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# The mean state and variability of the North Atlantic circulation: a perspective from ocean reanalyses

L C Jackson<sup>1</sup>, C Dubois<sup>2,3</sup>, G Forget<sup>4</sup>, K Haines<sup>5</sup>, M Harrison<sup>6</sup>, D Iovino<sup>7</sup>, A Köhl<sup>8</sup>, D Mignac<sup>9</sup>, S Masina<sup>7</sup>, K A Peterson<sup>1,10</sup>, C G Piecuch<sup>11</sup>, C Roberts<sup>12</sup>, J Robson<sup>13</sup>, A Storto<sup>7,14</sup>, T Toyoda<sup>15</sup>, M Valdivieso<sup>9</sup>, C Wilson<sup>16</sup>, Y Wang<sup>17</sup>, H Zuo<sup>12</sup>

<sup>1</sup>Met Office Hadley Centre, UK

<sup>2</sup>Mercator Ocean International, France

<sup>3</sup>Météo France, France

<sup>4</sup>MIT, USA

<sup>5</sup>Department of Meteorology and National Centre for Earth Observation, University of Reading, UK

<sup>6</sup>GFDL, USA

<sup>7</sup>Foundation Euro-Mediterranean Centre on Climate Change, Italy

<sup>8</sup>Institute of Oceanography, University of Hamburg, Germany

<sup>9</sup>Department of Meteorology, University of Reading, UK

<sup>10</sup>Environmental Numerical Research Section, Environment and Climate Change Canada, Canada

<sup>11</sup>Woods Hole Oceanographic Institution, USA

<sup>12</sup>The European Centre for Medium-Range Weather Forecasts, UK

<sup>13</sup>National Centre for Atmospheric Science, Department of Meteorology, University of Reading, UK

<sup>14</sup>NATO STO Centre for Maritime Research and Experimentation, Italy

<sup>15</sup>Meteorological Research Institute, Japan Meteorological Agency, Japan

<sup>16</sup>National Oceanography Centre, Liverpool, UK

<sup>17</sup>Nansen Environmental and Remote Sensing Centre/Bjerknes Center for Climate Research, Norway

## Key Points:

- Ocean reanalyses are potentially useful tools for understanding ocean circulation.
- Some consistency among reanalyses in interannual and decadal variability of the circulation.
- Improvements in some aspects of the ocean circulation as the observational coverage has improved.

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Corresponding author: Laura Jackson, [laura.jackson@metoffice.gov.uk](mailto:laura.jackson@metoffice.gov.uk)

**Abstract**

The observational network around the North Atlantic has improved significantly over the last few decades with subsurface profiling floats and satellite observations, and the recent efforts to monitor the Atlantic Meridional Overturning Circulation (AMOC). These have shown decadal timescale changes across the North Atlantic including in heat content, heat transport and the circulation. However there are still significant gaps in the observational coverage. Ocean reanalyses integrate the observations with a dynamically consistent ocean model and can be used to understand the observed changes. However the ability of the reanalyses to represent the dynamics must also be assessed.

We use an ensemble of global ocean reanalyses to examine the time mean state and interannual-decadal variability of the North Atlantic ocean since 1993. We assess how well the reanalyses are able to capture processes and whether any understanding can be gained. In particular we examine aspects of the circulation including convection, AMOC and gyre strengths, and transports. We find that reanalyses show some consistency, in particular showing a weakening of the subpolar gyre and AMOC at 50°N from the mid-90s until at least 2009 (related to decadal variability in previous studies), a strengthening and then weakening of the AMOC at 26.5°N since 2000, and impacts of circulation changes on transports. These results agree with model studies and the AMOC observations at 26.5°N since 2005. We also see less spread across the ensemble in AMOC strength and mixed layer depth, suggesting improvements as the observational coverage has improved.

**Plain language summary**

The observational network around the North Atlantic has improved significantly over the last few decades revealing changes over decadal timescales in the North Atlantic, including in heat content, heat transport and the circulation. However there are still significant gaps in the observational coverage. Ocean reanalyses fill in these gaps by combining the observations with a computer model of the ocean to give consistent estimates of the ocean state. These reanalyses are potentially useful tools that can be used to understand the observed changes, however their skill must also be assessed.

We use an ensemble of global ocean reanalyses in order to examine the mean state and variability of the North Atlantic ocean since 1993. In particular we examine the con-

vection, the circulation, transports of heat and fresh water and temperature and salinity changes. We find that reanalyses show some consistency in their results, suggesting that they may be useful for understanding circulation changes in regions and times where there are no observations. We also show improvements in some aspects of the ocean circulation as the observational coverage has improved. This highlights the importance of continuing observational campaigns.

## 1 Introduction

Although the North Atlantic has warmed since preindustrial times (Collins et al., 2013), it has also exhibited large variability on different timescales, particularly of upper ocean temperatures (Sutton et al., 2018; Knight et al., 2005). This variability has been shown to have wide-ranging impacts, for instance on precipitation in Europe (Sutton & Dong, 2012), the North Atlantic storm track (Peings & Magnusdottir, 2014), monsoons, and hurricane frequency (R. Zhang & Delworth, 2006; Smith et al., 2010). As well as decadal and multi-decadal variability, there has also been significant interannual variability, such as significant cooling of the subtropics in 2010 and the recent cooling of the subpolar gyre (Cunningham et al., 2013; Grist et al., 2016). These sea surface temperature anomalies can influence the weather and climate over Europe (Josey et al., 2018), in particular through influencing the winter North Atlantic Oscillation (Cassou et al., 2007), summer precipitation (Dunstone et al., 2018) and potentially heat waves (Duchez et al., 2016). Increasing observational coverage over the last few decades, particularly with satellite measurements of sea level and sea surface temperatures (SST), and the Argo network providing temperature and salinity profiles, has revealed large changes in ocean properties and generated a need to understand the processes driving the changes (Robson et al., 2018; von Schuckmann & et al, 2018).

In the subpolar gyre a warming was observed in the late 1990s, and several model-based studies have now attributed this warming to increased northwards heat transport due to a strong Atlantic Meridional Overturning Circulation (AMOC) (Robson et al., 2012; Williams et al., 2014; Yeager & Danabasoglu, 2014), while some reanalysis studies (Yang et al., 2016; Piecuch et al., 2017) suggest that changes in gyre advection were important as well. Although we do not have direct measurements of the strength of the AMOC during this period, model experiments generally agree that the AMOC in the subpolar region was strong in the mid 90s and weakened over the following decade (Robson

93 et al., 2012; Danabasoglu et al., 2016). Similarly the subpolar gyre (SPG) strength was  
94 found to be strong in the mid 90s and then weakened, in agreement with proxies for SPG  
95 strength based on altimeter data (Häkkinen & Rhines, 2004). Studies have linked the  
96 strong AMOC and SPG circulations in the mid 1990s to increased densities in the Labrador  
97 Seas caused by buoyancy forcing during a persistently positive phase of the North At-  
98 lantic Oscillation (NAO) in the preceding years (Eden & Willebrand, 2001; Deshayes &  
99 Frankignoul, 2008; Lohmann et al., 2009; Robson et al., 2012; Yeager & Danabasoglu,  
100 2014; Yang et al., 2016). However recent observations have suggested that the AMOC  
101 could be more influenced by water mass transformations to the east of Greenland (Lozier  
102 et al., 2019). More recently the warming and salinification of the subpolar region has re-  
103 versed to a cooling and freshening, consistent with weakening heat and salt transports  
104 (Robson et al., 2016; Hermanson et al., 2014), although there is also strong evidence that  
105 the more extreme cooling seen in 2014 was caused by anomalous surface heat fluxes (Grist  
106 et al., 2016; Josey et al., 2018). This cooling has resulted in an increase in density in the  
107 Labrador Seas, with an associated increase in deep convection (Yashayaev & Loder, 2017).

108 In the subtropics the variability has been markedly different with interannual vari-  
109 ability superimposed on a more gradual warming trend (Robson et al., 2018; Williams  
110 et al., 2014). The AMOC at 26.5°N has been monitored since 2004 by the RAPID-MOCHA  
111 array (McCarthy et al., 2015) revealing interannual variability including a large, tem-  
112 porary weakening in winter 2009-2010, believed to be wind-driven (McCarthy et al., 2012;  
113 C. D. Roberts et al., 2013a; Evans et al., 2017) that caused a cooling of the subtropics  
114 (Cunningham et al., 2013). The AMOC strength has also weakened since 2004, and has  
115 been found to be in a weaker state since 2008 (Smeed et al., 2018). Although there have  
116 been suggestions of a longer term (centennial) weakening (Caesar et al., 2018; Thornal-  
117 ley et al., 2018), there is some evidence that the observed decadal weakening is due to  
118 decadal variability (Jackson et al., 2016). Prior to 2004 there were only intermittent mea-  
119 surements of AMOC strength. Although modeling studies mostly agree that the AMOC  
120 in the subpolar gyre was strong in the mid 90s and then weakened, there is more dis-  
121 agreement amongst models about the changes in the subtropical gyre (Danabasoglu et  
122 al., 2016). Jackson et al. (2016), using an ocean reanalysis that agreed well with the RAPID  
123 observations, suggested that the AMOC at 26.5°N increased over the decade up to 2004  
124 and then weakened after as a lagged response to the weakening of the subpolar AMOC  
125 and Labrador Sea densities during the previous decade. Previous model-based studies

126 have also shown a lagged relationship between the subpolar and subtropical AMOC (Yeager  
127 & Danabasoglu, 2014), and a relationship of the AMOC with densities in the Labrador  
128 Sea (Robson et al., 2014).

