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# Oncogenic BRAF induces chronic ER stress condition resulting in increased basal autophagy and apoptotic resistance of cutaneous melanoma

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Running title: Apoptosis resistance of melanoma cells mediated by oncogenic BRAF-induced ER stress and increased basal autophagy

#### Abstract

The notorious unresponsiveness of metastatic cutaneous melanoma to current treatment strategies coupled with its increasing incidence constitutes a serious worldwide clinical problem. Moreover, despite recent advances in targeted therapies for patients with BRAF<sup>V600E</sup> mutant melanomas, acquired resistance remains a limiting factor and hence emphasises the acute need for comprehensive pre-clinical studies to increase the biological understanding of such tumours in order to develop novel effective and long lasting therapeutic strategies. Autophagy and ER stress both play a role in melanoma development/progression and chemoresistance although their real impact is still unclear. Here we show that BRAF<sup>V600E</sup> induces a chronic ER stress status directly increasing basal cell autophagy. BRAF<sup>V600E</sup>-mediated p38 activation stimulates both the IRE1/ASK1/JNK and TRB3 pathways. Bcl-XL/Bcl-2 phosphorylation by active JNK releases Beclin1 while TRB3 inhibits the Akt/mTor axes, together resulting in an increase in basal autophagy. Furthermore, we demonstrate chemical chaperones relieve the BRAF<sup>V600E</sup>-mediated chronic ER stress status, consequently reducing basal autophagic activity and increasing, the sensitivity of melanoma cells to apoptosis. Taken together, these results suggest enhanced basal autophagy, typically observed in BRAF<sup>V600E</sup> melanomas, is a consequence of a chronic ER stress status, which ultimately results in the chemoresistance of such tumours. Targeted therapies which attenuate ER stress may therefore represent a novel and more effective therapeutic strategy for BRAF mutant melanoma.

# Keywords: Autophagy; BRAF; Chemical Chaperones; ER stress; Melanoma

Abbreviations: ER = Endoplasmic Reticulum; UPR = Unfolded Protein Response; Baf = Bafilomycin A; wt = wild-type; DN = Dominant Negative; 4-PBA = 4-Phenylbutyric acid; TG = thapsigargin; STS = staurosporine; DoxR = doxorubicine.

# Introduction

Cutaneous melanoma represents one of the most aggressive and difficult to treat forms of human cancer, with a worldwide incidence that has steadily increased over the past 40 years  $^{1,2}$ .

Notoriously unresponsive to conventional chemotherapy, metastatic disease is highly invasive and evolves with an extensive repertoire of molecular defences against immunological and cytotoxic attack <sup>3</sup>.

Although linked to exposure to ultraviolet light, it is widely accepted that both genotypic and phenotypic changes in melanocytes predispose to melanocyte transformation and the onset of melanoma <sup>4,5</sup>.

Surprisingly, p53 mutations are very rare in melanoma, but activity is however, impaired through direct or indirect inactivation of key elements of this pathway, including through the suppression of APAF-1 expression <sup>6</sup>, loss of PTEN function <sup>7</sup>, dysregulation of Bcl-2 expression <sup>8</sup>, up-regulation of the anti-apoptotic protein Mcl-1 together with its altered slice variant expression <sup>9,10</sup> and the ER chaperone GRP78 <sup>11-13</sup>. Oncogenic mutations however, in the Ras/Raf pathway are the most well described genetic mutations associated with melanoma development and progression <sup>14</sup>. Indeed, up to 90% of all melanomas harbour activating NRAS or BRAF mutations, with BRAF<sup>V600E</sup> representing more than 90% of BRAF mutations <sup>15,16</sup>, the consequence of which is the constitutive activation of RAF-extracellular signal-regulated kinase/ERK signalling promoting melanoma proliferation and resistance to apoptosis <sup>17</sup>. Nevertheless, mutations are also present in benign nevi, thereby highlighting the requirement of other factors to drive melanocyte transformation and melanoma development <sup>15,16</sup>. Dysregulation of autophagy has accordingly been postulated as a secondary event contributing to melanoma progression and, importantly, also plays a key role in chemoresistance <sup>18-20</sup>.

Autophagy is the principle catabolic process for the bulk degradation and recycling of aged/damaged cellular components, organelles and proteins through the formation of a double

membraned cytosolic vesicle able to wrap targeted material. The subsequent fusion with lysosomes and degradation of cargo provide nutrients in times of environmental stress, such as nutrient deprivation or hypoxia <sup>21</sup>. Though essential for the maintenance of cellular homeostasis under conditions of nutrient deprivation, paradoxically, autophagy promotes both tumour suppression and tumour development <sup>22</sup>. While the accumulation of damaged organelles/cytosolic proteins may lead to cellular transformation, autophagy may also sustain tumour growth in a microenvironment which is commonly poor of oxygen and nutrients <sup>22</sup>. Thus not surprisingly, autophagy activation is frequently observed in late stage malignancy although the molecular mechanisms mediating its activation/regain of function remain unclear.

ER stress, may also constitute a key secondary event in melanoma development <sup>23</sup>. Primarily a cytoprotective pro-survival process, ER stress is activated as a result of accumulated unfolded proteins, protein overload or depletion of ER calcium stores and mediated through the activity of the master ER chaperone Grp78 and three signalling pathways; PERK/eIF2a/ATF4, IRE-1/Xbp-1 and ATF6 which collectively maintain ER homeostasis through the instigation of an Unfolded Protein Response (UPR) <sup>24</sup> or sustained ER stress may lead to the induction of apoptosis <sup>25,26</sup>. Increasing evidence indicates nutrient deprivation and hypoxia lead to activation of the UPR in various solid tumours, frequently correlating with resistance to chemotherapy <sup>27</sup>. The accepted hypothesis is thus that activation of the UPR in cancer cells enables their adaption to such ER stress resulting in the resistance to apoptosis through the persistent expression of pro-survival instead of pro-apoptotic proteins <sup>28</sup>.

Although under stress conditions, autophagy and ER stress seem to act in parallel, indeed they are closely related, since one can regulate the other and vice versa. In fact, ER stress is able to promptly stimulate autophagy<sup>26</sup>, whereas autophagy selectively removes the membranes of the endoplasmic reticulum at the end of the UPR, although the molecular mechanisms are still largely unclear<sup>29</sup>.

