

Mechanisms of modified LDL-induced pericyte loss and retinal injury in diabetic retinopathy

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Abstract

Aims/hypothesis In previous studies we have shown that extravasated, modified LDL is associated with pericyte loss, an early feature of diabetic retinopathy (DR). Here we sought to determine detailed mechanisms of this LDL-induced pericyte loss.

Methods Human retinal capillary pericytes (HRCP) were exposed to ‘highly-oxidised glycated’ LDL (HOG-LDL) (a model of extravasated and modified LDL) and to 4-hydroxynonenal or 7-ketocholesterol (components of oxidised LDL), or to native LDL for 1 to 24 h with or without 1 h of pretreatment with inhibitors of the following: (1) the scavenger receptor (polyinosinic acid); (2) oxidative stress (*N*-acetyl cysteine); (3) endoplasmic reticulum (ER) stress (4-phenyl butyric acid); and (4) mitochondrial dysfunction (cyclosporin A). Oxidative stress, ER stress, mitochondrial dysfunction, apoptosis and autophagy were assessed using techniques including western blotting, immunofluorescence, RT-PCR, flow cytometry and TUNEL assay. To assess the relevance of the results in vivo,

immunohistochemistry was used to detect the ER stress chaperon, 78 kDa glucose-regulated protein, and the ER sensor, activating transcription factor 6, in retinas from a mouse model of DR that mimics exposure of the retina to elevated glucose and elevated LDL levels, and in retinas from human participants with and without diabetes and DR. **Results** Compared with native LDL, HOG-LDL activated oxidative and ER stress in HRCP, resulting in mitochondrial dysfunction, apoptosis and autophagy. In a mouse model of diabetes and hyperlipidaemia (vs mouse models of either condition alone), retinal ER stress was enhanced. ER stress was also enhanced in diabetic human retina and correlated with the severity of DR.

Conclusions/interpretation Cell culture, animal, and human data suggest that oxidative stress and ER stress are induced by modified LDL, and are implicated in pericyte loss in DR.

Keywords Autophagy · Diabetic retinopathy · ER stress · Mitochondrial dysfunction · Modified LDL · Oxidative stress · Pericyte loss

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Abbreviations

Apo	Apolipoprotein
ATF6	Activating transcription factor 6
ATG5	Autophagy-related homologue 5
BAX	B-cell lymphoma 2-associated X protein (a promoter of apoptosis)
BCL-2	B-cell lymphoma 2 protein (an apoptosis regulator protein)
BRB	Blood–retina barrier
CHOP	C/EBP-homologous protein
CsA	Cyclosporin A
CYT-C	Cytochrome <i>c</i>
DiOC6(3)	3,3'-Dihexyloxacarbocyanine iodide
DR	Diabetic retinopathy
ER	Endoplasmic reticulum

GPX-1	Glutathione peroxidase 1
GRP78	78 kDa glucose-regulated protein
4-HNE	4-Hydroxynonenal
HOG-LDL	Highly-oxidised glycated LDL
HRCP	Human retinal capillary pericytes
7-KC	7-Ketocholesterol
LC3	Microtubule-associated protein 1 light chain 3
NAC	<i>N</i> -Acetyl cysteine
NDRI	National Disease Research Interchange
N-LDL	Native LDL
3-NT	Protein-bound 3-nitrotyrosine
OUHSC	University of Oklahoma Health Sciences Center
Ox-LDL	Oxidised LDL
PARP	Poly ADP ribose polymerase
4-PBA	4-Phenyl butyric acid
PDR	Proliferative diabetic retinopathy
p-eIF2 α	Phospho-eukaryotic initiation factor 2 α
Poly-I	Polyinosinic acid
Poly-I:C	Polyinosinic:polycytidylic acid
ROS	Reactive oxygen species
SFM	Serum-free medium
SOD-2	Superoxide dismutase 2
sXBP-1	Spliced isoform of X-box binding protein 1
TLR	Toll-like receptor
XBP-1	X-box binding protein 1 (a regulator of cell stress responses)

Introduction

Pericytes are essential for retinal capillary structure and function, and pericyte loss is an early feature of diabetic retinopathy (DR) [1–4]. Pericyte loss involves apoptosis [3], while the inhibition of apoptosis with caspase inhibitors improves cell viability in models of DR *in vivo* and *in vitro* [5, 6]. The specific mechanisms of pericyte loss in DR are unknown. Diabetes enhances glycation and oxidation of proteins, including LDL [7, 8]. In cell culture studies addressing atherogenesis in diabetes, glycated LDL increased cholesteryl ester synthesis and accumulation in human macrophages, accelerating foam cell formation [9]. *In vivo*, once glycated LDL becomes extravasated in the arterial sub-intimal space, modification is likely to be accelerated. Glycated LDL is more likely than native LDL (N-LDL) to be sequestered, to aggregate and to undergo oxidation, resulting in a heterogeneous product, oxidised and glycated LDL [10], which is recognised by the scavenger receptor [11, 12].

We hypothesised that an analogous process occurs in the retina, *i.e.* that extravasated, modified plasma lipoproteins contribute to the propagation of DR once retinal capillary leakage is established [13–18]. Using immunohistochemistry, we were the first to show that extravasation and oxidation of LDL occur in

the retina in diabetes to an extent proportional to the severity of DR [19]. Extravasated LDL was detectable before the onset of clinical DR, initially in the vicinity of the inner retinal capillaries, *i.e.* adjacent to pericytes. No such extravasation was detected in healthy, non-diabetic human retinas. Furthermore, we showed that intra-retinal oxidised LDL (Ox-LDL) was also a feature of human DR, again being present in amounts proportional to disease severity, and co-localising with apoptotic cells [19]. In retinas from participants with proliferative DR, Ox-LDL was present throughout all retinal layers, co-localising with macrophages. These data suggest that extravasated, modified LDL may play a key role in the pathogenesis of DR from the earliest stages, and may contribute to pericyte loss.

The present study aimed to investigate mechanisms whereby modified LDL affects human retinal capillary pericytes (HRCP). Possibilities include enhanced oxidative stress, endoplasmic reticulum (ER) stress, mitochondrial dysfunction, apoptosis and autophagy. Oxidative stress has long been considered an initiating factor in diabetic vascular disease [20], and may act via multiple downstream pathways. ER stress is implicated in retinal endothelial cell death and DR in animal models, and its blockade can inhibit progression of DR [21]. Mitochondrial dysfunction is also implicated in pericyte loss induced by hyperglycaemia [22, 23]. While autophagy has been linked to the pathogenesis of diabetes [24, 25], its role in pericyte loss is unexplored.

We used cultured HRCP to determine the effects of *in vitro*-modified human LDL on these pathways, comparing the effects of N-LDL and ‘highly-oxidised glycated’ LDL (HOG-LDL). To determine mechanisms and to explore the sequence of events, we used inhibitors including: (1) polyinosinic acid (Poly-I), a scavenger receptor inhibitor; (2) *N*-acetyl cysteine (NAC), an oxidative stress inhibitor; (3) 4-phenyl butyric acid (4-PBA), an ER stress inhibitor; and (4) cyclosporin A (CsA), a mitochondrial dysfunction inhibitor when employed at low micromolar concentrations. Two commercially-available lipid oxidation products, 4-hydroxynonenal (4-HNE) and 7-ketocholesterol (7-KC), were used to confirm findings and to define their utility, when used place of modified LDL, for future studies. To test the relevance of our findings *in vivo*, and specifically those regarding ER stress, we used immunohistochemistry to detect Ox-LDL and ER stress markers in retinas from a mouse model of combined diabetes and hyperlipidaemia (streptozotocin-induced diabetes with genetically elevated LDL), and in retinas obtained post mortem from human volunteers with or without diabetes and DR.