129 A greater understanding of these processes can help to separate natural variabil-  
130 ity from anthropogenic change. It is also fundamental to our ability to make predictions  
131 on interannual to centennial timescales. However observations are still limited, partic-  
132 ularly when it comes to transports and process-related quantities such as convection. Ocean  
133 and climate models are useful tools in studying such processes, however they suffer from  
134 biases and can show a wide range of timescales and driving processes of variability. One  
135 tool that has been less used so far is the ocean reanalysis. Reanalyses are ocean mod-  
136 els that are forced by meteorological boundary conditions from atmospheric reanalyses  
137 and assimilate observations such as in situ temperature and salinity, SST, sea level anoma-  
138 lies and sea ice concentration (Storto et al., 2019). As such, they integrate the observa-  
139 tions within a dynamically consistent ocean model, although the assimilation itself can  
140 alter the dynamics. Reanalyses differ with regard to the types of observations assimi-  
141 lated, the method of assimilation, the surface forcing, and of course the ocean model used  
142 (Balmaseda et al., 2015), with those designed to cover the satellite period able to use more  
143 observational types than those covering longer periods. An advantage of reanalyses as  
144 compared to other data products is that they can provide transports, and other prop-  
145 erties, that can be hard to measure continuously. However care must be taken that the  
146 reanalysis is sufficiently constrained by the observations in the region of interest, and that  
147 the constraints themselves do not adversely affect the processes involved creating spu-  
148 rious results (Storto et al., 2019). Multimodel ensembles can help interpretation by pro-  
149 viding a range of possible behaviors (Masina et al., 2017; Storto et al., 2018). There is  
150 also temporal variability in the type and number of observations assimilated, so users  
151 must be aware that the quality of the reanalysis for a particular purpose could change  
152 in time.

153 The ORA (Ocean Reanalysis) Intercomparison Project was initiated under CLI-  
154 VAR GSOP and GODAE-Oceanview and has produced a series of papers examining global  
155 ocean reanalyses and focusing on different aspects of the ocean state (e.g. steric sea level,  
156 air-sea fluxes, ocean heat and salt content among others). These were then brought to-  
157 gether in a special issue of *Climate Dynamics* (Balmaseda et al., 2015; Toyoda et al., 2017a,  
158 2017b; Chevallier et al., 2017; Tietsche et al., 2017; Karspeck et al., 2017; Shi et al., 2017;

159 Valdivieso et al., 2017; Palmer et al., 2017; Masina et al., 2017; Storto et al., 2017). A  
160 further paper on the polar oceans was later added (Uotila et al., 2018). Most of these  
161 papers focused on consistency of the mean states amongst reanalyses although several  
162 also looked at diagnostics of variability. Palmer et al. (2017) showed many reanalyses  
163 had consistent ocean heat content (OHC) trends as a function of depth, and that a sig-  
164 nificant component of recent OHC increase was below 700m depth. The North Atlantic  
165 was seen to be an area of substantial agreement in upper OHC trends, consistent with  
166 this being a better observed region. However there have been substantial disagreements  
167 shown across reanalyses: Karspeck et al. (2017) looked at the AMOC in long reanaly-  
168 ses starting before 1960, and found disagreement in AMOC variability and strength in  
169 these early, observation-sparse periods.

170 This study advances beyond many previous ORA studies in presenting a more pro-  
171 cess oriented approach aimed at understanding differences and similarities. We focus on  
172 the dynamics of the North Atlantic since 1993, which is when satellite altimetry data  
173 (e.g. see Forget and Ponte (2015)) became routinely available and vastly increased the  
174 observations that could be assimilated in a reanalysis. Over this period the increase in  
175 observations has also revealed changes in temperature and salinity in the North Atlantic,  
176 along with changes in circulation patterns both observed and inferred. The aim of this  
177 study is to examine the climatology and inter-annual to decadal changes of the North  
178 Atlantic ocean in a multi-model ensemble of global ocean reanalyses. In particular we  
179 ask: Where is there agreement or disagreement across reanalyses? Can we learn what  
180 makes reanalyses good at specific processes? Can these reanalyses improve our under-  
181 standing of the dynamics in the North Atlantic ocean?

182 Section 2 describes the reanalyses used. We then discuss the climatologies of the  
183 products in section 3 and the changes seen in section 4. Section 5 provides a discussion  
184 and summary. We also list acronyms used in Table 1.

## 185 **2 Models and methods**

### 186 **2.1 Reanalyses**

187 In this study, we have analyzed data from eleven ORA products (C-GLORSv7, ECCO  
188 V4 R3, ECDA3, GECCO2, GLORYS2v4, GLORYS12v1, GloSea5, GONDOLA100A, NorCPM-  
189 v1, ORAS5 and UR025.4) in the North Atlantic (Table 2). It should also be noted that



190 6 of the reanalyses use the NEMO ocean model and 5 of these use the same resolution  
191 (0.25°). The latest addition to this set of NEMO reanalyses is the higher resolution (1/12°)  
192 GLORYS12v1 reanalysis that has been included in this study. Although these reanal-  
193 yses use very similar models and assimilated data, they do differ in the assimilation tech-  
194 niques used, and there are still many interesting differences in the results (Storto et al.,  
195 2018). The other products however cover a wide range of model systems, resolutions, and  
196 data assimilation approaches. ECCO V4 R3 and GECCO2 use a 4DVar assimilation scheme  
197 which optimizes the solution through adjusting parameters (including surface fluxes, wind  
198 stresses, mixing parameters) rather than apply increments in temperature and salinity.  
199 The NorCPM-v1 reanalysis has a coupled atmospheric component and hence has quite  
200 different surface fluxes and wind stresses from the other reanalyses, which are forced by  
201 atmospheric reanalysis fields. In NorCPM-v1 there is no atmospheric constraint and as-  
202 similation is only carried on the ocean component (weakly coupled data assimilation).  
203 The adjustment in the other components (atmosphere, sea ice) occurs dynamically dur-  
204 ing the integration of the system. NorCPM-v1 is also an outlier in being the only reanal-  
205 ysis using anomaly rather than full field assimilation, hence its mean state is unconstrained  
206 by observations. We do include it in the analysis for completeness.

## 207 **2.2 Observational data**

208 Where appropriate we also compare the ensemble to observational estimates, al-  
209 though in some circumstances suitable observational estimates are not available. We in-  
210 clude temperatures, salinities and densities from the gridded observational analyses EN4  
211 (Good et al., 2013) and CORA (Cabanès et al., 2013). These use some of the same data  
212 as assimilated in the reanalyses (in particular subsurface temperature and salinity pro-  
213 files), however they use statistical techniques to infill missing data, rather than assim-  
214 ilation in a dynamical model. We also include AMOC volume and heat transports from  
215 the RAPID-MOCHA array (McCarthy et al., 2015; Smeed et al., 2017; Johns et al., 2011),  
216 volume transports from the new OSNAP array (Lozier et al., 2019) and various estimates  
217 of the meridional heat and freshwater transports from sections across the North Atlantic.  
218 We also include a comparison with the climatological estimate of the March mixed layer  
219 depth from de Boyer-Montégut, Madec, Fischer, Lazar, and Iudicone (2004).

## 220 **2.3 Methods**

221 Definitions of individual diagnostics are included in the sections and figure captions.  
 222 Not all data were made available from all reanalyses, hence not all reanalyses are included  
 223 in all figures.

224 We use climatologies based on the years 1993-2010 since that is the common pe-  
 225 riod available for all reanalyses, apart from mixed layer depths where we use a more re-  
 226 cent period (2004-2010) since there is large uncertainty earlier than that. Timeseries are  
 227 shown for the full period (since 1993) for each reanalysis, some of which extend to 2017.  
 228 For timeseries we use monthly means where available (some diagnostics were only avail-  
 229 able as annual means for NorCPM-v1). We examine interannual to decadal changes by  
 230 smoothing monthly values with a 12 month running mean, which also has the advantage  
 231 of removing the seasonal cycle. Timeseries are shown as either the total value (with smooth-  
 232 ing) or as anomalies from the climatology of the relevant reanalysis.