In the present study we investigated the link between oncogenic  $BRAF^{V600E}$  and increased basal autophagy in melanoma cells, highlighting the pivotal role played by ER stress, possibly responsible for tumour growth and chemoresistance.

# Results

# BRAF<sup>V600E</sup> increases basal autophagy in melanoma cells

The deregulation of autophagy is frequent in malignancy, including in cutaneous melanomas. In particular, we and others have recently demonstrated melanomas harbouring mutant BRAF<sup>V600E</sup>, display an increased basal autophagic rate <sup>3,20,30,31</sup>. In order to confirm this observation we compared basal autophagic flux in melanoma cell lines, CHL-1 and A375, with BRAF wild-type (wt) or V600E mutated alleles, respectively. As shown in figure 1A, A375 cells displayed increased expression of lipidated LC3 compared to CHL-1 cells, which was sustained by co-treatment with Bafilomycin A (Baf), to prevent autophagic flux. To further confirm these observations, analysis of LC3 conversion was also performed in an extended panel of BRAF wt or mutated melanoma cells (Supplementary Table 1, Figure 1C). Results confirmed an overall increase in basal autophagy in BRAF mutant compared to BRAF wt cells (Figure 1C). Moreover, increased basal degradation of the autophagy cargo p62 was also observed in A375 compared to CHL-1 cells, as evidenced by the accumulation of this protein in presence of Baf (Fig 1B), and confirmed comparing p62 accumulation in BRAF mutated vs BRAF wt melanoma cell lines, in a time-dependent manner (Fig 1D).

Since autophagy is primarily a pro-survival pathway actively counteracting the apoptotic process, high basal autophagy levels may account for, at least in part, the notorious resistance of BRAF mutated melanoma cells to ER stress-induced apoptosis <sup>17</sup>, as confirmed in figure 1E also showing A375 cells are more resistant to thapsigargin-induced apoptosis, compared to CHL-1 cells.

#### Oncogenic BRAF results in increased basal autophagy through chronic ER stress induction

We have previously shown that BRAF mutated melanoma cells display reduced sensitivity to ER stress activation compared to wt cells, suggesting that an abrogated ER stress response may limit drug-induced apoptosis in these cells <sup>20,32</sup> (Fig 1). We therefore hypothesised that the reduced ER

stress response observed in BRAF mutant melanoma cells results from chronic ER stress that desensitizes them to further stress stimuli <sup>28</sup>. To test this hypothesis we analysed the expression levels of ER stress markers in our extended panel of BRAF<sup>V600E</sup> melanoma cells compared to BRAF<sup>WT</sup> cells. As shown in figure 2A, BRAF<sup>V600E</sup> melanoma cell lines displayed approximately two fold greater expression of mRNA coding for ERdj5, ERp57, ATF4 and Xbp-I <sup>33</sup>, compared to BRAF wt cells, indicating that a mild but consistent induction of ER stress is conferred by an activating mutation in the BRAF protein kinase. <sup>28,32,33</sup>.

To rule out the possibility that differences in ER stress induction were due to effects other than BRAF mutation, we expressed constitutively active BRAF<sup>V600E</sup> in the BRAF wt SK-Mel-110 melanoma cell line in order to compare melanoma cells with identical genetic background except for BRAF status. Cells stably expressing BRAF<sup>V600E</sup> or GFP were selected for more than one month in culture, in order to better represent *in vivo* tumour development and adaptation to BRAF activating mutation. Expression of oncogenic BRAF in SK-Mel-110 cells, as evidenced by ERK1/2 hyper-phosphorylation (Fig 2C), resulted in a clear up-regulation of mRNA coding for ERp57, ATF4, ERdj5 and Xbp-I (Fig 2B), increased levels of both calnexin and ERp57 proteins and eIF2 $\alpha$ phosphorylation (Fig 2D), as well as enhanced Xbp-I splicing (Fig 2E), confirming BRAF mutation is solely responsible for ER compartment deregulation, and compatible with a chronic ER stress status. These data thus support recent observations of increased ER stress as a consequence of BRAF therapy-induced resistance by Ma and co-workers<sup>34</sup>, and provide additional insight into the mechanisms mediating increased basal ER stress in BRAF mutated melanoma cells.

Furthermore, over-expression of BRAF<sup>V600E</sup> also resulted in increased basal autophagy in SK-Mel-110 cells, as indicated by both the cytosolic accumulation of p62 puncta (Fig 3A) and LC3 conversion (Fig 3B), in a time-dependent manner and under conditions of bafilomycin exposure, suggesting a correlation between chronic ER stress and increased basal autophagy in BRAF<sup>V600E</sup> mutant cells, as previously evidenced in Figure 1.

# ER stress-mediated JNK activation contributes to basal autophagy induction

To unveil the possible link connecting oncogenic BRAF-induced chronic ER stress and the elevation of basal autophagy, we explored the activation of known pathways linking these two processes. Results demonstrated constitutive phosphorylation of JNK in BRAF<sup>V600E</sup> compared to BRAF wt cells and normal melanocytes, confirming protein kinase activation (Fig 4A). To verify JNK activation was specifically due to the presence of oncogenic BRAF, we analysed JNK phosphorylation in SK-Mel-110 cells stably expressing BRAF<sup>V600E</sup> or GFP. As shown in figure 4C, phosphorylated JNK is strictly associated with the expression of mutant BRAF.

ER stress-mediated JNK activation has also been reported to be associated with the activation of the transmembrane ER protein IRE1 and subsequent recruitment of cytosolic ASK1 through the adapter molecule TRAF2 <sup>35</sup>. This multiprotein complex determines the activation of the kinase ASK1, thus mediating the phosphorylation of JNK <sup>35</sup>. To verify the involvement of this pathway in oncogenic BRAF-induced ER stress-mediated JNK activation, we evaluated the translocation of TRAF2 onto ER membranes using a subcellular fractionation assay. Results reported in figure 4B and 4D confirmed a mild but significant relocalization of TRAF2 onto the ER compartment, as indicated by the colocalization with the ER marker calnexin, in cells expressing the mutated BRAF (see arrows in Fig 4B and 4D, compare each upper panel with corresponding bottom panel), consistent with a chronic rather than an acute ER stress status. Importantly, this relocalization was specifically induced by BRAF<sup>V600E</sup> since GFP-transduced SK-Mel-110 cells exhibited TRAF2 distribution similar to that observed in CHL-1 cells (see arrows as marked in Fig 4D and 4B, upper panels). This evidence was further confirmed by evaluating the relocalization of TRAF2 onto ER membranes of other BRAF mutated cell lines compared to BRAF with once (Supplementary Figure S1).