Methods

The study was approved by the Institutional Review Board at the University of Oklahoma Health Sciences Center (OUHSC) and was conducted according to the principles of the Declaration

of Helsinki. Written informed consent was obtained from participants. Animal experiments were approved by the Institutional Animal Care and Use Committee of OUHSC.

LDL preparation, modification and characterisation Protocols were as previously described [26]. Briefly, human LDL was isolated by sequential ultracentrifugation (350,000 *g*, densities [*d*]=1.019–1.063) of pooled plasma from four to six fasting, healthy volunteers. N-LDL and glycated LDL were prepared by incubating LDL without and with freshly prepared 50 mmol/l glucose (72 h, 37°C) under anti-oxidant conditions, i.e. 1 mmol/l *N,N*-bis[2-(bis[carboxymethyl]-amino)ethyl]glycine (DTPA) with 270 μmol/l EDTA, under nitrogen. HOG-LDL was prepared by oxidising glycated LDL in the presence of 10 μmol/l CuCl₂ (24 h, 37°C), followed by repeated dialysis (4°C, 24 h). Protein content in LDL preparations was determined by BCA protein assay (Pierce, Rockford, IL, USA). LDL preparations were further characterised by measuring fluorescence at 360 nm (excitation) and 430 nm (emission) (Fluorimeter IV; Gilford, Oberlin, OH, USA), performing agarose gel electrophoresis (Paragon Lipo Gel; Beckman, Fullerton, CA, USA) and measuring absorbance (234 nm; DU 650 Spectrophotometer; Beckman). Preparations were stored in the dark under nitrogen at 4°C in the presence of 270 μmol/l EDTA and were used within 6 weeks. Experiments were repeated using different LDL preparations.

HRCP cell culture HRCP (Cambrex, Walkersville, MD, USA) were cultured (37°C, under 5% CO₂ [vol./vol.]) in endothelial basal medium 2 (Lonza, Allendale, NJ, USA) supplemented with endothelial growth medium 2 from a kit (Single Quots; Lonza). Cells (passages 3–9) at 85% confluence were treated in serum-free medium (SFM) for 18 to 24 h to induce quiescence, followed by treatment with N-LDL, HOG-LDL, 4-HNE or 7-KC at the concentrations and times indicated. In some experiments, pharmacological reagents (Poly-I [50 μg/ml], NAC [100 μmol/l], 4-PBA [0.5 mmol/l] or CsA [2 μmol/l]) were added to media 1 h before adding N-LDL (200 mg protein/l) or HOG-LDL (200 mg protein/l). Each experiment was repeated at least three times.

Cell viability assay HRCP were seeded into 96-well plates (1 × 10⁴ cells/well). After exposure to experimental conditions for 24 h, cell viability was measured by a cell counting kit assay (CCK-8; Dojindo Molecular Technologies, Rockville, MD, USA) according to the manufacturer's protocol.

Western blot studies for oxidative stress, ER stress and autophagy Cells were homogenised with a complete lysis buffer (Roche, Indianapolis, IN, USA). Protein concentrations were determined by BCA protein assay (Pierce). Protein (30 μg) was resolved by SDS-PAGE, then blotted with antibodies against: (1) superoxide dismutase 2 (SOD-2), glutathione peroxidase 1

(GPX-1), protein-bound 3-nitrotyrosine (3-NT), 78 kDa glucose-regulated protein (GRP78), phospho-eukaryotic initiation factor 2α (p-eIF2α) and C/EBP-homologous protein (CHOP) (all from Abcam, Cambridge, MA, USA); and (2) cytochrome C (CYT-C), caspase-3, cleaved poly ADP ribose polymerase (PARP), B-cell lymphoma 2-associated X protein (BAX), B-cell lymphoma 2 (BCL-2), microtubule-associated protein 1 light chain 3 (LC3), autophagy-related homologue 5 (ATG5) and beclin-1 (all from Cell Signaling Technology, Danvers, MA, USA). Blots were subsequently stripped and re-blotted with antibody against β-actin (Abcam) to assess protein load.

Measurement of reactive oxygen species Intracellular reactive oxygen species (ROS) were measured with chlorofluorescein diacetate (Life Technologies, Carlsbad, CA, USA) as per manufacturer's instructions at numerous time points up to 6 h after treatment with N-LDL or HOG-LDL (200 mg/l).

Immunofluorescence for activating transcription factor 6 (ER stress) and TUNEL assay (apoptosis) HRCP were seeded and grown to 85% confluence on glass coverslips. After quiescence for 24 and 1 h of pretreatment with Poly-I, NAC, 4-PBA or CsA, cells were treated with HOG-LDL or N-LDL for 24 h. After fixation (4% paraformaldehyde [wt./vol.] for 20 min) and permeabilisation, cells were incubated overnight at 4°C with primary antibody against activating transcription factor 6 (ATF6) (Abcam), followed by a secondary antibody for 1 h. Apoptosis was assessed using an in situ cell death detection kit (Roche) according to the manufacturer's instructions. Immunofluorescence was visualised under a fluorescence microscope (Nikon, Tokyo, Japan).

Quantitative real-time PCR (ER stress) RNA was extracted from HRCP (RNeasy Mini Kit; Qiagen, Valencia, CA, USA). Real-time RT-PCR was performed using a cDNA synthesis kit (iScript; Bio-Rad Laboratories, Hercules, CA, USA) and SYBR Green PCR Master Mix (Bio-Rad). mRNA levels of the target genes *CHOP* (also known as *DDIT3*) and *sXBP-1* (spliced isoform of X-box binding protein 1) were normalised by 18s ribosomal RNA levels. Primer sequences are shown in the electronic supplementary material (ESM) Table 1.

Mitochondrial dysfunction Mitochondrial membrane potential (Δψ_m) was determined by flow cytometry using 3,3'-dihexyloxycarbocyanine iodide (DiOC6(3)) (DiOC6(3) Detection Kit; Life Technologies), using DiOC6(3) concentrations specific for mitochondria as described [27].

Genetically modified mouse model of hyperlipidaemia To assess relevance in vivo, we used an animal model combining diabetes and hypercholesterolaemia. We used genetically modified C57B16 mice with double knockout of the gene

encoding the LDL receptor (*Ldlr*^{-/-}) and the apolipoprotein (Apo) B mRNA-editing catalytic polypeptide (enables conversion of ApoB100 to ApoB48) (*ApoBec1*^{-/-}), along with wild-type controls (Genentech, South San Francisco, CA, USA), as described [28]. Half of the animals of each group were rendered diabetic with streptozotocin as described [28]. At 40 weeks after streptozotocin treatment animals were killed, and eyes were removed, fixed in formalin and then sectioned and immunostained to detect retinal ER stress.

Human retinas Retinas were obtained postmortem through the National Disease Research Interchange (NDRI) from type 2 diabetic and non-diabetic human donors.