233 Significance of relationships between two variables are tested using a null hypoth-  
 234 esis that there is no correlation or no trend and a 95% confidence interval ( $p=0.05$ ). Cor-  
 235 relation coefficients ( $R$ ) and probabilities of the null test ( $p$ ) are quoted. In particular  
 236 the correlations of scatter plots between two variables or between two timeseries are tested  
 237 using a  $t$  test (with the null hypothesis that there is no correlation). Significance of a  
 238 trend in a timeseries is tested against the variability of that timeseries (using a  $t$  test and  
 239 the null hypothesis that the trend is zero). The significance of a difference between two  
 240  $n$ -year means is tested in comparison with the bootstrapped distribution of differences  
 241 between  $n$ -year means.

## 242 **3 Mean state**

### 243 **3.1 Convection and formation of deep water masses**

244 March mixed layer depth climatologies are shown in Fig 1 (see caption for defini-  
 245 tion). These are often used as a proxy for deep convection, which alters densities in the  
 246 subpolar North Atlantic and hence affects ocean dynamics. There are two centres of deep  
 247 convection in observations and reanalyses: in the Labrador and GIN (Greenland-Iceland-  
 248 Norway) Seas. About half the reanalyses have depths of convection in the Labrador Seas  
 249 that are comparable to the observational climatology (although this is based on a much  
 250 longer time period, (de Boyer-Montegut et al., 2004)). The other half have too deep and

251 widespread convection, apart from GECCO2 where the mixed layer depth is very shal-  
252 low. Most reanalyses have much too deep convection in the GIN seas, as has been noted  
253 in a previous reanalysis comparison (Uotila et al., 2018) and seen in coupled climate mod-  
254 els (Heuzé, 2017). A previous comparison of mixed layer depths across reanalyses was  
255 also made by Toyoda et al. (2017a) who looked globally at shallow mixed layer depths,  
256 rather than regions of deep convection. They do note that there is little consistency amongst  
257 and between observational and reanalyses data sets at high latitudes.

### 258 3.2 Circulation

259 The AMOC streamfunction in many reanalyses looks similar to that found in free-  
260 running models (Danabasoglu et al., 2014), with a North Atlantic overturning cell in the  
261 upper 3000m (Fig 2). This depicts the northwards volume transport in the upper 1000m  
262 of the Atlantic, followed by sinking and a southwards return flow between 1000-3000m  
263 approximately. In common with free-running models there are considerable differences  
264 in the latitude of the streamfunction maximum (Danabasoglu et al., 2016). In some cases  
265 there are discontinuities at some latitudes, possibly suggesting an impact of the assim-  
266 ilation scheme. In particular, GloSea5 is suspect in the South Atlantic and near the equa-  
267 tor (where there is a discontinuity in streamfunction strength): this issue has been traced  
268 to the method of assimilating sea surface height, and will be the subject of a future pub-  
269 lication (M. Bell, personal communication). In most reanalyses the reversed Antarctic  
270 Bottom Water cell below 3000m is very weak compared to forced and coupled models  
271 (Ba et al., 2014; Danabasoglu et al., 2016). This could be because there is little constraint  
272 from data at these depths.

273 One place where the AMOC has been continuously monitored is at  $26.5^{\circ}\text{N}$ , where  
274 the RAPID array (McCarthy et al., 2015) has been in place since 2004. Reanalysis pro-  
275 files of the AMOC at this section (Fig 2, are calculated here using the same methodol-  
276 ogy as the observations (see C. D. Roberts et al. (2013a)) and for the same time period  
277 (2004-2010)). They show upper northwards transport (increasing streamfunction with  
278 depth) and deeper southwards transport (decreasing streamfunction). There is mostly  
279 a good agreement with the observations for the value and depth of the streamfunction  
280 maximum, although some reanalyses have too shallow a return flow. Previous studies  
281 have noted that data assimilation usually improves the AMOC mean strength over that

282 in forced ocean only models (Balmaseda et al., 2007; Tett et al., 2014; Karspeck et al.,  
283 2017).

284 Recently observations of the AMOC in the subpolar gyre have begun with the OS-  
285 NAP initiative (Lozier et al., 2017). These have calculated an AMOC in density space  
286 with time mean profiles (Fig 13a) showing a northwards transport of Atlantic waters be-  
287 tween densities 1027.2-1027.6 kg/m<sup>3</sup> and a denser return flow. There is also a small south-  
288 wards transport of very light, surface waters. There is a good agreement with the mag-  
289 nitudes of the AMOC ( $14.9 \pm 0.9$  Sv) and the density at which the profile peaks in the  
290 observations (Lozier et al., 2019). Some reanalyses have a stronger overturning, however  
291 we note that the observational time series is short so far (<2 years), so the observational  
292 error on the long term mean is uncertain.

293 To assess the large-scale horizontal circulation we can compare the vertically in-  
294 tegrated (barotropic) streamfunctions (Fig 3). These are the vertically integrated stream-  
295 functions and are referenced to values on the eastern Atlantic coasts. They show two gyres:  
296 an anticyclonic subtropical gyre (STG) and cyclonic subpolar gyre (SPG), depicting the  
297 vertically integrated velocities. The medium (0.25°) and high (1/12°) resolution reanal-  
298 yses clearly show more fine-scale features and a very localized intensification of the Gulf  
299 Stream near the western boundary, whereas lower resolution reanalyses have smoother  
300 subtropical gyres with generally broader boundary currents. This may be because of a  
301 greater influence of inertial recirculations at higher resolution, as previously found by  
302 Yeager (2015). Treguier, Deshayes, Lique, Dussin, and Molines (2012) also found that  
303 increased resolution strengthened the Gulf Stream.

304 To directly compare the circulations we split the STG and SPG into 4 boxes (Fig  
305 4) covering the western boundary and interior regions. There is consistency between the  
306 interior gyre strength in the 6 NEMO models, and with ECCO V4 R3 and ECDA3. The  
307 outliers are NorCPM-v1 (which does not constrain the mean state) and GECCO2 where  
308 the interior STG is stronger than other reanalyses (see also subtropical gyre in Fig. 3).  
309 ECCO V4 R3 and GECCO2 use 4DVar which modifies surface fluxes within given er-  
310 ror bounds, including wind stresses that have a strong impact on the gyre strengths through  
311 Sverdrup dynamics. Hence it is likely that modifications to wind stresses in GECCO2  
312 have changed the gyre strengths, though we note that ECCO V4 R3 (which uses differ-

313 ent wind forcing products as the initial estimate and different optimization windows and  
 314 iterations) has gyre strengths more consistent with other reanalyses.

315 In the interior of the subtropics the NorCPM-v1 and GONDOLA100A upper layer  
 316 gyres are weaker (with smaller interior southward flow) but their gyres are deeper with  
 317 perhaps 30% of the flow below 1100m, while most products have weaker deep interior  
 318 southward flows. GECCO2 has a strong deep flow as well as a strong upper layer flow.  
 319 We see no relationship between the depth of the interior flow and the depth of the AMOC  
 320 circulation (Fig 2).

321 A comparison of the time mean strength of various circulation metrics is shown in  
 322 Fig 5. There is a marginally significant relationship with reanalyses that have denser up-  
 323 per Labrador Sea (LS) densities having a stronger AMOC at  $50^{\circ}\text{N}$  ( $R = 0.60$ ,  $p = 0.06$ ,  
 324 Fig 5a). This is in agreement with results from an ocean only model intercomparison (Danabasoglu  
 325 et al., 2014). Observational products (EN4 and CORA) show large uncertainties in the  
 326 densities of the upper LS, however they suggest that those NEMO reanalyses with lighter  
 327 upper LS and weaker AMOC at  $50^{\circ}\text{N}$  (M50) are less realistic. There is no significant cor-  
 328 relation between the AMOC at  $26.5^{\circ}\text{N}$  (M26) and either M50 or the deeper Labrador  
 329 Sea density (Fig 5b,c). Reanalyses with a stronger (more negative) SPG tend to have  
 330 a weaker subpolar AMOC. This relationship is not significant ( $R = 0.58$ ,  $p = 0.13$ ,  
 331 Fig 5d), though we note that the sample size is small. Danabasoglu et al. (2014) show  
 332 a relationship between the AMOC strength and the Labrador Sea mixed layer depth (MLD),  
 333 however we do not see such a relationship, possibly because the MLD is very noisy dur-  
 334 ing the first part of the timeseries in many reanalyses (Fig 9c).