To confirm the role of the IRE-1/TRAF2/ASK1 axes in the JNK activation and autophagy induction in BRAF<sup>V600E</sup> cells, we down-regulated the expression of IRE1 using two specific shRNA's in A375 cells (Fig 4E, left panel) and evaluated the level of both phosphorylated JNK and LC3 conversion. As shown in figure 4E, the impairment of the IRE1 signalling pathway resulted in decreased JNK activation (upper right panel) and concomitant reduction of A375 basal autophagy (bottom right panel). To further confirm these results, A375 cells were treated with SP600125 (10  $\mu$ M) to inhibit JNK activation <sup>36</sup> prior to analysing of basal autophagic activity, in presence or absence of bafilomycin. As shown in figure 5 A-D, inhibiting the activation of JNK resulted in a clear decrease in basal autophagy, indicating that this pathway significantly contributes to sustained basal autophagic activity in BRAF mutated melanoma cells. These data were also confirmed in A2058 and SK-Mel-5 cell lines (Supplementary Figure S2A). To further support these observations, we also inhibited the activity of JNK by transient overexpression of a JNK dominant negative (Flag-JNK-DN) into A375 and A2058 cells. As shown in figure 5E and Supplementary Figure S2B, expression of JNK-DN clearly resulted in the inhibition of basal autophagy, as evidenced by reduced LC3 conversion compared to LC3-II expression in control vector transduced cells (E.V.).

The known link between JNK and autophagy resides in the ability of active JNK to phosphorylate the inhibitory partners of Beclin 1, Bcl-X<sub>L</sub> and Bcl-2. Phosphorylated Bcl-X<sub>L</sub> and Bcl-2 are thus released by Beclin 1 enabling its subsequent ability to stimulate the autophagic process <sup>37,38</sup>. To verify the involvement of Bcl-X<sub>L</sub> in our model, we immunoprecipitated a Flag-Beclin 1 recombinant protein ectopically expressed in both GFP and BRAF<sup>V600E</sup> expressing SK-Mel-110 cells, and analysed the presence of Bcl-X<sub>L</sub> by western blotting. As shown in figure 5F, the interaction between Beclin 1 and Bcl-X<sub>L</sub> was abrogated in BRAF<sup>V600E</sup> cells. On the other hand, to verify the activity of activated JNK on Bcl-2, we over-expressed wild type or a mutated (T69A S70A S87A) Bcl-2 protein <sup>39</sup> in A375 cells and analysed LC3 conversion in presence or absence of bafilomycin, in a time-course experiment. As reported in figure 5G, the presence of mutant Bcl-2 in which the phosphorylation sites have been mutated inhibited basal autophagy in A375 cells, compared to Bcl-2 wt expression.

Taken together these data indicate BRAF<sup>V600E</sup>-induced ER stress-mediated constitutive activation of JNK results in the abrogation of Beclin 1/Bcl-X<sub>L</sub> and Beclin 1/Bcl-2 inhibitory interactions leading

to constitutive induction of autophagy, while does not affect the Beclin 1/Mcl-1 inhibitory bond, which is not regulated by phosphorylation of Mcl-1 (Fig 5F).

#### ER stress-mediated TRB3 induction contributes to basal autophagy induction

Our comparative analysis between wt and BRAF mutated melanoma cells, also evidenced an altered expression of an additional factor linking ER stress and autophagy, the mammalian homolog of Drosophila tribbles TRB3 <sup>40</sup>. qRT-PCR and western blotting revealed consistent up-regulation of TRB3 at both the mRNA and protein level in A375 compared to CHL-1 cells (Fig 6A left and 6B upper panel, respectively). A similar result was obtained comparing TRB3 expression in GFP- and BRAF<sup>V600E</sup>-expressing SK-Mel-110 cells (Fig 6A right and 6B bottom panel), indicating that TRB3 up-regulation directly correlates with the presence of mutant BRAF. Moreover, we also confirmed the increased expression of TRB3 in BRAF<sup>V600E</sup> compared to BRAF wt cells in an extended cohort of melanoma cell lines (Supplementary Figure S3).

Since ER stress-induced upregulation of TRB3 induces autophagy and the inhibition of the Akt/mTORC1 axis <sup>41</sup>, we investigated the contribution of TRB3 to BRAF<sup>V600E</sup>-induced basal autophagy. RNAi mediated knockdown of TRB3 was carried out in A375 (Fig 6C) and the effect on basal autophagy rate analysed in presence or absence of bafilomycin. Similarly to the effects of JNK modulation (Fig 4 & 5) results demonstrated knockdown of TRB3 inhibited BRAF<sup>V600E</sup>-induced increases in basal autophagy (Figure 6D and 6E, upper and middle panels).

Finally, to determine the effect of dual inhibition of JNK and TRB3 on oncogenic BRAF-enhanced basal autophagy, we analysed LC3 conversion (by both western blotting and immunofluorescence analysis) and p62 degradation in A375 cells in which the JNK or the TRB3 pathways were individually or collectively abrogated. The concomitant inactivation of both TRB3 and JNK pathways resulted in significant reduction of LC3 conversion (Fig 6F), LC3 puncta accumulation (Fig 6E) and p62 degradation (Fig 6G), indicating an additive contribution of both signalling pathways to oncogenic BRAF-induced ER Stress-mediated basal autophagy.

#### p38 activation contributes to BRAF-induced ER stress

Published data demonstrate melanoma cells harbouring hyper-activating mutations in BRAF or NRAS display increased activation of the stress activated protein kinase (SAPK)-p38 <sup>42</sup>. Moreover, it has been also reported that active p38 in cancer cells is able to induce an ER-stress response to coordinate cell survival through the activation of PERK and IRE-1<sup>43</sup>.

