *GSTM1* null genotype have been associated with mutations to *p53* and *RB* and are associated with aggressiveness in bladder carcinoma (Romkes *et al*, 1996, *Carcinogenesis*).

### 5. Pharmacogenomics

As discussed above, UV radiation induced oxidative stress and mutagenic DNA lesions formed by reactive oxygen species (ROS) are pivotal in the pathogenesis of SCC. Clinical treatments inducing chronic oxidative stress may therefore carry a risk of therapy-related cancer. Immunosuppression by azathioprine (Aza) has been proposed as one such treatment. Biologically relevant doses of ultraviolet A (UVA) generate ROS in cultured cells

with 6-thioguanine substituted DNA and 6-thioguanine and UVA are synergistically mutagenic (O'Donovan *et al*, 2005, Science.).

Kidney transplant recipients receiving cyclosporine, azathioprine, and prednisolone have a significantly (2.8 times) higher risk of cutaneous SCC relative to those receiving azathioprine and prednisolone alone (Jensen *et al*, 1999, *Am Acad Dermatol*) suggesting a tumourigenic role of cyclosporine based immunosuppressive therapy. Both cyclosporine and ascomycin inhibit removal of cyclobutane pyrimidine dimers, and UVB-induced apoptosis (Yarosh *et al*, 2005, *J Invest Dermatol*). UVB induces nuclear localization of the transcription factor nuclear factor of activated T-cells (NFAT), a process blocked by cyclosporine and ascomycin (Yarosh *et al*, 2005, *J Invest Dermatol*.) These data suggest that the increased risk of skin cancer observed in organ-transplant patients may be as a result of not only systemic immune suppression but also the local inhibition of DNA repair and apoptosis in skin by calcineurin inhibitors (Yarosh DB *et al*, 2005, *J Invest Dermatol*.)

Thus, cancer is an increasingly recognized problem associated with immunosuppression. However, in contrast to cyclosporine which protects allografts from rejection but promotes cancer progression in transplant recipients, immunosuppressive agent rapamycin has been found to simultaneously protect allografts from rejection and attacks tumors in a complex transplant-tumor situation (Koehl *et al*, 2004, *Transplantation*). In vitro experiments have shown that cyclosporine promotes angiogenesis by a transforming growth factor-beta-related mechanism, and that this effect is abrogated by rapamycin (Koehl *et al*, 2004, *Transplantation*). Various surgical and non-surgical therapies are available for the treatment of BCC (Albright *et al*, 1982, *J Am Acad Dermatol*). In spite of the fact that surgical excision is still the most prominent therapy used, non-invasive therapies such as photodynamic therapy (PDT) (Thissen *et al*, 2000, *Br J Dermatol*), or topical application of 5-fluorouracil (5-FU) (Miller, 1997, *J Am Acad Dermatol*) are currently becoming more and more interesting in selective cases, especially because of the improved cosmetic outcome.

### 5.1 Induction of apoptosis

Many currently used antineoplastic agents exert their therapeutic effects through the induction of apoptosis. Different cell types vary profoundly in their susceptibility, suggesting the existence of distinct cellular thresholds for apoptosis induction (Fisher, 1994, *Cell*). For example, BCC cells overexpressing IL-6 are resistant to UV irradiation and PDT-induced apoptosis (Jee *et al*, 2001, *Oncogene*). *de novo* p53 synthesis or stabilisation of p-53 is essential to induce apoptosis in BCC (Jee *et al*, 1998, *J Invest Dermatol*). Overexpression of the antiapoptotic bcl-2 has also been linked to resistance of cancers to various chemotherapeutic drugs (Huang, 2000, *Oncogene*). In BCC, interferon (IFN)- $\alpha$  induces apoptosis and is thus effective in the treatment (Rodriguez-Villanueva *et al*, 1995, *Int J Cancer*). Untreated BCC cells express FasL but not the receptor, but in IFN- $\alpha$  - treated BCC patients, the tumour cells express both FasL and receptor, whereas the peritumoural infiltrate mainly consists of Fas-receptor-positive cells (Buechner, *et al*, 1997, *J Clin Invest*). Therefore, with IFN- $\alpha$  treatment, BCC most likely regress through apoptosis.

The regression of tumours treated with 5-FU is probably caused by enhancing apoptosis in the tumour cells (Brash, 1998, *Cancer Surveys*). Apoptosis is involved in the regression of

actinic keratoses after PDT (Nakaseko *et al*, 2003, *Br J Dermatol*). This therapy is also used for treatment of BCC, (Kalka, 2000, *J Am Acad Dermatol*), where tumour cells may also undergo apoptosis.

Phytochemicals known to induce apoptosis are also being applied in cancer prevention and therapy (Hoffman *et al*, 1999, *Cancer and the search for selective biochemical inhibitors*, CRC Press). In mice bearing skin tumours, tumour growth was inhibited by 70% after treatment with black tea, which was established by inhibition of proliferation and enhanced apoptosis (Lu *et al*, 1997, *Carcinogenesis*). Ajone, an organosulphur compound of garlic (Apitz- Castro *et al*, 1988, *Arznei-Mittelforschung*) has been shown to induce apoptosis in human promyeloleukaemic cells (Dirsch, 1998, *Mol- Pharmacol*). Recently, it was shown that ajone can induce apoptosis in the human keratinocyte cell line HaCat and has a diminishing on BCC in vivo by down-regulating the expression of the apoptosis- suppressing protein Bcl-2 (Tilli *et al*, 2003, *Arch Dermatol-Res*). A SHH antagonist, the Veratrum alkaloid cyclopamine (11-deoxojervine) can be used to treat BCC (Taipale *et al*, 2000, *Nature*). Interestingly cyclopamine binds directly to Smoothed, which explains its activity in tumours characterised by activated SHH pathways (Chen *et al*, 2002, *Proc Natl Acad Sci USA*). Interestingly its application to the surface of the tumour resulted not only in the rapid induction of apoptosis but also influenced the differentiation status 7 of 7 tumours (Tas *et al*, 2004, *Eur J Dermatol*)