### 335 **3.3 Transports**

336 Time mean meridional ocean heat and freshwater transports (OHT/OFWT) are  
 337 shown in Fig 6. These are calculated from monthly velocity, temperature and salinity  
 338 fields and so do not include fluxes from variability at a higher frequency than monthly.  
 339 Parameterized transports (Gent & McWilliams, 1990) are included for those reanalyses  
 340 that use them. The OHT is northwards at every latitude through the Atlantic, with the  
 341 maximum between  $25$  and  $35^{\circ}\text{N}$  in most reanalyses. The OFWT has a minimum around  
 342  $35$ - $45^{\circ}\text{N}$ , showing a maximum in southwards freshwater transport. A reduction (increase)

343 in OFWT as latitude increase would be balanced in steady state by an export (import)  
344 of freshwater from surface fluxes.

345 Northwards heat transports (Fig 6a) at most latitudes are strongest in NorCPM-  
346 v1 (maximum 1.4 PW). It does not constrain the mean state and it is likely the trans-  
347 port is strong because of the strong AMOC (Fig 2). ECCO V4 R3 has the weakest heat  
348 transport at most latitudes with a maximum of 0.92 PW. Other reanalyses underesti-  
349 mate the transport around 26.5 °N, but mostly agree with the observational estimates  
350 further north of 35°N. However it is possible that the methodology for the observational  
351 estimates at 26.5°N could overestimate the heat transport (Stepanov et al., 2016). GloSea5  
352 shows a rapid drop off of the heat transport in the South Atlantic caused by the very  
353 weak AMOC found there (Fig 2).

354 At 26.5°N there is a significant correlation ( $R=0.79$ ,  $p=0.02$ ) of the mean AMOC  
355 strength with the total heat transport (Fig 7b), as seen across an ocean model ensem-  
356 ble (Danabasoglu et al., 2014). The heat and freshwater transport can also be decom-  
357 posed into overturning and horizontal circulation components (and throughflow compo-  
358 nent for freshwater), see Bryden and Imawaki (2001); McDonagh et al. (2015). The re-  
359 lationship with the total heat content occurs because of a strong correlation of the AMOC  
360 with the overturning heat transport at 26.5°N ( $R=0.81$ ,  $p=0.01$ , Fig 7a). However us-  
361 ing this relationship to predict observed heat transports from AMOC strength, under-  
362 estimates the observed heat transport (Johns et al., 2011), even when comparing with  
363 the reanalyses available over the RAPID climatology period (2005-2015). This discrep-  
364 ancy has been seen in many models previously (Danabasoglu et al., 2014) and in pre-  
365 vious reanalyses (Masina et al., 2017). Msadek et al. (2013) attribute this to an under-  
366 estimation of the gyre component (due to poor representation of the transports near the  
367 western boundary) and an underestimation of the overturning part because of an overly  
368 diffusive thermocline. Figure 16 shows that most reanalyses underestimate both of these  
369 components.

370 Further north (50°N), the AMOC still determines the overturning part of the heat  
371 transport, however the gyre transport is important as well (Fig 17). It should be noted  
372 that the decomposition into gyre and overturning components in the subpolar North At-  
373 lantic is less meaningful than in the subtropics since the thermohaline circulation projects  
374 onto both components. We can look at the relationships with the total heat transport,

375 but find no significant relationship between the total heat transport and either the SPG  
376 or M50 strength (Fig 7f,h).

377 For freshwater transport (Fig 6b), all reanalyses transport freshwater southwards  
378 across the equator due to the horizontal circulation, (see (Mignac et al., 2019)), other  
379 than NorCPM-v1 which is fully coupled and the atmospheric bias is a main contribu-  
380 tor to the ocean bias in the tropical Atlantic (Lübbecke et al., 2018). The NEMO reanal-  
381 yses all show relatively strong southward transport at 36, 45 and 53°N. They also show  
382 greater transports of heat than the other reanalyses between 30 and 55°N, and this may  
383 be because of their eddy-permitting resolution since ocean models have been shown to  
384 have differences in heat and fresh water transport with resolution (Treguier et al., 2012;  
385 M. J. Roberts et al., 2016). Observational estimates at 36°N show a wide range of val-  
386 ues and do not constrain the reanalyses.

387 There is a significant relationship ( $R=-0.84$ ,  $p=0.01$ ) between the overturning part  
388 of the freshwater transport at 26.5°N and the AMOC (Fig 7c), but there are no signif-  
389 icant relationships between the total freshwater transport and AMOC at 26.5°N ( $R=-$   
390  $0.25$ ,  $p=0.55$ , Fig 7d) or for any freshwater components at 50°N (not shown). The fact  
391 that relationships between the AMOC and freshwater transports are less significant than  
392 for heat transports could be because there is, historically, less salinity data to assimilate  
393 than temperature and so uncertainties can be expected to be bigger. It is also possible  
394 that the distribution of salinity within the ocean results in a greater dominance of the  
395 horizontal component.

## 396 4 Variability

### 397 4.1 Heat and Fresh Water Content

398 The temperature and salinity of the upper 500m of the North Atlantic shows co-  
399 herent variability (Fig 8). The subtropics (25-45°N) show an increase towards warmer  
400 and more saline conditions, although there is more agreement across reanalyses in the  
401 temperature than salinity changes. This warming and salinification is consistent with  
402 anthropogenically driven trends towards a warmer and saltier subtropics, likely caused  
403 by anthropogenic changes in surface fluxes (Rhein et al., 2013). Monitoring volumetric  
404 changes above some temperature or salinity criteria can help identify thermohaline changes  
405 associated with water mass redistribution (which can change the volume of water above

406 this criteria) as opposed to air-sea exchange (which only directly change the near-surface  
407 temperature or salinity) (Palmer & Haines, 2009; Evans et al., 2017). However we note  
408 that assimilation could also cause volumetric changes. This volumetric analysis is shown  
409 in Fig 8 using the volume of water greater than 10°C or 35.3 PSU; these criteria are cho-  
410 sen to represent the subtropical pycnocline. Some reanalyses show an increase in the vol-  
411 ume of warm water in the subtropics, particularly since 2000, suggesting that water mass  
412 redistribution (such as advection) may also be playing a role, however this signal is not  
413 consistent across reanalyses.

414 In the subpolar region (45-65°N) there is an increase in temperature and salinity  
415 from the mid 90s to around 2005, and then a decrease, with the largest cooling seen in  
416 2014. The volumetric analysis shows similar changes, suggesting a role for advection in  
417 these decadal scale changes. This is in agreement with previous studies showing the warm-  
418 ing and cooling of the subpolar gyre through changes in advection (Robson et al., 2012;  
419 Piecuch et al., 2017; Robson et al., 2016; Hermanson et al., 2014). However we note that  
420 the large cooling seen in 2014 has been attributed to surface fluxes (Grist et al., 2016;  
421 Josey et al., 2018). There are other interannual signals such as the coherent subtropi-  
422 cal cooling and subpolar warming in 2010. The subtropical cooling has previously been  
423 shown to have been driven by a weak AMOC and hence heat transport at 26.5°N (Cunningham  
424 et al., 2013) with an important contribution driven by wind variations (Evans et al., 2017).

## 425 **4.2 Convection and formation of deep water masses**

426 Figure 9 shows anomalous densities in the upper (0-500m) and lower (1500-1900m)  
427 Labrador Seas waters. There are significant differences between the densities of reanal-  
428 yses, but most capture the general trends. Most show a decrease in 0-500 m density in  
429 the late 90s and a strong increase after 2014. In the 1500-1900 m layer most reanalyses  
430 show a reduction in density since the mid 90s, although the timing and magnitude of weak-  
431 ening are varied. However, some reanalyses also appear to have unrealistic trends that  
432 do not agree with the observations; e.g. ORAS5 has a very large initial decline in deep  
433 density; GONDOLA100A has a positive density trend at depth. It should be noted, how-  
434 ever, that there is less observational data in the LS, particularly in winter, prior to the  
435 introduction of Argo in the early 2000s. Hence there are uncertainties in the observa-  
436 tional products: an indication of the uncertainty is given by the differences in the two  
437 observational products (EN4 and CORA).