To evaluate the potential role of p38-mediated induction of chronic ER stress in BRAF mutant melanoma cells, we first compared the expression of activated p38 (P-p38) in CHL-1 and A375 cells, demonstrating increased P-p38 levels in BRAF mutated compared to BRAF wt cells (Fig 7 A, upper panel), also confirmed in our cohort of melanoma cell lines (Supplementary Figure S4 A and B). To unveil the impact of constitutively activated p38 on melanoma basal autophagy, we inhibited the activity of p38 by ectopic expression of a p38 dominant negative (p38-DN) into A375 cells prior to evaluating the effect on LC3 conversion by western blotting. As shown in Supplementary Figure S4C, the expression of p38-DN resulted in clear inhibition of basal autophagy in A375 cells.

Secondly, we inhibited the kinase activity of p38 by treatment of BRAF<sup>V600E</sup> mutated A375 cells with 10 µM SB202190 for 6 or 8 h. As shown in figure 7, impairment of p38 activity resulted in: i) the inhibition of JNK phosphorylation (Fig 7A, bottom panel), ii) ER stress response attenuation (Fig 7B), and iii) a decrease in basal levels of autophagy (Fig 7C and Supplementary Figure S4D). Furthermore, we also inhibited the expression of p38 in A375 cells by using two specific shRNA oligos (shp38#320 and #472), which, as shown in figure 7D and Supplementary Figure S4 (E & F), resulted in the inhibition of p38 expression as well as decreased expression of ERdj5, ERp57, TRB3, JNK phosphorylation and the accumulation of p62. The inhibition of p38 expression was also carried out in SK-Mel-5 cells by shRNA, and resulted in both TRB3 expression attenuation and decreased JNK activation (Supplementary Figure S4G), confirming results obtained in A375 cells. Collectively, these data suggest p38 signalling contributes to the induction of a chronic ER stress status in BRAF mutated melanoma cells, resulting in an increase in basal autophagic activity.

# Chemical chaperones sensitizes $BRAF^{V600E}$ melanoma cells to apoptosis induction

Autophagy is primarily a pro-survival process that is up-regulated in advanced stages of melanoma<sup>3</sup>, particularly in those tumours harbouring hyper-activating mutations in BRAF, to sustain cell survival and counter act apoptotic signalling induced by chemotherapeutic agents <sup>3,20,30</sup>. The contribution of chronic ER stress to increased basal autophagy in BRAF mutated melanoma cells prompted us to test whether inhibition of incurred ER stress by chemical chaperones would lead to a decrease in basal autophagy and the increased susceptibility to cell death induction. Since 4-Phenylbutyric acid (4-PBA), has been shown to reduce ER stress both in vivo and in vitro <sup>44</sup> we exposed SK-Mel-110 cells expressing BRAF<sup>V600E</sup> to 4-PBA (3 mM) for 3 weeks and compared the expression of the ER stress markers ATF4, ERp57 and TRB3 in either treated or untreated cells. 4-PBA treatment significantly decreased the expression of all markers, indicating the relief of ER stress imposed by BRAF<sup>V600E</sup> (Fig 7E). In addition 4-PBA consistently and significantly reduced basal autophagy in SK-Mel-110 BRAF<sup>V600E</sup> cells, as evidenced by the reduced conversion and accumulation of LC3, in presence of bafilomycin (Fig 7F). These findings therefore indicate 4-PBA alleviates chronic ER stress in BRAF<sup>V600E</sup> mutant melanoma cells, with consequent reduction in basal autophagic activity.

To determine the effect of 4-PBA induced reduction of ER stress and basal autophagy on susceptibility to apoptosis induction, SK-Mel-110 BRAF<sup>V600E</sup> cells were subsequently treated in the presence of absence of a panel of pro-apoptotic drugs, able to activate different apoptotic pathways; thapsigargin (TG, 10  $\mu$ g/ml), staurosporine (STS, 0,2  $\mu$ M) and doxorubicine (DoxR, 10  $\mu$ M) (Fig 7G). Results revealed 4-PBA significantly increased the sensitivity of SK-Mel-110 BRAF<sup>V600E</sup> cells to drug-induced apoptosis by all agents tested, thus suggesting chronic ER stress imposed by oncogenic BRAF expression and the subsequent increase in basal autophagy represents a skilled pro-survival mechanism used by these cells to escape cell death induction.

# Discussion

It is now quite clear that both autophagy and ER stress play pivotal roles in cancer development and progression, as well as response to pharmacological therapeutic intervention <sup>45</sup>. Although autophagy may represent a primary barrier to cellular transformation and the prevention of tumour development, paradoxically, both pathways promote pro-survival cell responses, allowing tumour growth and progression, and possibly conferring chemoresistance <sup>22,46</sup>. Thus, targeting ER stress and/or autophagy may present considerable benefit for cancer therapy <sup>47</sup>. However, such potential is complicated by the inter-connection of both processes, and the fact that both ER stress and autophagy are able to modulate each other and that their specific function is strictly dependent on both tumour type and stage progression <sup>45</sup>. In cutaneous metastatic melanoma we have recently demonstrated the clinical potential of ER stress-induced apoptosis, with BRAF wt melanomas showing greater sensitivity, compared to tumours harbouring hyper-activating mutations in the BRAF protein kinase <sup>32,33</sup>. Moreover, we have also shown (and confirmed in the present study) that BRAF mutation is associated with increased levels of basal autophagy<sup>20</sup>. Interestingly, ER stressinduced apoptosis is reduced in melanoma cells harbouring oncogenic BRAF compared to the observed induction in BRAF wt melanoma cells, and in this context and in contrast to observations in BRAF mutant melanoma cells, we have also shown that autophagy inhibition significantly sensitizes BRAF wt melanoma cells to ER stress-mediated apoptosis <sup>20</sup>. Based on established evidence that: i) melanoma development and progression is accompanied by the induction of ER stress <sup>30</sup>, ii) BRAF<sup>V600E</sup> melanomas are characterized by increased rates in basal autophagy compared to BRAF wt malignancies <sup>20</sup> and that iii) ER stress and autophagy are strictly linked <sup>26</sup>, we now show mutation of BRAF confers a chronic ER stress status responsible for increased basal autophagy in melanoma cells. Specifically, the presence of BRAF<sup>V600E</sup> increases the expression of typical ER stress markers, compatible with a chronic ER stress status, possibly accounting for reduced sensitivity to further ER stress stimulation. Importantly, the ectopic expression of BRAF<sup>V600E</sup> mutation not only induced a chronic ER stress status but was also responsible for

increased basal autophagy. Furthermore, hyperactivation of BRAF, due to mutation, is directly responsible for p38 activation and subsequent ER stress induction. Moreover, the IRE1/TRAF2/ASK1/JNK branch of the unfolded protein response together with the TRB3 axis are key to ER stress-mediated autophagy induction observed in BRAF mutated melanoma cells. While JNK mediates the phosphorylation of both Bcl-X<sub>L</sub> and Bcl-2, responsible for Beclin 1 release and autophagy induction, TRB3, in turn, mediates Akt/mTORC1 inhibition and, thus, autophagy induction.