Immunohistochemistry of retinal sections from mice and humans (ER stress) Retinal immunohistochemistry was performed as described [19]. Briefly, prefix-fixed retinal sections were incubated (overnight, 4°C) with rabbit polyclonal anti-GRP78 antibody, or rabbit polyclonal anti-ATF6 or anti-human ApoB antibodies (Abcam). Anti-rat or anti-rabbit fluorescence-conjugated antibodies (Life Technologies, Carlsbad, CA, USA) were used as secondary antibodies. Immunofluorescence was observed under a confocal laser scanning microscope (Nikon, Tokyo, Japan).

Statistics Data are expressed as means \pm SD and were analysed with one- or two-way ANOVA as appropriate, with post hoc Dunnett's multiple comparisons test (Prism 4 software; Graphpad Software, La Jolla, CA, USA). To denote statistical significance, we have used asterisks to define differences within LDL categories (i.e. the effect of different treatments on pericyte responses to either N-LDL or HOG-LDL), and daggers to define differences between LDL categories (i.e. between N-LDL and HOG-LDL).

Results

Individually, Poly-I, NAC, 4-PBA and CsA mitigate effects of HOG-LDL on HRCP viability HOG-LDL (200 mg/l), but not N-LDL (200 mg/l), decreased HRCP viability after 24 h incubation, consistent with previous studies [10]. This effect of HOG-LDL was partially mitigated by each of the inhibitors (Poly-I, NAC, 4-PBA and CsA (Fig. 1)), implicating oxidative stress, ER stress and mitochondrial dysfunction in HOG-LDL-induced toxicity towards HRCP. To show that the effects of NAC, 4-PBA and Poly-I could be attributed to inhibition of oxidative stress, ER stress, and scavenger receptors respectively, we confirmed our findings using alternative agents (Tempol, tauroursodeoxycholic acid and polyinosinic:polycytidylic acid [Poly-I:C]) (data not shown).

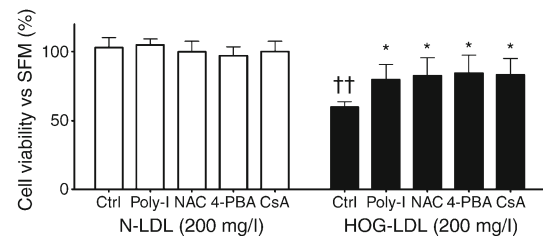
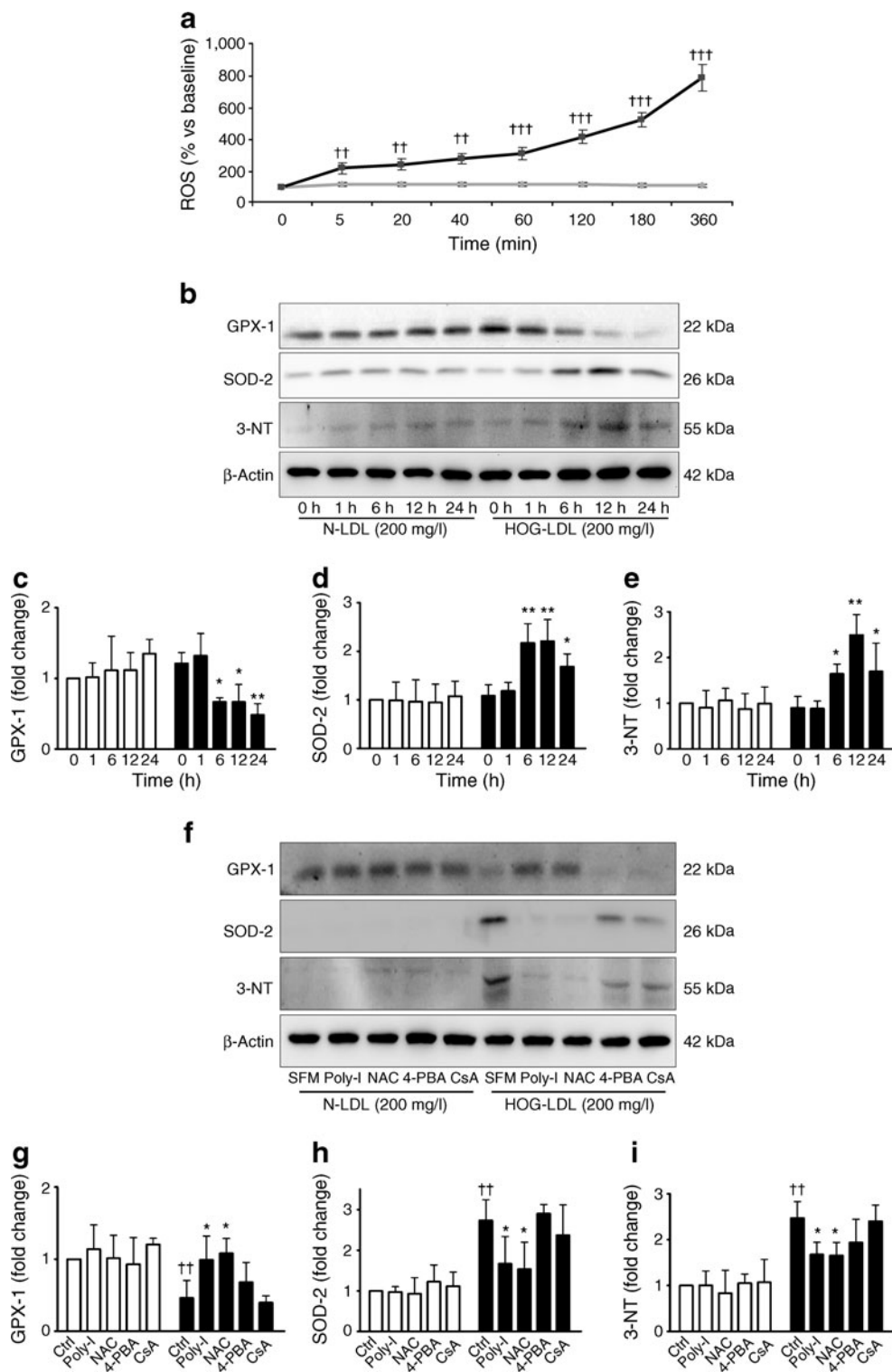


Fig. 1 Decreased HRCP viability is induced by HOG-LDL vs N-LDL and is partially mitigated by Poly-I, NAC, 4-PBA and CsA. HRCP were pre-treated (1 h) with or without Poly-I (50 μ g/ml), NAC (100 μ mol/l), 4-PBA (0.5 mmol/l) or CsA (2 μ mol/l) for 1 h, then treated with N-LDL or HOG-LDL (200 mg/l) for 24 h. Cell viability was measured by CCK-8 assay. HOG-LDL, but not N-LDL, decreased viability. This effect was partially blocked by each of the four agents. In comparison, pretreatment with the same four agents did not alter cell viability following exposure to N-LDL. Data, as percentage of untreated control (SFM), are expressed as mean \pm SD; $n=3$; * $p<0.05$ vs HOG-LDL control; †† $p<0.01$ vs N-LDL control

HOG-LDL induces the activation of oxidative and nitrosative stress in HRCP Intracellular ROS increased progressively after exposure to HOG-LDL for up to 6 h ($p<0.01$, $n=3$); but no effect was seen with N-LDL (Fig. 2a). Levels of GPX-1, SOD-2 and 3-NT (a marker of oxidative and nitrosative stress) were detected from 0 to 24 h by western blot. GPX-1, a key enzyme of the antioxidant system, was significantly reduced following exposure to HOG-LDL vs N-LDL, while SOD-2 and 3-NT were significantly elevated, peaking at 12 h ($p<0.05$, $n=3$) (Fig. 2b–e). HRCP were also exposed to HOG-LDL vs N-LDL for 12 h with or without 1 h of pretreatment with Poly-I, NAC, 4-PBA and CsA. The increase of SOD-2 and 3NT, and the decrease of GPX-1 that were induced by HOG-LDL were mitigated by Poly-I and NAC pretreatment, but not by 4-PBA and CsA. In contrast to HOG-LDL, N-LDL had no effect on these responses (Fig. 2f–i).