438 The density of sea water is a product of the non-linear interaction between tem-  
439 perature, salinity and pressure, and is complicated by the fact that temperature and salin-  
440 ity effects are often largely compensated (Robson et al., 2016). Recently it has been shown  
441 that systematic biases in the mean state and variability of temperature and salinity in  
442 the Labrador Sea in both free-running models and reanalyses can change whether tem-  
443 perature or salinity has the dominant control on density changes (Menary et al., 2015,  
444 2016; Menary & Hermanson, 2018) . Furthermore, Menary and Hermanson (2018) showed  
445 that uncertainty in this relationship has important implications for initialising and eval-  
446 uating near-term climate predictions. Therefore, we evaluate whether temperature or  
447 salinity dominates the variability in the Labrador Sea densities by computing the rel-  
448 ative correlation between density anomalies (i.e. including both changes in temperature  
449 and salinity), and the density anomalies that would result from only changes in temper-  
450 ature or salinity. Figure 10 shows whether temperature or salinity dominate the density  
451 variability for all the different ocean reanalyses (see caption for details). In observations  
452 the density variability of surface waters (0-200m) is mostly driven by salinity variabil-  
453 ity, however in deeper layers the density variability is mostly driven by temperature vari-  
454 ability. Most models agree with the observations in terms of the density drivers, how-  
455 ever there are some significant outliers. NorCPM-v1 is always temperature dominated,  
456 probably because its mean state is not constrained. GONDOLA100A, GECCO2 and ECCO  
457 V4 R3 also all have salinity dominated density anomalies at depth, which likely explains  
458 the lack of a weakening trend in their representations of densities in the 1500-1900 m layer  
459 (Fig 9b, 14b). The greater spread at depth is likely because there are less observations  
460 there to constrain the ocean properties.

461 For mixed layer depth (MLD) in the Labrador Sea (Fig 9c) there is initially a large  
462 spread of values with many reanalyses showing large inter-annual variability, suggest-  
463 ing an inability to realistically simulate the MLD. Despite the initially large variability,  
464 there is increasing consistency with time (apart from NorCPM-v1) suggesting an improve-  
465 ment in representation of deep convection as observational coverage increases (around  
466 the time of the introduction of Argo in the mid 2000s). Many reanalyses show a tem-  
467 porary deepening in mixed layer depth in 2008 and then a sustained deepening since 2010,  
468 consistent with the increase in upper ocean densities and in agreement with observations  
469 of MLD (Vage et al., 2008; Yashayaev & Loder, 2017).

### 4.3 AMOC Circulation

Figure 11 shows the timeseries of the AMOC at 26.5 and 50°N, which are representative of the variability within the subtropical and subpolar regions respectively (not shown). As well as the timeseries of individual reanalyses, the figure also shows an ensemble mean and spread (2 x standard deviation) of the anomalies relative to each climatology. This allows an assessment of how much the variability agrees across the reanalyses.

In winter 2009/10, a substantial temporary weakening of the AMOC at 26.5°N was observed, linked to a strongly negative NAO. This is suggested to have been caused by both Ekman (through the zonal wind stress) and wind-driven non-Ekman (through wind-driven upwelling of density surfaces) components (McCarthy et al., 2012; C. D. Roberts et al., 2013a). All reanalyses show a temporary weakening of the AMOC (see first column in Fig 11g) although this weakening is less than observed in most cases. The dips captured in winters 2009/10 and 2012/13 can be partially attributed to the Ekman component (blue line in Fig 11e) with many reanalyses failing to capture the non-Ekman weakening in 2009/10 (not shown). All reanalyses show a weakening of the AMOC from 2006-2013 (most of which are significant compared to the internal variability of each timeseries, see methods), in agreement with the observations, although the magnitude of weakening is again generally smaller than in the observations (Fig 11g). All reanalyses also show a brief weakening from 1999-2001 (although this is only significant in one reanalysis) and then a strengthening (mostly significant) from 2001-2006.

Prior to 1999 the reanalyses show a larger spread in the AMOC strength at 26.5°N implying greater uncertainty. The consistency of the variability across the reanalyses since 1999 suggests a common driving factor, and supports the results by Jackson et al. (2016) that the observed AMOC decline may have been preceded by an increase. There is no consistent trend over the whole period (Fig 11h), although this does not preclude a longer term weakening trend. In an ensemble of forced models, Danabasoglu et al. (2016) found that the AMOC at 26.5°N strengthened in the couple of decades before 1998 and then showed a significant weakening from 1998-2007 in half the models. Inspection of the timeseries (Fig. 1 in Danabasoglu et al. (2016)), however, shows that this weakening mostly occurs in the few years after 1998, with the multimodel mean showing a weakening of 2-3Sv between 1998-2004. This is similar to the weakening seen in our ensemble around

502 year 2000, although occurring over a longer period of time. A recent study looking at  
503 the AMOC in a different ensemble of reanalyses (Karspeck et al., 2017) found little agree-  
504 ment with the AMOC observed at 26.5°N, contrary to results here. We note that Karspeck  
505 et al. (2017) only considered reanalyses over the period 1960-2012 when there was lit-  
506 tle data to assimilate for the majority of the period. Therefore many of the reanalyses  
507 did not assimilate more recent sources of data such as altimeter data. This study con-  
508 sideres a more diverse set of reanalyses, only a few of which overlap with, or have prede-  
509 cessors in, the Karspeck et al. (2017) study.

510 A more in depth comparison with the RAPID observations is made in Fig 12 which  
511 shows the correlations with the observational array and standard deviations for the AMOC  
512 components. Out of those reanalyses where this comparison is possible, the best corre-  
513 lations with the RAPID observations are achieved with the four NEMO 0.25 reanaly-  
514 ses and ECCO V4 R3. It is perhaps not surprising that there is agreement amongst the  
515 NEMO reanalyses (since they use the same ocean model and observations for assimila-  
516 tion), however it should be noted that they still show a range of values for the changes  
517 and trends in Fig 11g,h. ECCO V4 R3 however is a very different reanalysis in that it  
518 uses a different ocean model (MITgcm) and assimilation scheme. Most reanalyses also  
519 underestimate the interannual variability. It should also be noted that the components  
520 of the upper and lower limbs of the AMOC (apart from the Ekman component which  
521 is determined by the wind fields used) compare less favorably to the observations than  
522 the total (Fig 12). Although the Ekman component contributes to the agreement of the  
523 total AMOC to the observations, there is also better agreement of the AMOC minus the  
524 Ekman transport with observation (not shown) than any of the individual components.  
525 This suggests that the resemblance to observations is through some constraint (as yet  
526 unknown) of the system on the total transport, rather than through capturing individ-  
527 ual components, ie resolving the Florida Straits flow and getting the depth structure of  
528 the deep AMOC return flow (see also Forget (2010); C. D. Roberts et al. (2013a); Kohl  
529 (2015); Jackson et al. (2016))

530 At 50°N the variability is consistent across most reanalyses although there are a  
531 wide range of mean strengths (Fig 11b,d,f and Fig 2). Much of this interannual variabil-  
532 ity is from the wind-driven Ekman transport (Fig 11f shows the Ekman transport cal-  
533 culated from GloSea5). It is to be expected that the Ekman transport would be simi-  
534 lar across the reanalyses since it is essentially prescribed through wind fields (though mod-

535 ified by ECCO V4 R3 and GECCO2). Most of the reanalyses show significant weaken-  
 536 ing between 1993 and 2009 (Fig 11b,d,f,h) consistent with other studies suggesting a weak-  
 537 ening over that period caused by density decreases in the Labrador Sea (Robson et al.,  
 538 2012; Danabasoglu et al., 2016; Robson et al., 2016). This weakening is not seen in the  
 539 Ekman component, but is seen in the multi-model mean minus the Ekman component  
 540 (red line in Fig 11f). The magnitude of weakening is of a similar magnitude to trends  
 541 in the AMOC at 45°N from 1995-2007 in an ensemble of forced ocean models (multimodel  
 542 mean -0.15 Sv/year, Danabasoglu et al. (2016)) and a previous ensemble of reanalyses  
 543 (multimodel mean  $\sim$ -0.16 Sv/year Karspeck et al. (2017)). Most reanalyses also show  
 544 a significant weakening for the longer period 1993-2016 (not shown).

545 Recent observations by the OSNAP array have measured the AMOC in the sub-  
 546 polar gyre. This is across a line stretching from Newfoundland, Canada to the south-  
 547 ern tip of Greenland and then to Scotland and measures the AMOC in density space.  
 548 Since there are only 21 months of observations currently we do a comparison of monthly  
 549 values in Fig 13d. Those reanalyses for which this calculation was done show very sim-  
 550 ilar variability, with a minimum in winter 2014/15 followed by an increase in spring/summer  
 551 2015, and a gradual weakening to winter 2016. Although the timing of the variability  
 552 fits with the seasonal cycle of most reanalyses (Fig 13c), the magnitude of the observed  
 553 changes is much larger than the seasonal cycle: in particular the minimum in winter 2014/15  
 554 is unusually low compared to the rest of the period since 1993. We hypothesize that the  
 555 monthly variability since 2014 is wind-driven (though not Ekman driven, see Lozier et  
 556 al. (2019)), which could explain the ability of the reanalyses to reproduce it consistently.  
 557 Interannual to decadal changes (Fig 13b) are more diverse. Most of the reanalyses show  
 558 some coherence in variability since 2006, with a weakening in 2008/2009, increasing abruptly  
 559 around 2009/2010 (which is possibly associated with the strong negative NAO that caused  
 560 the weakening at 26.5°N (McCarthy et al., 2012; C. D. Roberts et al., 2013a)), then weak-  
 561 ening again in 2012. However prior to 2006 there is little consistency in the signals. We  
 562 note that the increase around 2010 is similar to that seen in the AMOC in depth space  
 563 at 50°N (Fig 11b,d,f), however the OSNAP section does not otherwise show the same  
 564 consistent interannual variability.