Collectively these data demonstrate that oncogenic mutations in BRAF impose a chronic ER stress status within melanoma cells, resulting in enhanced basal levels of autophagy and that the increased activity of these two pro-survival signalling mechanisms contributes to the notorious chemoresistance of such tumour (schematically represented in Fig 7H). Inhibiting ER stress in BRAF mutant melanomas may thus represent a novel and valuable therapeutic strategy through which to inhibit both pro-survival ER stress and autophagy, and re-sensitize these tumours to chemotherapeutic intervention. Perhaps this approach may also overcome the potential for tumour recurrence in patients treated with autophagy inhibitors given autophagy inhibition per se may drive secondary tumourigenesis. To our knowledge chemical chaperones are, at present, the only available molecules able to effectively reduce ER stress both in vitro and in vivo <sup>44</sup> and since in the present study prolonged 4-PBA treatment of BRAF mutated melanoma cells resulted in the reduced expression of both markers of ER stress and basal autophagy as well as increased sensitivity to pro-apoptotic drugs, the clinical use of chemical chaperones may thus harness ER stress and autophagy modulation for the therapeutic benefit of patients bearing BRAF <sup>V600E</sup> tumours.

Recent studies by Ma and colleagues identified ER stress and autophagy induction as a mechanism mediating resistance to BRAF inhibitor therapy in patients bearing BRAF<sup>V600E</sup> mutant melanomas suggesting a direct link between these two pathways <sup>34</sup>. Our data thus complement the studies of Ma and colleagues and provide additional novel molecular insight into how oncogenic BRAF leads to a chronic ER stress state and the promotion of basal autophagy. Moreover given observations of ER

chemical chaperone mediated resensitization of BRAF<sup>V600E</sup> melanoma cells to a panel of proapoptotic drugs, able to activate different apoptotic pathways, the use of drugs able to harness ER stress or, alternatively, to target the molecular pathways linking BRAF<sup>V600E</sup>-induced ER stress and basal autophagy (such as the IRE1/TRAF2/ASK1/JNK and the TRB3 axes) for the clinical benefit of such tumours is warranted. Observations demonstrating chloroquine/hydroxychloroquine can reduce tumour immunogenicity <sup>48</sup>, and accelerate tumour formation <sup>49</sup>, have questioned the benefit of autophagy inhibition in cancer therapy, and hence our novel proposed therapeutic approaches (ER stress buffering or JNK and TRB3 axes targeting) may also increase the sensitivity of BRAF mutant melanomas to clinical BRAF inhibition.

# Materials and Methods

#### Cell culture and treatments

All cell lines were cultured in DMEM (Sigma-Aldrich) except for A2058 that were cultured in RPMI (Sigma-Aldrich). Cell culture medium was supplemented with 10% foetal bovine serum (FBS, Sigma-Aldrich), 2 mM L-glutamine (Sigma-Aldrich), 1% penicillin/streptomycin solution (Sigma-Aldrich) at 37°C under 5% CO2, except medium. Primary melanocytes have been previously described <sup>10</sup>. Cells were treated with thapsigargin (Sigma-Aldrich) at a final concentration of 10  $\mu$ g/ml, staurosporine (Sigma-Aldrich), 2  $\mu$ M, doxorubicine (Sigma-Aldrich), 10  $\mu$ M, Bafilomycin A (Sigma-Aldrich), 5 nM, 4-PBA (Sigma-Aldrich), 3 mM, SP600125 (Sigma-Aldrich), or 10  $\mu$ M, SB202190 (Sigma-Aldrich). All agents were added in DMSO or methanol with an equal volume of vehicle used to treat control cells (0.1-0.5% DMSO or Methanol).

#### **Retroviral generation and Infection**

15  $\mu$ g of retroviral vectors were co-transfected with 5 $\mu$ g of expression plasmid for the vesicular stomatitis virus G protein into the 293 cell line gp/bsr using calcium phosphate<sup>50</sup> 48 h later, supernatant containing retroviral particles was recovered and supplemented with polybrene (4mg/ml). Cells were infected by incubation with retroviral containing supernatant for 6–8 h as previously described <sup>50</sup>.

# Lentiviral generation and infection

10  $\mu$ g of lentiviral vectors (shRNA-pLKO) were co-transfected with 2,5  $\mu$ g of an expression plasmid for the vesicular stomatitis virus G protein and psPAX2 plasmid, containing *gag*, *pol* and *rev* genes, into the 293T packaging cell line using calcium phosphate as outlined above. 48 h later, the supernatant containing the lentiviral particles was recovered and supplemented with polybrene (4  $\mu$ g/ml). Cells were infected by incubation with lentiviral containing supernatant for 6–8 h.

# **Cell transfection**

Expression vectors for BRAF<sup>V600E</sup> mutant<sup>12</sup>, GFP or pcDNA4 (Invitrogen), wt/mutant Bcl-2 <sup>39</sup>, JNK-DN <sup>51</sup> and p38-DN <sup>52</sup> (Addgene plasmids 8768, 13340, 13846, 20356, respectively) vectors were transiently transfected using lipofectamine LTX, accordingly to manufacturer's recommendations.

# PCR for XBP-1 splicing

The human XBP-1 sequence was amplified by PCR using the primer pair AAACAGAGTAGCAGCTCAGACTGC and CCTTCTGGGTAGACCTCTGGGAG as previously described <sup>33</sup>.