HOG-LDL induces activation of ER stress in HRCP Levels of ER stress-related molecules (GRP78, p-eIF2 α , CHOP, ATF6 and *sXBP-1*) were measured at several time points from 0 to 24 h by western blot, cell staining and real-time quantitative PCR following exposure of cells to N- or HOG-LDL (200 mg/l). Levels of GRP78, p-eIF2 α and CHOP were all increased by HOG-LDL, but not by N-LDL, the increase occurring in a time-dependent manner and peaking at 6 or 12 h ($p<0.05$, $n=3$) (Fig. 3a–d). Pretreatment (1 h) of cells with Poly-I, NAC or 4-PBA blocked the effects of HOG-LDL on GPR78, p-eIF2 α and CHOP abundance, but CsA had no effect (Fig. 3e–h). ATF6 translocation was observed in HOG-LDL-treated cells, but not in those treated with SFM or N-LDL (Fig. 3i). The expression of *CHOP* and *sXBP-1* mRNA was 13- and sevenfold higher, respectively, following treatment with HOG-LDL vs N-LDL ($p<0.05$, $n=3$); these increases were inhibited by Poly-I, NAC and 4-PBA, but not by CsA (Fig. 3j, k).

Fig. 2 HOG-LDL induces oxidative stress and nitrosative stress in HRCP. **(a)** Time course of intracellular ROS levels in HRCP. After incubation in SFM for 18 h, cells were exposed to N-LDL (grey line) or HOG-LDL (black line) (200 mg/l). Significantly higher levels of ROS were produced in response to HOG-LDL vs N-LDL. Values are mean \pm SD; $n=3$; $^{\dagger\dagger}p<0.01$; $^{\dagger\dagger\dagger}p<0.001$. **(b)** Cells were treated with N-LDL or HOG-LDL (200 mg/l) for up to 24 h and western blot experiments performed on total protein extracts. **(c)** Histogram of time course for levels of GPX-1, **(d)** SOD-2 and **(e)** 3-NT in HRCP after treatment as above **(b)** (white bars, N-LDL; black bars, HOG-LDL). Data are expressed as fold change vs 0 h N-LDL, and are mean \pm SD; $n=3$; $*p<0.05$ and $**p<0.01$ vs HOG-LDL at 0 h. **(f)** Poly-I and NAC, but not 4-PBA or CsA, inhibit HOG-LDL-activated oxidative and nitrosative stress in HRCP. Cells were treated with N-LDL or HOG-LDL (200 mg/l) for 12 h following pre-incubation (1 h) with or without inhibitors, and protein levels as labelled were detected by western blot performed on total protein extracts. **(g)** The graphs show fold changes for GPX-1, **(h)** SOD-2 and **(i)** 3-NT, expressed as mean \pm SD; $n=3$; $*p<0.05$ vs HOG-LDL control; $^{\dagger\dagger}p<0.01$ vs N-LDL control

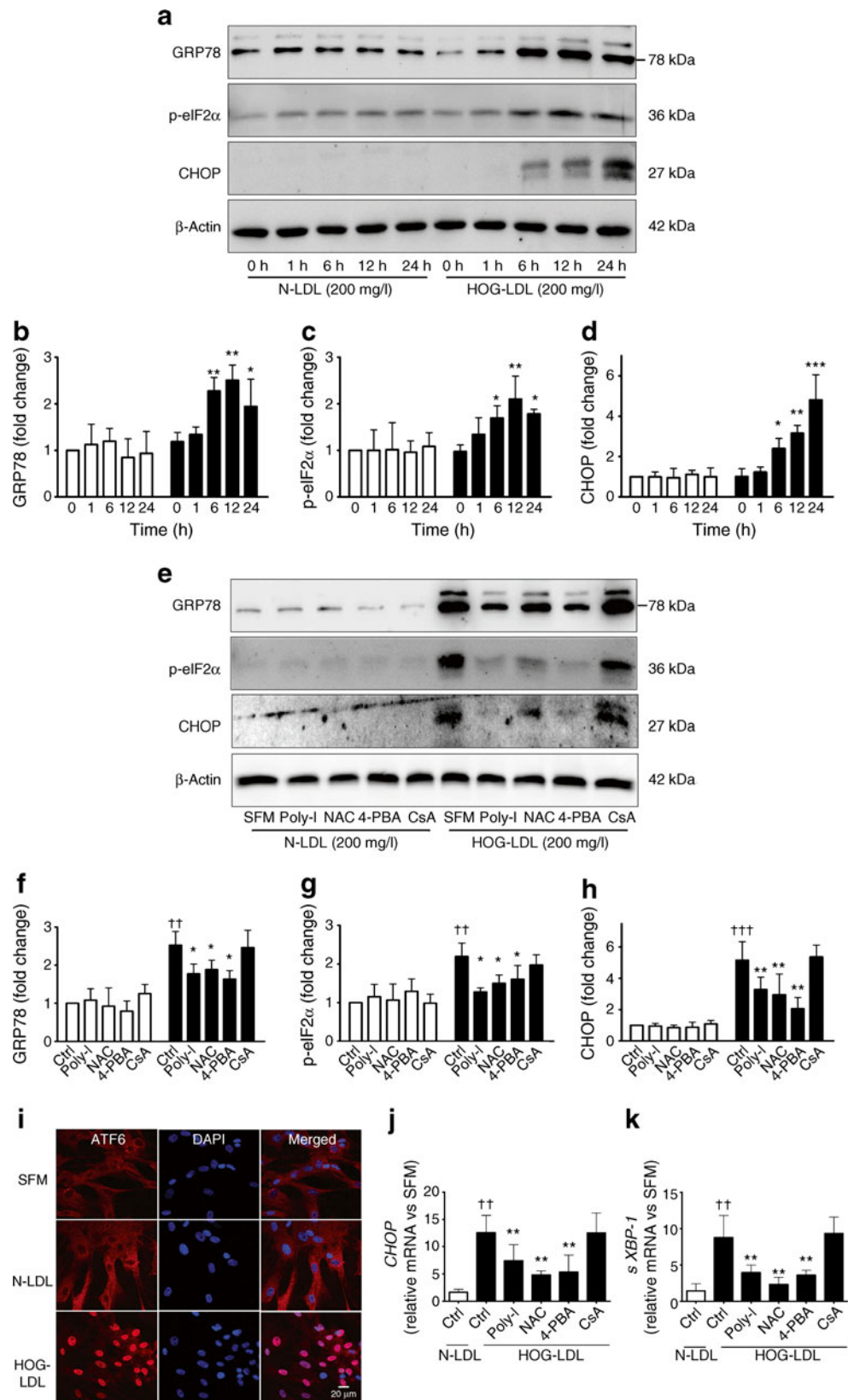


HOG-LDL induces mitochondrial dysfunction in HRCP HOG-LDL vs N-LDL significantly decreased mitochondrial membrane potential, an effect that was blocked by CsA and partially blocked by Poly-I, NAC and 4-PBA (Fig. 4a). HOG-LDL increased CYT-C levels in a time-dependent

manner (Fig. 4b), an effect inhibited by Poly-I, NAC, 4-PBA and CsA (Fig. 4c).