565 Many studies have shown relationships between the AMOC strength and the den-  
 566 sity in the Labrador Sea over decadal timescales (Jackson et al., 2016; C. D. Roberts et  
 567 al., 2013b). About half of the reanalyses show a weakening trend in the 0-500m LS den-

568 sity from 1993-2009 (although about half show little trend), and most show a weaken-  
 569 ing trend in 1500-1900m density. Observational products agree that there was a density  
 570 decrease over this period at both depths. Most reanalyses also agree that there was a  
 571 weakening of M50, but there is no significant relationship found across the reanalyses  
 572 between the trends in either 0-500m density or 1500-1900m density, and the trends in  
 573 M50 (Fig 14a,b). This suggests that either the sensitivity of the AMOC weakening to  
 574 the density weakening varies across the ensemble or that there is no direct relationship  
 575 within the reanalyses. This may be because aspects of the assimilation modify the re-  
 576 lationship. It is also possible, however, that there would be a stronger relationship with  
 577 a different density metric, for instance some models and reanalyses have shown a rela-  
 578 tionship with the GIN seas density or using a lagged correlation (Ba et al., 2014; Storto  
 579 et al., 2016). Recent observations of overturning in the subpolar gyre have found that  
 580 the majority of the overturning occurs to the east of Greenland, raising questions as to  
 581 how relationships between the Labrador Sea density and AMOC strength should be in-  
 582 terpreted (Lozier et al., 2019).

583 Studies of decadal variability have shown lagged relationships of the AMOC at dif-  
 584 ferent latitudes, with the AMOC in the SPG preceding that at  $26.5^{\circ}\text{N}$  (Williams et al.,  
 585 2014; Yeager & Danabasoglu, 2014). We do not have sufficient years to examine corre-  
 586 lations between the two timeseries, however we note that Jackson et al. (2016) suggested  
 587 that the weakening of the SPG AMOC since the mid 90s was related to the later observed  
 588 weakening of the AMOC at  $26.5^{\circ}\text{N}$ . Hence we compare the magnitudes of weakening be-  
 589 tween these two events (Fig 14d), but see no relationship across reanalyses.

#### 590 **4.4 Gyre Circulation**

591 Anomalies of the SPG and STG strengths are shown in Fig 15. These are defined  
 592 as the maximum of the barotropic streamfunctions over  $60\text{-}30^{\circ}\text{W}$ ,  $50\text{-}60^{\circ}\text{N}$  (SPG) and  
 593  $80\text{-}50^{\circ}\text{W}$ ,  $25\text{-}38^{\circ}\text{N}$  (STG). For the SPG there is a weakening (positive trend in the stream-  
 594 function) up to 2009 seen in the ensemble average. All ensemble members show this pos-  
 595 itive trend which is significant in most of the members (Fig 15g). For the trend to 2016  
 596 GONDOLA100A disagrees with the rest of the ensemble in having a significant strength-  
 597 ening (negative trend). The weakening of the subpolar gyre from a maximum in the mid  
 598 90s has also been seen in many previous studies (Boning et al., 2006; Lohmann et al.,  
 599 2009; Danabasoglu et al., 2016). An index of subpolar gyre strength based on observed

600 sea surface heights (Häkkinen & Rhines, 2004) also shows a weakening since the mid 90s,  
 601 however modified definitions of the gyre index have shown a partial recovery since 2010  
 602 (Foukal & Lozier, 2017; Hatun & Chafik, 2018).

603 There is also a temporary strengthening of the SPG around 2009-2010. This is likely  
 604 to be linked to the strong negative NAO that is associated with a weakening of the AMOC  
 605 at 26.5°N and a strengthening at 50°N. The STG in GLORYS2v4 is very weak between  
 606 1998 and 2004, leading to a large ensemble spread over that period. Most ensemble mem-  
 607 bers show a weakening of the STG from 1993-2016, however this is only significant in  
 608 a couple of members (Fig 15g).

609 Although most reanalyses agree that there was a weakening of the SPG and M50,  
 610 there is again no significant relationship across the ensemble (Fig 14c). A relationship  
 611 between the two has been seen in other studies (Boning et al., 2006; Ba et al., 2014; Dan-  
 612 abasoglu et al., 2016). Yeager (2015) show that this relationship is through the inter-  
 613 action of deep densities with the topography.

#### 614 4.5 Transports

615 Heat transports at 26.5°N are strongly dominated by the overturning component  
 616 with little transport by the horizontal circulation component (Fig 16). This is in agree-  
 617 ment with observations and other modeling studies (Johns et al., 2011; Msadek et al.,  
 618 2013; Danabasoglu et al., 2016). We find strong correlations between the AMOC trends  
 619 over 2005-2015 and the trends in both overturning and total heat transports ( $R > 0.86$ ,  
 620  $p < 0.01$ , Fig 18a,b). The reanalyses also show strong correlations of the interannual  
 621 AMOC and heat transport timeseries within each reanalysis at 26.5°N (Fig 18e). Re-  
 622 gression coefficients of annual means in those reanalyses where the comparison is signif-  
 623 icant are between 0.04-0.08 PW/Sv with the observations being within this range (0.07  
 624 PW/Sv). A comparison with forced ocean models gives similar values (Danabasoglu et  
 625 al., 2016), and the regression coefficient when comparing trends (Fig 18b) is also within  
 626 this range (0.05 PW/Sv). This evidence all points to a strong relationship between the  
 627 AMOC at 26.5°N and the heat transport at this latitude.

628 We also note that there is some correspondence between periods where the heat  
 629 transports are high (1999, 2006-2008, 2012) with periods when there is an increase in  
 630 subtropical temperature, and periods where heat transports are low (2000, 2010-2013)

631 with periods of subtropical cooling (Fig 8a and 16a). Surface heat fluxes can also be im-  
632 portant in changing the temperature of the region, and reanalyses also have changes in  
633 heat from the assimilation of data. A rigorous examination of the heat budget across re-  
634 analyses would require a comparison of assimilation terms, as well as surface fluxes, and  
635 hence is difficult for a multi-model ensemble of reanalyses.

636 For freshwater transport, although there is a good relationship between the AMOC  
637 and the overturning transport component at  $26.5^{\circ}\text{N}$  ( $R = -0.92$ ,  $p < 0.01$ , Fig 18c),  
638 the horizontal transport component also plays an important role in the variability and  
639 strength of the freshwater transport, which prevents any clear relationship of the AMOC  
640 with the total transport ( $R = -0.28$ ,  $p = 0.54$ , Fig 18d).

641 At  $50^{\circ}\text{N}$  most of the variability and strength of the heat and freshwater transports  
642 depends on the horizontal part, rather than the overturning part of the transport (Fig  
643 17). However we note that the thermohaline circulation, which represents the circula-  
644 tion resulting from water mass transformation, has a strong horizontal component in the  
645 subpolar region, rather than being predominantly in the overturning component (Yeager,  
646 2015).

647 There is a clear weakening seen in the horizontal and total heat transport at  $50^{\circ}\text{N}$   
648 from the mid 90s (see Fig 17). Strong transports of heat and freshwater near the start  
649 of the period are consistent with the warming and salinification seen in the subpolar gyre,  
650 and weaker transports towards the end of the period are consistent with a cooling and  
651 freshening (Fig 8). We note that surface fluxes also play a role and that the recent cool-  
652 ing since 2014 in the subpolar gyre has been linked to surface cooling (Grist et al., 2016;  
653 Josey et al., 2018).

654 Although there is a significant correlation between the trends of AMOC and over-  
655 turning transport of heat at  $50^{\circ}\text{N}$  ( $R = 0.83$ ,  $p = 0.02$ ), this is not a significant con-  
656 tribution to the trend in total heat transport (Fig 17). Indeed there is no significant re-  
657 lationship between the trends in AMOC or SPG and trends in total heat or freshwater  
658 transports at  $50^{\circ}\text{N}$  (not shown). In most individual reanalyses there are significant cor-  
659 relations between the total heat transport timeseries and both the AMOC and SPG time-  
660 series, but this is likely because these timeseries all have trends (Fig 18e).

## 5 Discussion and conclusions

We have presented results from examining the mean state and variability of the North Atlantic since 1993 from an ensemble of global ocean reanalyses. The results here are relevant to those using and developing the reanalyses and those wanting to understand how and why the North Atlantic has changed recently. We focus our discussion and conclusions on the questions introduced in the introduction.