# qRT-PCR

RNA was extracted by using Trizol reagent (Invitrogen) as indicated by the supplier. cDNA synthesis was generated using a reverse transcription kit (Promega, Madison, WI, USA) according to the manufacturer's recommendations. Quantitative PCR reactions were performed with the Rotor-Gene 6000 (Corbett Research Ltd) thermocycler. Primer sets for all amplicons were designed using the Primer-Express 1.0 software system (Roche):

L34 forward: 5'-GTCCCGAACCCCTGGTAATAGA-3'

L34 reverse: 5'-GGCCCTGCTGACATGTTTCTT-3'

ERp57 forward: 5'-TGTGGCATCACGCAGTTTCA-3'

ERp57 reverse: 5'-GGGAAGTTAAAGGGCCACACC-3'

ATF4 forward: 5'-GTGGCCAAGCACTTCAAACC-3'

ATF4 reverse: 5'-CCCGGAGAAGGCATCCTC-3'

ERdj5 forward: 5'-TCATGTTACCACGCTTGGACC-3'

ERdj5 reverse: 5'-GTAAAGCTCGACATGGTGGACAC-3'

Xbp-I (spliced) forward: 5'-GAATGAAGTGAGGCCAGTG-3'

Xbp-I (spliced) reverse: 5'-GAGTCAATACCGCCAGAATC-3'

IRE1 forward: 5'-GAGACCCTGCGCTATCTGACC-3'

IRE1 reverse: 5'-CAGAGTGGCGTCAGCTTG-3'

TRB3 forward: 5'-TCAAGCTGTGTCGCTTTGTCTTCG-3'

TRB3 reverse: 5'-TGCTTGTCCCACAGGGAATCATCT-3'

L34 mRNA level was used as an internal control and results were expressed as previously described 50

# Western blotting

Total proteins were extracted from cells using the Cell Lytic buffer (Sigma-Aldrich) following addition of protease inhibitors and resolved by electrophoresis through NuPAGE Bis-Tris gel (Invitrogen) and electroblotted onto nitrocellulose (Protran) membrane. Blots were incubated with indicated primary antibodies in 5% non-fat dry milk in PBS plus 0.1% Tween20 overnight at 4°C. Primary antibodies were: anti-Beclin1 (1:500; Becton-Dickinson); anti-Gapdh (1:10<sup>6</sup>;Calbiochem); anti-Flag (1:3000; Sigma-Aldrich), BRAF (1:500), CLX (1:500), TRAF2 (1:300) (Santa Cruz Biotechnology); LC3 (1:2000), ERK (1:2000), P-ERK (1:500), eIF2 (1:1000), P-eIF2 (1:500), JNK (1:1000), P-JNK (1:500), p38 (1:500), P-p38 (1:500) (Cell Signaling Technology); ERp57 (1:5000, Stressgen), Bcl-XL (1:300; Enzo Life Sciences), Bcl-2 (1:500; DAKO). Detection was achieved using horseradish peroxidase-conjugate secondary antibody (1:5000; Jackson ImmunoResearch) and visualized with ECL plus (Amersham Biosciences).

# Velocity sedimentation by sucrose gradient

Cells were suspended in buffer containing 0.25 M sucrose, 10 mM Hepes, and 1 mM EDTA and protease cocktail inhibitors and homogenized (Sigma-Aldrich) by 100 strokes in a dounce potter homogenizer and centrifuged for 10 min at 600g to obtain a post-nuclear supernatant. The post-

nuclear supernatant was re-centrifuged for 15 min at 11,000g to obtain a post-mitochondrial supernatant. The post-mitochondrial supernatant was layered onto a discontinuous four-step gradient consisting of 2 ml each of 2.0 M, 1.3 M, 1.0 M, and 0.6 M sucrose in 10 mM Hepes. Centrifugation was performed using a rotor (SW41 Ti; Beckman Coulter) at 27,000 g for 18 h, and 0.4-ml fractions were manually collected and checked for density.

#### **IP** assay

Cells were suspended in lyses buffer (10 mM Tris-HCl, pH 8.0, 0.5% NP40,) plus protease and phosphatase inhibitors (protease inhibitor cocktail plus 1 mM sodium fluoride, 1 mM sodium orthovanadate, and 1 mM sodium molibdate; Sigma-Aldrich). 1–3 mg lysates was incubated at 4°C for 30 min. After a centrifugation at 4°C for 10 min at 13,000g to remove insoluble debris, equal amounts of protein were incubated with 20 µl monoclonal anti-Flag antibody conjugated with protein A agarose beads (Takara and Sigma-Aldrich, respectively) with rotation at 4°C for 4 h followed by 60-min incubation with 30 µl protein A–Sepharose beads (Roche). The beads were collected by centrifugation and washed four times with lyses buffer. Proteins bound to the beads were eluted with 30 µl FLAG-peptide (200 ng/l, Sigma-Aldrich) with rotation at 4°C for 1 h followed by a centrifugation at 4°C for 10 min at 13,000g to remove beads. A 2x SDS-PAGE sample buffer was added and samples were boiled at 95°C for 10 min. WB analyses were performed as described above.

# **Apoptosis analysis**

Flow cytometry of propidium iodide-stained cells was used to estimate the level of cell death or apoptosis by measuring the percentage of cells in the sub-G1 fraction <sup>33</sup>.

### **Confocal microscopy**

Cells were grown on coverslips and fixed with 4% PFA in PBS followed by permeabilization with 0.1% Triton X-100 in PBS. p62 primary antibody (1:500; MBL) was incubated for 1 h at RT and visualized by means of AlexaFluor-488 (1:300, Invitrogen). Coverslips were mounted in antifade (SlowFade; Invitrogen) and examined under a confocal microscope (TCS SP2; Leica) equipped with a 63x 1.40–0.60 NA HCX Plan Apo oil BL objective at RT.

# Autophagy assay

Cells infected with a retroviral vector encoding p62-GFP were seeded at a concentration of  $25 \times 10^4$  cells/well in 6-well plates and treated as indicated. Cells were fixed in 4% PFA and fluorescence analysed by FACS analysis (FacsScan, Becton-Dickinson).

For immunodetection of p62 puncta, cells were grown on coverslips and fixed with 4% PFA in PBS, washed three times, and incubated with anti-p62 antibody, as described above, prior to analysis confocal microscopy. Results were recorded as percentage of p62–positive cells with p62 punctate as previously described <sup>53</sup>. A minimum of 50–100 cells per sample was counted for triplicate samples per condition per experiment.

# **Densitometric analysis**

In order to measure protein expression levels, intensities of specific bands, corresponding to the proteins of interest are measured using Gel Doc 2000 and QuantyOne software (BioRad). Briefly, blots were acquired using the Gel Doc 2000 apparatus; images were imported into the QuantyOne software; contrast was adjusted such that the bands were clearly visible on the blot image; area around each band was selected; background intensity was subtracted from the blot image; bands were then selected by drawing a tight boundary around them; intensities of the selected bands was exported in excel format which was used to perform further analysis; statistical analysis (t-test) was performed using Prism 5 software (GraphPad).