HOG-LDL induces apoptosis in HRCP TUNEL-positive cells were increased in cells treated with HOG-LDL vs

Fig. 3 HOG-LDL activates ER stress in HRCP. **(a)** Time course of ER stress markers GRP78, p-eIF2 α and CHOP in HRCP. Cells were treated as above (Fig. 2b). **(b)** Quantification of blot for GRP78, **(c)** p-eIF2 α and **(d)** CHOP, expressed as means \pm SD; $n=3$; * $p<0.05$, ** $p<0.01$ and *** $p<0.001$ vs HOG-LDL at 0 h. White bars, N-LDL; black bars, HOG-LDL. **(e)** Poly-I, NAC and 4-PBA, but not CsA, inhibit HOG-LDL-activated ER stress in HRCP. Cells were treated for 12 h as above (Fig. 2f), and protein levels detected by western blot and quantified for **(f)** GRP78, **(g)** p-eIF2 α and **(h)** CHOP. Values are mean \pm SD; $n=3$; * $p<0.05$ and ** $p<0.01$ vs HOG-LDL control; †† $p<0.01$ and ††† $p<0.001$ vs N-LDL control. **(i)** Immunocytochemistry images showing nuclear translocation of ATF6 in HRCP. Cells were treated with N-LDL or HOG-LDL (200 mg/l) for 12 h. Data are representative of three separate experiments and show that HOG-LDL, but not N-LDL induced ATF6 translocation from cytoplasm to nucleus. **(j, k)** mRNA expression in HRCP. Real-time PCR documented mRNA expression for **CHOP** **(j)** and **sXBP-1** **(k)** in HRCP treated for 12 h with N-LDL or HOG-LDL (200 mg/l) following pre-incubation (1 h) with or without Poly-I, NAC, 4-PBA and CsA. Relative mRNA levels were normalised to 18 s mRNA. Values are means \pm SD; $n=3$; ** $p<0.01$ vs HOG-LDL control; †† $p<0.01$ vs N-LDL control



SFM or N-LDL (Fig. 5a), consistent with previous in vitro and ex vivo findings [10]. Levels of activated caspase-3 and cleaved PARP, and the ratio of BAX:BCL-2 were

significantly increased by HOG-LDL vs N-LDL, peaking at 12 h (Fig. 5b–e), with apoptosis being inhibited by pre-treatment with Poly-I, NAC, 4-PBA and CsA (Fig. 5f–i).

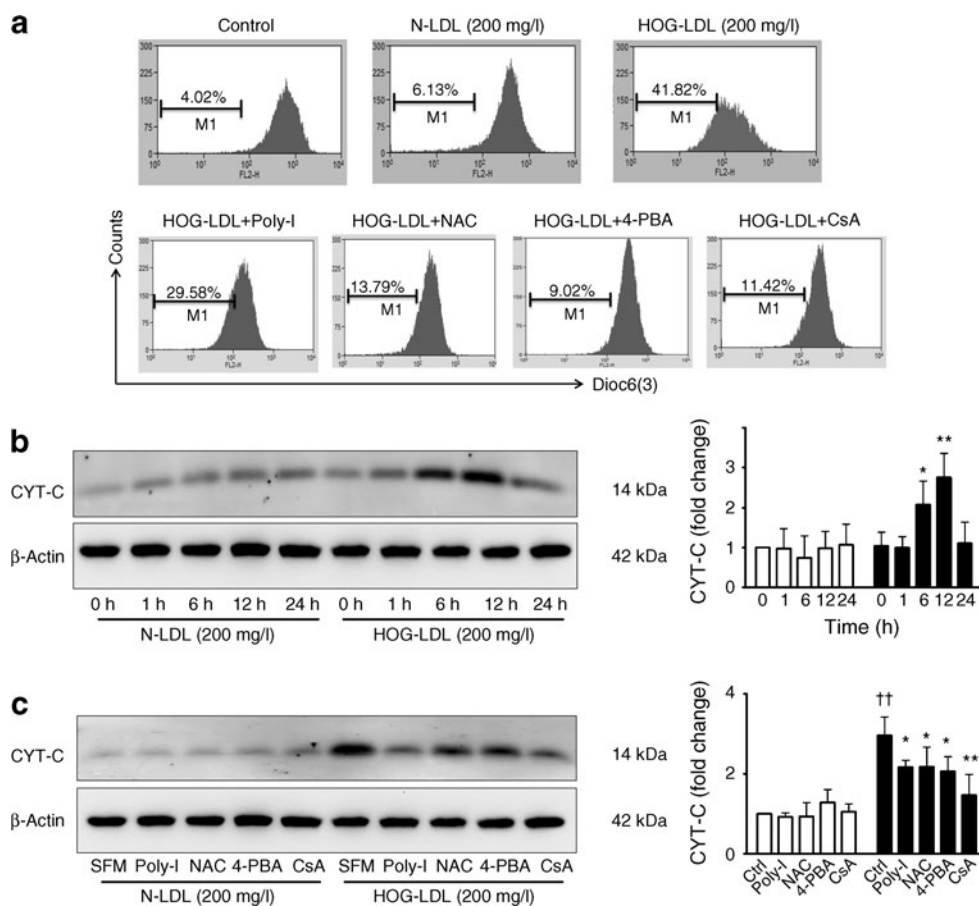


Fig. 4 HOG-LDL decreases mitochondrial membrane potential. **(a)** Mitochondrial membrane potential detection in HRCP. Cells were treated for 12 h as above (Fig. 3j, k). Mitochondrial membrane potential analyses were performed by flow cytometry with DiOC6(3), a fluorescent dye used to measure membrane potential. The percentage of total events associated with low membrane potential corresponds to low fluorescence events, which are shown as horizontal bars (denoted ‘M1’) in the histograms. Data are representative of three independent experiments. **(b)** Time course of CYT-C levels in HRCP. Cells were

treated as above (Fig. 2b) and western blot experiments performed on total protein extracts, with β -actin used as loading control. The quantification of blots is expressed as mean \pm SD; $n=3$; $*p<0.05$ and $**p<0.01$ vs HOG-LDL at 0 h. **(c)** Poly-I, NAC, 4-PBA and CsA inhibit HOG-LDL-induced CYT-C upregulation in HRCP. Cells were treated for 12 h as above (Fig. 2f) and CYT-C levels detected by western blot, with quantification expressed as mean \pm SD; $n=3$; $*p<0.05$ and $**p<0.01$ vs HOG-LDL control; $\dagger p<0.01$ vs N-LDL control. White bars, N-LDL; black bars, HOG-LDL

Taken together, our data suggest that HOG-LDL-induced apoptosis is caspase-dependent and downstream of oxidative stress, ER stress and mitochondrial dysfunction.

HOG-LDL induces autophagy in HRCP Time-dependent increases of LC3-II, beclin-1 and ATG5, all markers of autophagy [29], were induced by HOG-LDL vs N-LDL (Fig. 6a–d). When HRCP were pretreated with Poly-I, NAC, 4-PBA and CsA, increases in LC3-II and ATG5, but not in beclin-1 were inhibited (Fig. 6e–h).