### 5.1 Where is there agreement or disagreement across reanalyses?

Reanalyses are able to capture many aspects of the dynamics in the North Atlantic. In particular:

- Although there is large disagreement among reanalyses in the Labrador Sea mixed layer depth initially, this improves in time. This is likely to be because of greater observational constraints later in the period (eg the introduction of Argo in the mid 2000s).
- There is consistency across the ensemble of variability in the AMOC at both 26.5 and 50°N (and agreement of the former with independent observations). This is in contrast with a previous study (Karspeck et al., 2017) that found little agreement of reanalyses over an earlier, more observation-sparse period. There is also agreement of monthly variability with new observations of overturning in the sub-polar North Atlantic.
- At 26.5°N the reanalyses mostly agree with the independent observational estimates of mean AMOC strength. However they underestimate the ocean heat transport (OHT) per Sverdrup of volume transport, despite having a strong correlation between AMOC and OHT. This discrepancy has previously been seen in ocean models (Danabasoglu et al., 2014).
- The reanalyses using NEMO at 0.25 and 1/12° have more intense Gulf Streams and stronger transports of heat and freshwater from 30-50°N. These differences may be because they have higher horizontal resolutions (eddy-permitting and eddy-resolving).
- NorCPM-v1 is an outlier in the mean comparisons because it uses anomaly assimilation. GECCO2 is also an outlier in several comparisons, particularly of variability. This may be because it was run over several short (5 year) windows. ORAS5



692 has a large change in Labrador Sea density and AMOC strength from 1996-2000  
693 which is associated with extra buoyancy loss caused by SST nudging and sparse  
694 in-situ observations in the early period (Tietsche, personal comm).

## 695 **5.2 Can we learn what makes reanalyses good at specific processes?**

- 696 • A greater availability of observations can improve the representation of processes.  
697 In particular mixed layer depths within the Labrador Sea improve over the lat-  
698 ter half of the period studied. There is also a greater agreement among the reanal-  
699 yses (and with observations from 2004) of the variability of AMOC strength at  
700 26.5N than in a previous study looking at an earlier, more observation-sparse pe-  
701 riod.
- 702 • Some reanalyses have density variability in the deep Labrador Sea that is driven  
703 by salinity, rather than temperature, variability. This may affect their ability to  
704 capture the observed decline and may have an impact on dynamics. This suggests  
705 that more deep observations, such as deep Argo, are needed.
- 706 • Eddy-permitting and resolving resolution, such as used in the NEMO-based re-  
707 analyses, can strengthen western boundary currents and transports at mid-latitudes.
- 708 • ECCO V4 R3 uses a 4DVar scheme where adjustments are made to parameters  
709 such as surface forcing and ocean mixing rather than directly modifying temper-  
710 ature and salinity through increments. It shows similar variability to other (non  
711 4DVar) reanalyses, and to some independent observations. This improves our con-  
712 fidence that both 4DVar and non-4DVar schemes can produce reasonable results.  
713 However ECCO V4 R3 does have the wrong density drivers and trends in the deep  
714 Labrador Sea water, possibly because the assimilation scheme does not directly  
715 affect deep properties and instead changes much be subducted or vertically mixed  
716 from the surface, or changes can be made by modifications of the mixing itself (for  
717 instance by changes in winds). We do note, though, that 4DVar has advantages  
718 in that it avoids direct adjustments of water masses, and is therefore more dynam-  
719 ically consistent.

### 5.3 Can these reanalyses improve our understanding of the dynamics in the North Atlantic ocean?

- Results support the subpolar picture of a decrease in Labrador Sea density, and a weakening SPG and AMOC at 50°N over the period (attributed by other studies to decadal-multidecadal variability). Heat and freshwater transports also show a decline. The strong (weak) transports in 1993-2005 (2005-2016) are consistent with an increase (decrease) in temperature and salinity.
- Results support the subtropical picture of strong interannual variability, with a gradual warming and salinification consistent with anthropogenic climate change. A strong relationship between the AMOC and the heat transport at 26.5 °N is found, which in turn can impact the subtropical heat content.
- Reanalyses with denser mean upper Labrador Sea densities have a stronger mean AMOC at 50°N. No relationships are found between the trends across the reanalyses. There is also no relationship found between the AMOC at 26.5 and 50°N, either in mean strength or variability.
- Although there is a strong relationship between the AMOC and heat transport at 26.5°N, there is no clear relationship across the reanalyses between the heat transport at 50°N and the SPG or AMOC transports (either for the mean or variability).
- Reanalyses mostly agree that the AMOC at 26.5°N showed a weakening from 1999-2001, followed by a strengthening from 2001-2006 and then a weakening from 2006-2013. This suggests that the observed weakening (since 2004) is part of interannual-decadal variability.
- Reanalyses mostly agree that the AMOC at 50°N has interannual variability from the Ekman component superimposed on a more gradual weakening from the mid 90s.
- Reanalyses also compare well with the OSNAP section, suggesting that they may be useful tools to further understand the variability and its cause

Although many relationships found in modeling studies are not found to hold across these reanalyses, it does not mean that those relationships do not hold in reality. For example, we see trends from the mid 90s in many variables in the subpolar gyre region. These variables could be physically related and show correlations of timeseries, however

752 the strengths and timing of these relationships could differ across reanalyses. Hence re-  
753 lationships between trends are not found. It is also possible that stronger relationships  
754 would be found with different metrics, time periods or lags. In reanalyses it is also pos-  
755 sible that relationships can be obscured or changed by spatial or temporal variations in  
756 the quality of the observational constraints. Hence to properly explore mechanisms us-  
757 ing a reanalysis, a good understanding is required of whether relevant processes are phys-  
758 ically consistent, or whether there are spurious impacts from the assimilation (Storto et  
759 al., 2019).

760 Nevertheless, reanalyses are promising tools to examine recent climate variability  
761 alongside free running ocean models (which can experience biases) and observations (which  
762 are temporally and spatially sparse). Reanalyses cannot be a replacement for observa-  
763 tions: in particular a good observational coverage is necessary for constraining reanal-  
764 yses. Independent observations, such as the AMOC transports calculated by the RAPID  
765 and OSNAP sections, are also independent checks. We note that although reanalyses are  
766 able to realistically simulate many aspects of the AMOC at 26.5°N, they cannot sim-  
767 ulate important details, such as the different AMOC components. Hence it is important  
768 to continue these observational campaigns, along with developing ocean reanalyses, in  
769 order to understand and monitor the ocean.

Table 1: Acronyms used

Acronym	Full name	Notes
3DVar	Three dimensional variational analysis	technique
4DVar	Four dimensional variational analysis	technique
AER	Atmospheric and environmental research	institute/group
AMOC	Atlantic Meridional Overturning Circulation	physical quantity
BBL	Bottom boundary layer	technique
BCCR	Bjerknes centre for climate research	institute/group
BSF	Barotropic streamfunction	physical quantity
CICE	Sea ice model	model
CLIVAR	Climate Variability and Predictability	institute/group
CMCC	Centro Euro-Mediterraneo sui Cambiamenti Climatici	institute/group
CORA	Coriolis ocean dataset for reanalysis	ocean observational product
ECMWF	European Center for Medium-range Weather Forecasting	institute/group
EN4	EN4	ocean observational product
EnKF	Ensemble Kalman filter	technique
ERA	ECMWF reanalysis	atmospheric reanalysis product
FGAT	First guess at appropriate time	technique
GCM	Coupled general circulation model	model
GFDL	Geophysical Fluid Dynamics Laboratory	institute/group
GODAE	Global Ocean Data Assimilation Experiment	institute/group
GSOP	Global synthesis and observations panel	institute/group
JMA	Japan meteorological agency	institute/group
JPL	Jet propulsion laboratory	institute/group
JRA	Japan reanalysis	atmospheric reanalysis product
KF	Kalman filter	technique
LIM	Louvain-la-Neuve Sea Ice Model	model
LS	Labrador Sea	physical quantity
M26	AMOC strength at 26.5N	physical quantity
M50	AMOC strength at 50N	physical quantity
MICOM	Miami Isopycnal Coordinate Ocean Model	model
MIT	Massachusetts Institute of Technology	institute/group