## 5.2 Modulation of differentiation

Systemic retinoids are frequently used for chemoprevention of cutaneous malignancies in organ transplant recipients (Chen *et al*, 2005, *Br J Dermatol*). Retinoids (vitamin A metabolites and analogues) have been shown to have suppressive effects on tumour promotion when administered in high doses, and the mechanism appears to be associated with modulation of growth, differentiation and apoptosis (Lotan *et al*, 1996, *Faseb J*). Retinoids are most effective in patients with multiple previous non-melanoma skin cancers (Kovach *et al*, 2005, *Clin Transplant*.) Low-dose systemic retinoids significantly reduce SCC development in organ transplant recipients for the first 3 years of treatment, and this effect may be sustained for at least 8 years (Harwood *et al*, 2005, *Arch Dermatol*.) It has been shown that retinoic acid is effective in inhibiting telomerase activity in HSC-1 human cutaneous squamous cell carcinoma cells (Kunisada *et al*, 2005, *Br J Dermatol*.)

## 5.3 Immunomodulation

Because BCCs often elicit a strong inflammatory response, recent studies have sought to evaluate the effects of immunomodulatory compounds. One of the most promising is imiquimod, a Toll- like receptor 7/8 agonist that enhances the endogenous cytokine response (INF-  $\alpha$ , IL-10, TNF- $\alpha$ ) among others stimulating the T- helper 1 - mediated inflammatory responses.

## 6. Conclusions/ future directions

In the past decade, significant progress has been made, in understanding the molecular genetics of NMSC and the molecular pathways involving tumour suppressor genes and

oncogenes. Research into immune response to p53 had led to promising therapeutic potential. p53-specific cytotoxic T lymphocytes capable of mediating protective immunity to tumours have been generated in murine models (*Black et al, 2003, Clin Exp Immunol.*). Adoptive transfer of p53 specific cytotoxic T lymphocytes generated in p53<sup>-/-</sup> mice confers immunity on the recipient to p53 overexpressing murine tumour (*Vierboom et al, 1997, J Exp Med.*). As p53 is over expressed in cutaneous SCC, vaccination against p53 is a logical approach to induce tumour reactive immunity (*Black et al, 2003, Clin Exp Immunol.*) Vaccines used to induce p53 specific immune responses in mice have included, Canary pox virus vectors (*Roth et al, 1996, Proc Natl Acad Sci U S A.*), peptide pulsed dendritic cells (*Mayordomo et al, 1996, J Exp Med.*), recombinant adenovirus transduced dendritic cells (*Ishida et al, 1999, Clin Exp Immunol.*, *Nikitina, 2002, Gene Ther.*), recombinant DNA, (*Petersen et al, 1999, Cancer Lett.*) recombinant vaccinia virus (*Chen et al, 2000, Cancer Gene Ther.*) and pulsed human monocyte-derived dendritic cells (*Tokunaga et al, 2005, Clin Cancer Res.*). However, besides p53, many genes are involved in the pathogenesis of SCC and an understanding into the genomic of SCC is far from complete. It is very likely that new genetic and molecular pathways for SCC genesis will unravel in the future, hopefully leading to novel therapies.

Clearly exposure to UVR is an important initiating factor in skin cancer, though the exact relationship between BCC risk and nature, extent and timing of exposure remains poorly understood. More recently, the influence of genetic factors influencing BCC susceptibility has been an area of intense interest with many genes having a similar impact as traditional risk factors such as skin type. Data so far suggests that risk of sporadic BCC is likely to result from the combined effect of many genes (defining distinct areas of biochemical activity) each with a relatively weak individual contribution, rather than a small number of highly influential genes.

Presumably, the effect of disruption of these biochemical activities will result in dysregulation of expression of key TSG or oncogenes. In this regard, the function of the hedgehog signalling pathway appears critical in BCC development. Though the *PTCH* gene has been suggested to be the 'gatekeeper' for BCC development, future studies will need to address the role of other members of this pathway. There are no studies to date focusing on the interaction between susceptibility genes and mutational events in TSG in BCC; this may represent a way forward.

## 7. References

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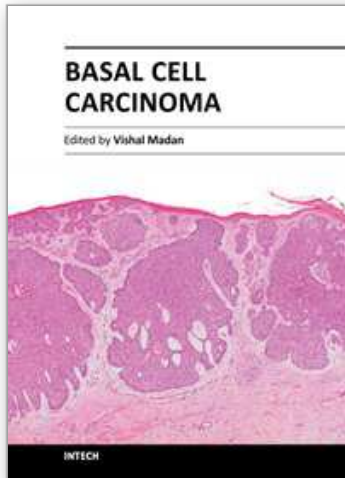


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Basal cell carcinoma is the commonest cutaneous malignancy. The last decade has witnessed exponential research which has broadened our understanding of the pathogenesis of basal cell carcinomas. This is also important from a therapeutic point of view as targeted approach to therapy is now being increasingly experimented. Although it is impossible to condense and present all good research in one book, the authors have to be commended on presenting their research on several aspects of basal cell carcinoma in a succinct manner, which shall not only enhance our understanding of, but also hopefully via this open exchange of ideas pave ways for successful targeted therapy of the commonest human cancer.

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