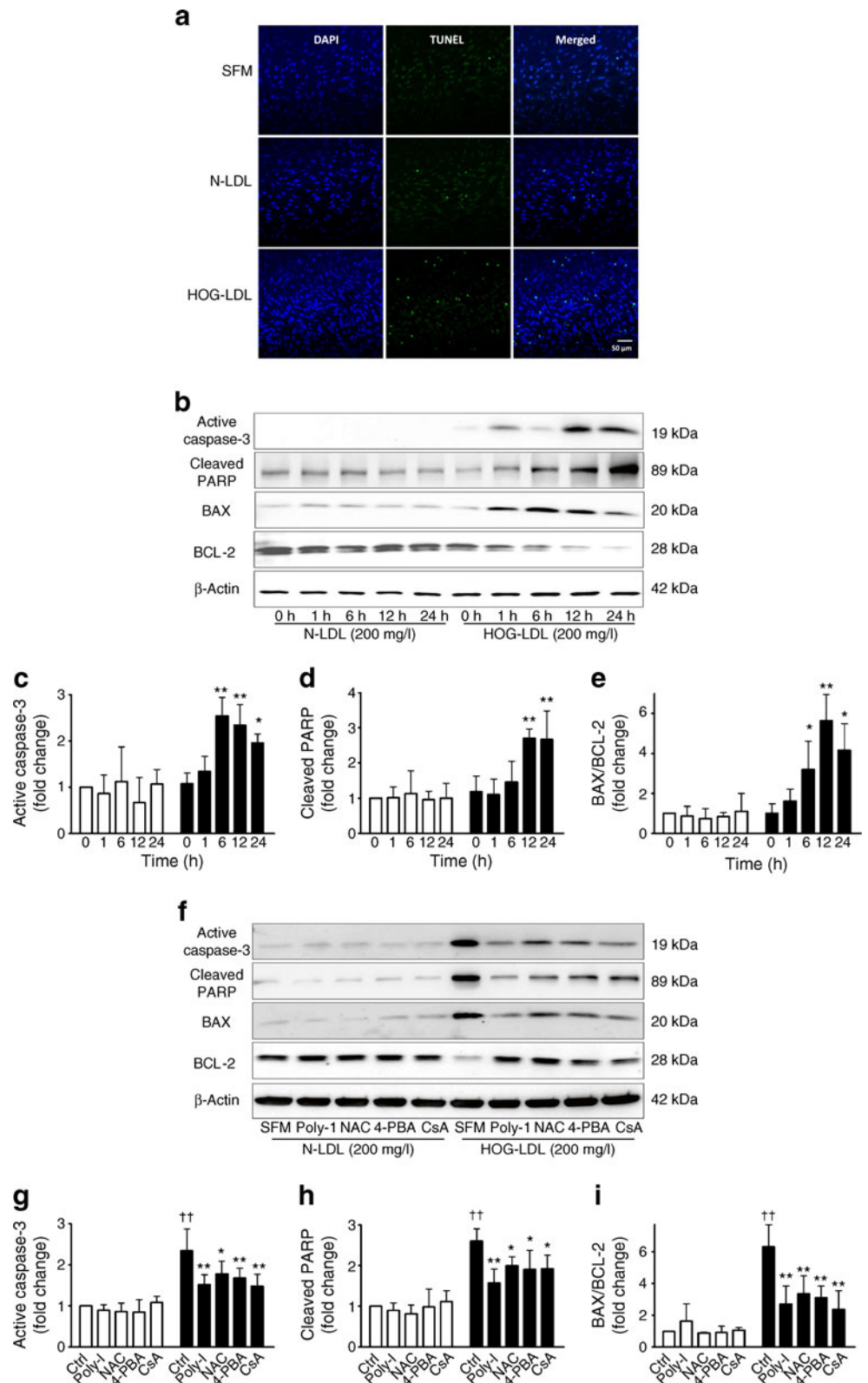
4-HNE and 7-KC trigger ER stress in HRCP To define the effects of specific lipid oxidation products on ER stress in HRCP, 4-HNE and 7-KC were used. Both decreased cell viability in a dose-dependent (ESM Fig. 1a, d) and time-dependent (ESM Fig. 1b, e) manner, and increased levels of

the ER stress markers GRP78, p-eIF2 α and CHOP (ESM Fig. 1c, f).

Elevated levels of ER stress markers in retina from a mouse model of diabetes and hypercholesterolaemia In retinas from a double knockout mouse model of diabetes and hypercholesterolaemia, Grp78 and Atf6 levels were significantly increased, mainly in the ganglion cell layer and inner nuclear layer, indicating increased ER stress, compared with mouse models of diabetes only or hyperlipidaemia only, or with mice that had neither condition (ESM Fig. 2).

Detection of ApoB, GRP78 and ATF6 in human retinas To confirm the clinical relevance of our in vitro and animal findings, we performed double staining for ApoB (an LDL marker) and ER stress markers (GRP78 or ATF6) in human