# Statistical analysis

Unless indicated otherwise, all data are presented as the means  $\pm$  SD of the mean, and statistical differences were evaluated by two-tailed Student's t-tests. For all analyses, we considered p<0.05 to be statistically significant.

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# Author Contribution

MC conceived the project, designed and performed experiments and analysed the data.

FR, FC, PG, MA and BC performed experiments.

GMF, PEL and MP contributed to the study and experimental design as well as data analysis.

This manuscript was written by MC, PEL, GMF and MP.

All authors reviewed the manuscript.

# **Conflicts of Interest**

The authors declare no conflict of interest

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# Figure Legend

Figure 1. Enhanced basal autophagy and less apoptotic responsiveness of BRAF<sup>V600E</sup> melanoma cells. BRAF wt (CHL-1) and BRAF<sup>V600E</sup> (A375) melanoma cells were exposed 3 and 6 h (A) to bafilomycin A (Baf) and basal autophagy was evaluated by monitoring both the LC3 conversion by western blotting analysis (A; Gapdh was used as loading control) and p62 accumulation by immunofluorescence (B; bar = 10µm). Basal autophagy was also evaluated in a panel of BRAF<sup>WT</sup> or BRAF<sup>V600E</sup> melanoma cell lines, in presence or absence of Baf (4h), by monitoring LC3 conversion by western blotting analysis (C, left panels; Gapdh was used as loading control). Densitometric analysis of LC3-II bands is shown in each cell lines, comparing treated to untreated cells (with Baf); mean  $\pm$  s.d. of LC3-II bands in BRAF<sup>WT</sup> and BRAF<sup>V600E</sup> cell lines is also reported (C, right panel). Autophagic flux was quantitated in all melanoma cell lines stably expressing a p62-GFP recombinant protein, treated with Baf in a time-dependent manner, by cytofluorimetric analysis (D; n = 3). Apoptosis induction was evaluated by cytofluorimetric analysis of propidium iodide-stained CHL-1 and A375 cells exposed 24h to thapsigargin (TG, 10µg/ml; E; n = 3; p = 0.004).

**Figure 2. BRAF induces a chronic ER stress status.** (A) BRAF-mediated ER stress was evaluated in both BRAF<sup>WT</sup> and BRAF<sup>V600E</sup> melanoma cell lines by measuring the mRNA levels of ER stress markers ERdj5, ERp57, ATF4 and Xbp-1 (spliced, mature form) by qRT-PCR (n = 3). BRAF<sup>V600E</sup> and GFP were transduced in BRAF wt SK-Mell-110 melanoma cells. BRAF and P-ERK1/2 (ERK1/2 was used as loading control) protein levels were evaluated by western blotting analysis (C). ER stress status was monitored in GFP or BRAF<sup>V600E</sup> expressing cells comparing: (B) mRNA levels of ERp57, ATF4, ERdj5 and Xbp-1 (spliced, mature form) by qRT-PCR (n = 3); (D) protein level of Calnexin (CLX), ERp57 and eIF2a-P (eIF2a was used as loading control) by western blotting analysis; and (E) Xbp-1 mRNA splicing by RT-PCR.

**Figure 3. BRAF**<sup>V600E</sup> **expression and basal autophagy.** BRAF<sup>V600E</sup> and GFP or empty vector (E. V.) were transduced in BRAF wt SK-Mell-110 melanoma cells. Cell were incubated with bafilomycin A as indicated and basal autophagy was evaluated by both confocal analysis of p62 puncta (A; bar =  $10\mu$ m) or LC3 conversion by western blotting (B; Gapdh was used as loading control).

**Figure 4. Autophagy modulation by the IRE1/TRAF2/JNK axis.** JNK activation (P-JNK) was evaluated in primary melanocytes and in a panel of BRAF<sup>WT</sup> or BRAF<sup>V600E</sup> melanoma cell lines (A), or in SK-Mell-110 expressing GFP or BRAF<sup>V600E</sup> (C) cells by western blotting analysis (JNK was used as loading control). The recruitment of TRAF2 by activated IRE1 on ER was monitored by western blotting analysis of subcellular fractions from CHL-1 and A375 (B) or SK-Mell-110 expressing GFP or BRAF<sup>V600E</sup> (D) cells, by using specific anti-TRAF2 and anti-CLX antibodies; arrows have been used to highlight the different distribution of TRAF2 onto ER membranes, between compared cell lines. (E) A375 cells were infected with indicated shIRE1 or shCtrl carrying lentiviruses and IRE1 levels were evaluated by qRT-PCR (right panel; p = 0.0005; n = 3); P-JNK was evaluated by western blotting analysis (JNK was used as loading control; upper panel) and LC3 conversion was monitored by western blotting analysis in presence or absence of bafilomycin (Baf, 3h; Gapdh was used as loading control; bottom panel).

**Figure 5. JNK and basal autophagy.** A375 cells were exposed to SP600125 and JNK activation (P-JNK) was evaluated by western blotting analysis (A; JNK was used as loading control). A375 cells expressing a p62-GFP recombinant protein were treated or untreated with bafilomycin A (Baf, 4h) and SP600125 (6h) alone or in combination, and the occurrence of autophagy was analysed by measuring p62-GFP levels by citofluorimetric analysis (B; n = 3), LC3 conversion by western

blotting analysis (C; Gapdh was used as loading control), and by evaluating the presence of p62-GFP cytosolic puncta by confocal analysis (D; bar =  $10\mu m$ ).

A Flag-tagged JNK dominant negative (JNK-DN) was ectopically expressed in A375 cells by transient transfection and expression levels of JNK-DN protein and LC3 conversion and accumulation were evaluated in presence or absence of Baf, by western blotting (E). GFP or BRAFV<sup>600E</sup> expressing SK-Mel-110 cells were transiently transfected with expression plasmids encoding Flag-tagged Beclin 1 and protein extracts were subjected to IP using an anti-Flag antibody. Purified complexes were analysed together with the corresponding total extracts by WB using anti-Flag (F, top), anti-Bcl-X<sub>L</sub> (F, middle) and anti-Mcl-1 (bottom) antibodies. A375 cells were transiently transfected with expression plasmids encoding wild type or a T69A/S70A/S87A mutant Bcl-2. Cells were treated or untreated with bafilomycin, as indicated, and total Bcl-2 protein expression together with LC3 conversion were evaluated by western blotting analysis (G; Gapdh was used as loading control).