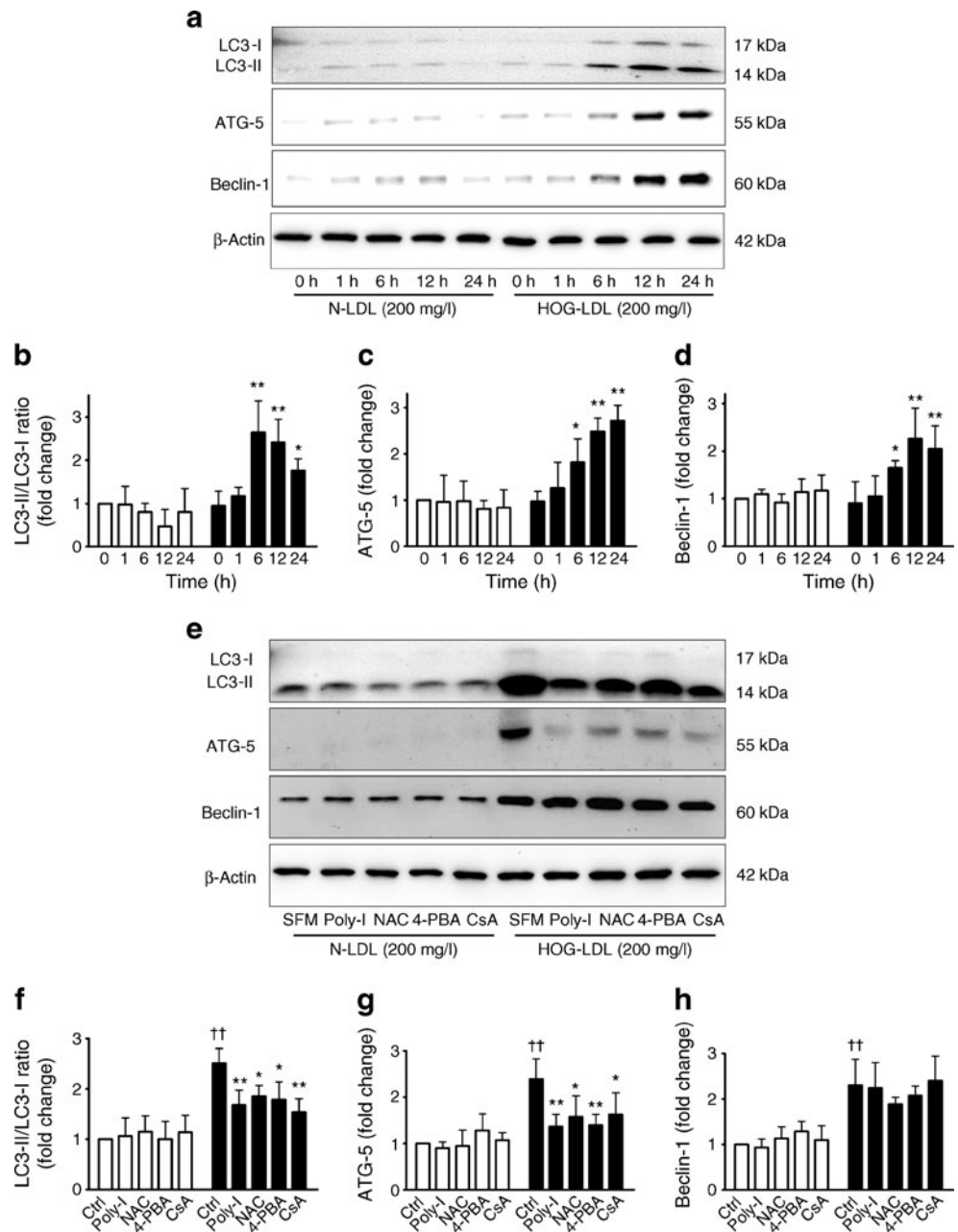
Fig. 5 HOG-LDL induces apoptosis in HRCP. **(a)** TUNEL staining in HRCP. Apoptotic cells were observed by TUNEL assay when HRCP were exposed to HOG-LDL (200 mg/l) vs N-LDL (200 mg/l) for 24 h. Apoptosis significantly increased after HOG-LDL, but not after N-LDL treatment. Images are representative of three independent experiments. **(b)** Time course of activated caspase-3, cleaved PARP, BAX and BCL-2 in HRCP. Cells were treated as above (Fig. 2b) and western blot experiments performed on total protein extracts, with β -actin used as loading control. **(c)** Quantification of findings for activated caspase-3, **(d)** cleaved PARP and **(e)** BAX and BCL-2, expressed as means \pm SD; $n=3$; $*p<0.05$ and $**p<0.01$ vs HOG-LDL 0 h. White bars, N-LDL; black bars, HOG-LDL. **(f)** Poly-I, NAC, 4-PBA and CsA inhibit HOG-LDL-induced apoptosis in HRCP. Cells were treated for 24 h as shown and protein levels as indicated detected by western blot. **(g)** Quantification of findings for activated caspase-3, **(h)** cleaved PARP and **(i)** BAX: BCL-2 ratio, expressed as means \pm SD; $n=3$; $*p<0.05$ and $**p<0.01$ vs HOG-LDL control; $\dagger\dagger p<0.01$ vs N-LDL control



retinas from diabetic and non-diabetic donors (ESM Fig. 3a, b). ApoB staining was minimal in retinas from non-diabetic donors, but present in retinas from type 2 diabetic donors with

non-proliferative DR. Likewise, GRP78 (ESM Fig. 3a) and ATF6 (ESM Fig. 3b) levels were minimal or absent in retinas from non-diabetic individuals, but clearly detectable in the

Fig. 6 HOG-LDL induces autophagy in HRCPC. **(a)** Time course of conversion of LC3-I to LC3-II, and of ATG5 and beclin-1 abundance in HRCPC. Cells were treated as above (Fig. 2b) and western blot performed on total protein extracts, with β -actin used as loading control. **(b)** Quantification of findings for LC3-I to LC3-II, **(c)** ATG5 and **(d)** beclin-1, expressed as means \pm SD; $n=3$; * $p<0.05$ and ** $p<0.01$ vs HOG-LDL 0 h. White bars, N-LDL; black bars, HOG-LDL. **(e)** Poly-I, NAC, 4-PBA and CsA inhibit HOG-LDL-induced autophagy in HRCPC. Cells were treated for 24 h as shown, and protein conversion and abundance as indicated detected by western blot. **(f)** Quantification of findings for LC3-II/LC3-I ratio, **(g)** ATG5 and **(h)** beclin-1, expressed as means \pm SD; $n=3$; * $p<0.05$ and ** $p<0.01$ vs HOG-LDL control; †† $p<0.01$ vs N-LDL control



inner retina from donors with type 2 diabetes. These findings are consistent with the notion that HOG-LDL is implicated in increasing retinal ER stress in DR.

Discussion

We conclude that HOG-LDL (a model of extravasated, modified LDL) induced CHOP-dependent apoptotic pericyte loss via enhanced oxidative and nitrosative stress, ER stress and mitochondrial dysfunction. In support of the relevance of these findings to human DR, the concentrations of LDL used were conservative estimates of those present in vivo. Thus 200 μ g

protein/ml is about 25% of typical plasma levels, while in atherosclerosis, intra-plaque concentrations of ApoB and oxidised LDL are 2- and 79-fold higher, respectively, than plasma levels [30, 31]. The activation of autophagy was another important consequence of exposure of pericytes to HOG-LDL and may be mediated by the same pathways. Moreover, 4-HNE or 7-KC, components of Ox-LDL, similarly induced ER stress and decreased pericyte viability, and may serve as surrogates for Ox-LDL in cell culture work. Supporting our cell culture findings, retinal ER stress was increased in a mouse model of combined diabetes and hypercholesterolaemia, but not in models of each condition alone. Finally, increased abundance of the ER chaperone, GRP78, and the ER sensor, ATF6, in human

diabetic retina was accompanied by staining for Ox-LDL. These findings are consistent with (but do not prove) our hypothesis that extravasated and modified plasma lipoproteins play an important role in the propagation of DR once blood–retina barrier (BRB) leakage is established.

BRB leakage may result from several stress factors present in diabetes: elevated, fluctuating glucose levels; alterations in pH and osmotic stress; and altered thrombotic/fibrinolytic balance. It may be amplified by vicious cycles of damage caused by extravasated lipoproteins, further compromising vascular integrity. We suggest that the retinal effects of modified LDL are analogous to those in atherosclerosis, but represent a new area of DR research.

As in atherogenesis, the quantity and quality of plasma lipoproteins may be important in initiating disease. In the circulation, glycation and oxidation of LDL is relatively slight. Even so, we have previously shown that very mildly in vitro-modified (glycated and/or oxidised) LDL, simulating that found in the plasma in diabetes, decreases the viability of (bovine) retinal capillary endothelial cells and pericytes [13]. Therefore, in diabetes, the effects of mildly modified plasma LDL, combined with those of hyperglycaemia, may play a role in initiating retinal capillary damage, but the main injurious effects of LDL are likely to follow extravasation and further modification. In the retina, in contrast to arteries, lipoproteins are normally stringently excluded by the BRB, so the consequences of leakage are amplified.

Supporting a critical role for extravasated plasma lipoproteins in the propagation of DR, in a previous study we showed that Ox-LDL (detected with an antibody against copper-oxidised LDL, similar to HOG-LDL in our current work) is present in human diabetic retinas and proportional to DR severity [19]. This is the case even before the appearance of clinical disease, consistent with an initiating role for modified LDL in DR. We have also demonstrated that HOG-LDL induced apoptosis in HRCPC [13, 16], but the mechanism was not explored in detail. The present study demonstrates that decreased cell viability induced by HOG-LDL was mitigated by blockade of the scavenger receptor (using Poly-I) and by inhibition of oxidative stress (with NAC), ER stress (with 4-PBA) or mitochondrial dysfunction (with low concentrations of CsA). Our data implicate all these processes in HOG-LDL-induced pericyte loss. We confirmed these findings using alternative inhibitors of oxidative stress (Tempol) and ER stress (tauroursodeoxycholic acid). Poly-I can, as shown in a recent study [32], activate toll-like receptors (TLRs) in lymphocytes, raising the possibility of an alternative mode of action. To address this question, another more powerful and classic TLR activator, Poly-I:C [33], was used. Poly-I, but not Poly-I:C mitigated the HOG-LDL-induced effects on cell viability, but neither Poly-I nor Poly-I:C affected viability (data not shown): thus the effect of Poly-I on pericytes does not seem to involve effects on TLR.

Oxidative stress has been implicated in DR [34, 35]. Excessive ROS production causes oxidative and nitrosative stress, leading to retinal capillary cell damage and death [36]. Our study shows that HOG-LDL vs N-LDL induces oxidative stress (increased intracellular ROS and 3-NT levels) in HRCPC and simultaneously reduces levels of the antioxidant enzyme, GPX-1. In contrast, SOD-2 levels initially increased, presumably as a defensive response [37], then declined. Blockade of oxidative stress with NAC prevented HOG-LDL-induced ER stress and apoptosis, suggesting that oxidative stress is a proximal event.

The ER is a sensor for cellular stresses (e.g. hyperglycaemia, dyslipoproteinaemia, hypoxia, oxidative stress), and ER stress has been implicated in DR [38–40]. In this study, HOG-LDL induced phosphorylation of eIF2 α , nuclear translocation of ATF6 and increased GRP78. Moreover, mRNA levels of *sXBP-1* (an important transcription factor in ER stress) and *CHOP* (an ER-specific pro-apoptotic factor) were increased in pericytes incubated with HOG-LDL. The increase in *CHOP* mRNA was paralleled by increased CHOP abundance. HOG-LDL also increased levels of the pro-apoptotic factors caspase-3 and BAX, and decreased anti-apoptotic BCL-2. These effects are consistent with data showing that CHOP induces the transcription of several pro-apoptotic genes and suppresses the transcription of *BCL-2* [41]. Altogether, the data indicate that HOG-LDL activates p-eIF2 α and ATF6, leading to activation of pro-apoptotic mediators (CHOP) and production of the protective chaperone, GRP78. Recent studies indicate that moderate ER stress can be overcome by the unfolded protein response, but excessive and prolonged ER stress results in apoptosis [42–44]. Our data suggest that HOG-LDL-induced ER stress (particularly CHOP activation) plays an important role in promoting pericyte loss and retinal injury in DR.

Besides the ER, mitochondria also play a critical role in the development of DR and retinal capillary cell death [22]. In HRCPC, we observed HOG-LDL-induced mitochondrial dysfunction, demonstrated by decreased membrane potential and release of CYT-C. We used CsA to inhibit mitochondrial dysfunction. At low concentrations such as those we employed, CsA inhibits opening of the mitochondrial permeability transition pore and has anti-apoptotic effects [45], although at higher concentrations it may induce apoptosis [46]. Treatment with CsA inhibited CYT-C release and apoptosis, supporting a role for mitochondrial dysfunction in HOG-LDL-induced apoptosis. Interestingly, blockade of ER stress with 4-PBA not only inhibited HOG-LDL-induced apoptosis, but also mitochondrial dysfunction. HOG-LDL-induced mitochondrial dysfunction may lead to apoptosis via CYT-C leakage. In addition, HOG-LDL may induce mitochondrial dysfunction via ER stress. Thus, ER stress may trigger apoptosis through two separate pathways, CHOP and CYT-C.

An interesting aspect of the current study is that, in addition to apoptosis, HOG-LDL was also found to induce autophagy,

as indicated by increased levels of LC3-II, beclin-1 and ATG5. Moreover, when oxidative stress, ER stress and mitochondrial dysfunction were individually inhibited, autophagy was attenuated. This was most clearly seen in the responses of LC3-II and ATG5, while the response of beclin-1 was less conclusive. Thus, HOG-LDL-induced autophagy in HRCP is, at least in part, downstream of oxidative stress, ER stress and mitochondrial dysfunction. Several studies have shown that autophagy is not only a reparative process by which cells remove debris, alleviate ER stress and thus survive [47, 48], but may also cause cell death if ER stress is prolonged [49, 50]. In the current study, autophagy appears to play a dual role in pericytes exposed to HOG-LDL, promoting survival under mild stress, but leading to cell death under extended stress. These effects need to be addressed in detail in future studies.

We conclude that oxidative stress, ER stress and mitochondrial dysfunction are all implicated in HOG-LDL-induced HRCP apoptosis and autophagy. To elucidate the sequence of these events, inhibitors of scavenger receptor (Poly-I), oxidative stress (NAC), ER stress (4-PBA) and mitochondrial dysfunction (CsA) were employed. Poly-I and NAC blocked all the responses mentioned above; 4-PBA blocked ER stress, mitochondrial dysfunction, apoptosis and autophagy; while CsA only blocked mitochondrial dysfunction, apoptosis and autophagy. Overall, the data suggest that the initial event may be an interaction of HOG-LDL with the scavenger receptor, subsequently triggering sequential oxidative stress, ER stress and mitochondrial dysfunction, with apoptosis and autophagy as final outcomes. Considering that each of the inhibitors only partially blocked the downstream pathways, it is possible that HOG-LDL may directly induce ER stress independently of oxidative stress and directly induce mitochondrial dysfunction independently of oxidative and ER stress. Our studies employed pharmacological inhibitors, and we recognise that the absolute specificity of these agents can never be guaranteed. Studies using gene manipulation, such as gene silencing by small interfering RNA (siRNA), are now needed to elucidate these effects in greater detail.

We tested specific lipid oxidative products to determine whether their effects on HRCP resembled those of HOG-LDL. Recent reports show that 4-HNE and 7-KC can induce ER stress and autophagy [49, 51]. In this study, we found that 4-HNE and 7-KC decreased viability, triggered phosphorylation of eIF2 α and increased the production of GRP78 and CHOP. Similar responses have been observed in studies of human aortic smooth muscle cells [52]. The data show that ER stress activated by HOG-LDL in HRCP can be simulated by the specific known lipoxidation products, 4-HNE and 7-KC.

Consistent with our *in vitro* findings, our *in vivo* studies also demonstrate the presence of increased ER stress in the diabetic retina and are consistent with a contribution from modified lipoproteins. In retinas from a mouse model of diabetes combined with hypercholesterolaemia, the ER stress

indicators, Grp78 and Atf6, were increased; however, the increased ER stress was not observed in models of diabetes or hypercholesterolemia alone. Our studies of human retinas also supported the clinical relevance of our *in vitro* and animal findings. We found that GRP78 and ATF6 are present in retinas from type 2 diabetic donors with non-proliferative DR, but absent in retinas from non-diabetic donors. We also found that enhanced staining of the ER stress markers was accompanied by evidence of increased leakage of LDL (ApoB). These findings support the notion that extravasated and modified LDL is implicated in ER stress in DR.

In conclusion, our findings provide new details concerning the toxicity of extravasated, modified LDL towards retinal capillary pericytes. We also provide evidence that ER stress is present *in vivo* in retinas from diabetic human donors and from a murine model of diabetes, in both cases consistent with lipoproteins serving as potential causal factors. This knowledge provides a basis for the development of future, targeted interventions for the prevention and treatment of DR.

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