**Figure 6. Modulation of TRB3 expression by BRAF.** TRB3 expression was evaluated in CHL-1, A375 and SK-Mel-110 expressing GFP or BRAF<sup>V600E</sup> cells, by qRT-PCR (A) and western blotting (B). A375 cells were transiently transfected with specific siRNA oligos and TRB3 down-regulation was evaluated by both qRT-PCR and western blotting (C). Cells were then treated or untreated with bafilomycin and LC3 conversion or p62 puncta accumulation were evaluated by western blotting (D; Gapdh was used as loading control) or confocal (E) analysis, respectively. Cells were also treated in presence or absence of both bafilomycin and SP600125 as indicated, and autophagic rate was evaluated by measuring the LC3 conversion by western blotting (F; Gapdh was used as loading control) or by p62 puncta accumulation by confocal microscopy (E; bar = 10  $\mu$ m). A375 expressing a p62-GFP recombinant protein were transiently transfected with specific siRNA oligos (siCtrl or siTRB3), treated as in B and autophagic flux was evaluated by measuring the levels of p62-GFP by flow cytometry (G; \*p = 0.0002; \*\*p = 0.003; n = 3).

Figure 7. p38 activation and ER stress induction in BRAF mutated cells. p38 activation (Pp38) was evaluated by western blotting analysis in both CHL-1 and A375 (A, upper panel; p38 was used as loading control). A375 cells were treated or untreated with SB202190 inhibitor as indicated and JNK activation (P-JNK), Calnexin (CLX) and ERp57 expression were evaluated by western blotting analysis (A, bottom panel, and B; JNK or Gapdh were used as loading control). LC3 conversion was evaluated in A375 exposed to SB202190 (8h) in presence or absence of bafilomycin A by western blotting analysis (C; Gapdh was used as loading control). A375 were transiently transfected with specific siRNA oligos and p38, P-JNK, TRB3 and p62 protein levels were evaluated by western blotting analysis (D; Gapdh was used as loading control). The expression of TRB3 and ER stress markers (ATF4, ERp57), and LC3 conversion were evaluated in SK-Mel-110 BRAF<sup>V600E</sup> cells continuously exposed to 4-PBA (3 mM), by qRT-PCR or western blotting (E and F; Gapdh was used as loading control; \*p = 0.012; \*\*p = 0.025; \*\*\*p = 0.002; n = 3), in presence or absence of Bafilomycin A, as indicated. Apoptotic rates were compared in SK-Mel-110 BRAF<sup>V600E</sup> cells in presence or absence of 4-PBA, treated or untreated 24h with thapsigargin (TG), staurosporine (STS) or doxurubicine (DoxR), by cytofluorimetric analysis of PI-stained cells (G). Schematic representation of BRAF induced ER stress and basal autophagy modulation (H).



A











Ε





D



С

Ε



SK-Mel-110





BRAF<sup>V600E</sup>

SK-Mel-110





В

A



В

D

G-361

P-JNK

JNK











С

A







A









A375

Ε

С





SP600125







В

D







Figure 6















G

Η

CHL-1

A375

P-p38

F



**TRAF2 relocalization onto ER membranes in BRAFV600E melanoma cell lines.** Recruitment of TRAF2 by activated IRE1 on ER was monitored by western blotting analysis of subcellular fractions from BRAF wild-type (MeWo) or BRAF V600E (A2058 and SK-Mel-5) melanoma cell lines, by using specific anti-TRAF2 and anti-CLX antibodies; fractions showing different distribution of TRAF2 onto ER membranes in compared cell lines have been highlight.



**Oncogenic BRAF-dependent JNK activation and basal autophagy modulation.** (A) A2058 and SK-Mel-5 cells were exposed to SP600125 and JNK activation (P-JNK) was evaluated in presence or absence of Baf (Gapdh was used as loading control); (B) A Flag-tagged JNK dominant negative (JNK-DN) was ectopically expressed in A2058 cells by transient transfection and expression levels of JNK-DN and p62 protein, and LC3 conversion and accumulation were evaluated in presence or absence of Baf, by western blotting analysis (Gapdh was used as loading control).



**TRB3 expression in melanoma cell.** The expression of TRB3 has been evaluated in an extended panel of human skin melanoma cell lines carrying BRAF wt or V600E oncogenic mutation, by qRT-PCR.



**Oncogenic BRAF-induced p38-mediated ER stress and enhanced basal autophagy.** (A) Activation of p38 (P-p38) was evaluated in both BRAF wt and V600E melanoma cell lines by western blotting analysis. A densitometric analysis has been carried out, using total p38 as internal control and values have been highlighted; a plot with mean ± s.d. of P-p38 levels of all BRAF wild-type and mutated melanoma cells is shown in B. (C) A375 cells were transiently transfected with a p38 dominant negative (p38-DN) or an empty vector (E.V.) and p38 expression or LC3 conversion was evaluated by western blotting, in presence or absence of Baf (4h) as indicated (Gapdh was used as loading control). (D) Autophagic flux was also quantitated in all melanoma cell lines expressing a p62-GFP recombinant protein, in presence or absence of SB202190 (8h) alone or in combination with Baf (6h), by cytofluorimetric analysis. A375 cells were infected with indicated shp38 or shCtrl carrying lentiviruses and ERp57, ERdj5 (E) or TRB3 (F) expression levels were evaluated by qRT-PCR. (G) p38 expression was inhibited in SK-Mel-5 cells using shRNA (lentiviral infection) and p38 (right panel) and TRB3 (middle panel) expression was evaluated by qRT-PCR, and P-JNK level was evaluated by WB (left panel).

# Supplementary Figure S4

# Supplementary Table 1

Name	<b>BRAF status</b>	Mutation
A2058	mutant	V600E
A375	mutant	V600E
CHL-1	wild type	/
G-361	mutant	V600E
MeWo	wild type	/
SK-Mel-5	mutant	V600E
SK-Mel-28	mutant	V600E
SK-Mel-110	wild type	/

# Human skin melanoma cell line genetic alterations.