MITgcm	MIT general circulation model	model
MLD	mixed layer depth	physical quantity
MOCHA	Meridional overturning circulation and heat-flux array	ocean observational product
MOM	Modular Ocean Model	model
MRI	Meteorological Research Institute	institute/group
MRI.COM	Meteorological Research Institute Community Ocean Model	model
NAO	North Atlantic Oscillation	physical quantity
NCEP	National center for environmental prediction	atmospheric reanalysis product
NEMO	Nucleus for European Modelling of the Ocean	model
NOAA	National Oceanic and Atmospheric Administration	institute/group
OBP	Ocean bottom pressure	physical quantity
OFWT	Ocean fresh water transport	physical quantity
OHC	Ocean heat content	physical quantity
OHT	Ocean heat transport	physical quantity
OI	Optimal interpolation	technique
ORA	Ocean Reanalysis	institute/group
OSNAP	Overturning in the subpolar north atlantic project	ocean observational product
RAPID	Observational array for measuring AMOC at 26.5N	ocean observational product
S	salinity	physical quantity
SIC	Sea ice concentration	physical quantity
SIS	GFDL Sea Ice Simulator	model
SIT	Sea ice thickness	physical quantity
SPG	Subpolar gyre	physical quantity
SSH	Sea surface height	physical quantity
SSS	Sea surface salinity	physical quantity
SST	Sea surface temperature	physical quantity
STG	subtropical gyre	physical quantity
T	temperature	physical quantity

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Name	C-GLORSv7	ECDA3	GECCO2	GLORYS2v4	GloSea5	ECCO V4 R3	ORAS5	Nor-CPM-v1	UR025.4	GONDOLA100A	GLORYS12v1
Institution	CMCC	GFDL/NOAA	Hamburg University	Mercator Ocean	UK Met Office	MIT/JPL/AER	ECMWF	BCCR	University of Reading	MRI/JMA	Mercator Ocean
Nominal horizontal resolution	0.25°	1x1/3°	1x1/3-1°	0.25°	0.25°	1x1/3-1°	0.25°	1°	0.25°	1x1/3-0.5°	1/12°
Vertical resolution	75 z-levels	50 z-levels	50 z-levels	75 z-levels	75 z-levels	50 z-levels	75 z-levels	53 isopycnal layers variable	75 z-levels	60 z-levels +BBL	50 z-levels
Top-level resolution	~1 m	10 m	10 m	~1 m	~1 m	10m	~1 m	variable	~1 m	~1m	~1 m
Thickness	N	Y	Y	N	N	Y	N	Y	N	Y	N
Includes GM Ocean-ice model	NEMO3.6/LIM2	MOM4/SIS	MITgcm	NEMO3.4/CICE4.1	NEMO3.4/LIM2	MITgcm	NEMO3.4/LIM2	MICOM/CICE	NEMO3.2/LIM2	MRI.COMv4.2	NEMO3.1/LIM2
Time period	1989-2016	1970-2017	1948-2017	1989-2017	1979-2017	1992-2015	1979-2017	1985-2010, 30 member ensemble	1989-2010	19582015	1992-2016
Initialization	C-GLORSv5	cold start	optimized	spinup	spinup	optimized	spinup	EnKF anomaly Coupled	cold start	Jan 2000 reanalysis JRA55-do v1.3	spinup
Source of atmospheric forcing data	ERA-Interim	NCEP RA1	NCEP RA1	ERA-Interim	ERA-Interim	ERA-Interim	ERA-Interim, NWP after 2015	anomaly Coupled	ERA-Interim	ERA-Interim	ERA-Interim
DA-Method	3DVAR	EnKF	4DVAR adjoint	3DVAR	4DVAR adjoint	4DVAR adjoint	3DVAR FGAT	EnKF anomaly	OI	3DVAR + robust diagnostic	reduced order KF + 3DVAR large scale bias correction to in-situ T, S
Data Assimilated	T, S, SSH, SST, SIC, SIT	T, S, SST	T, S, SSH, SST, SSS	T, S, SSH, SST, SIC	T, S, SSH, SST, SIC	T, S, SSH, SST, SSS, SIC, OBP	T, S, SSH, SST, SIC	Anomalies of T, S, SST	T, S, SSH, SST, SIC	T, S, SSH, SST, SIC	T, S, SSH, SST
Relaxation	large-scale T,S climatology	None	None	SSS (Haney flux). Weak relaxation to T,S climatology	SSS (Haney flux). Weak relaxation to T,S climatology	None	SSS, Weak relaxation to T,S climatology	None	None	T,S climatology	None
Reference	Storto and Masina (2016); Storto et al. (2016)	S. Zhang, Harrison, Rosati, and Wittenberg (2007); Chang, Zhang, Rosati, Delworth, and Stern (2013)	Kohl (2015)	Ferry et al. (2012)	Forget et al. (2015); Fukumori et al. (2017)	Forget et al. (2015); Fukumori et al. (2017)	Zuo, A, Tientsche, Mogenssen, and Mayer (2019)	Counillon et al. (2016); Wang et al. (2017)	Valdivieso, Haines, Zuo, and Lea (2014)	Toyoda et al. (2016)	Lellouche et al. (2018)

770

**Table 2.** Description of reanalyses. 1) Notation in columns 3,6,10 of row 2 implies a zonal resolution of 1° and a meridional resolution varying from 0.5 or 1°

771

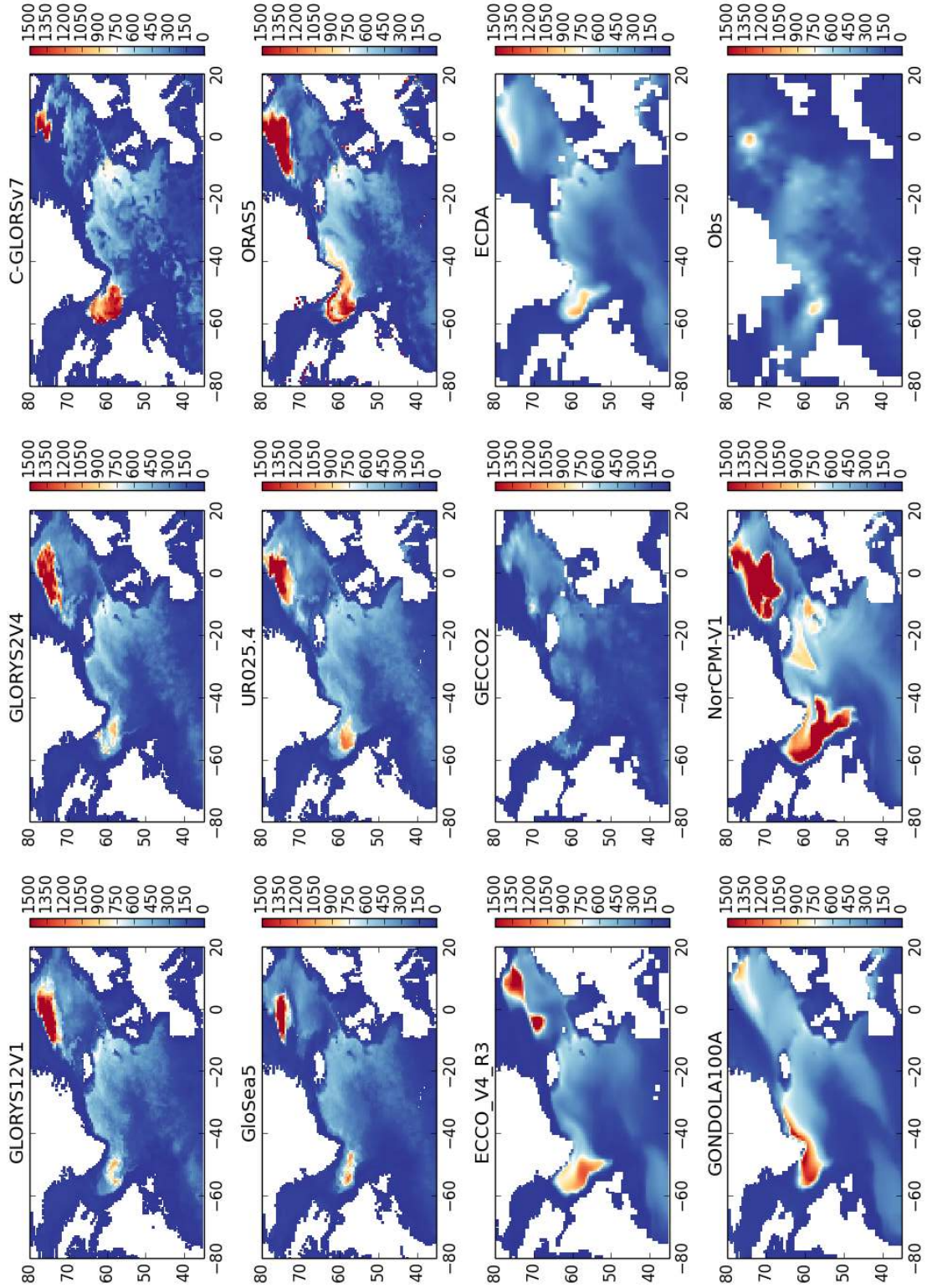
down to 1/3° near the equator.

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899 NS9039K).

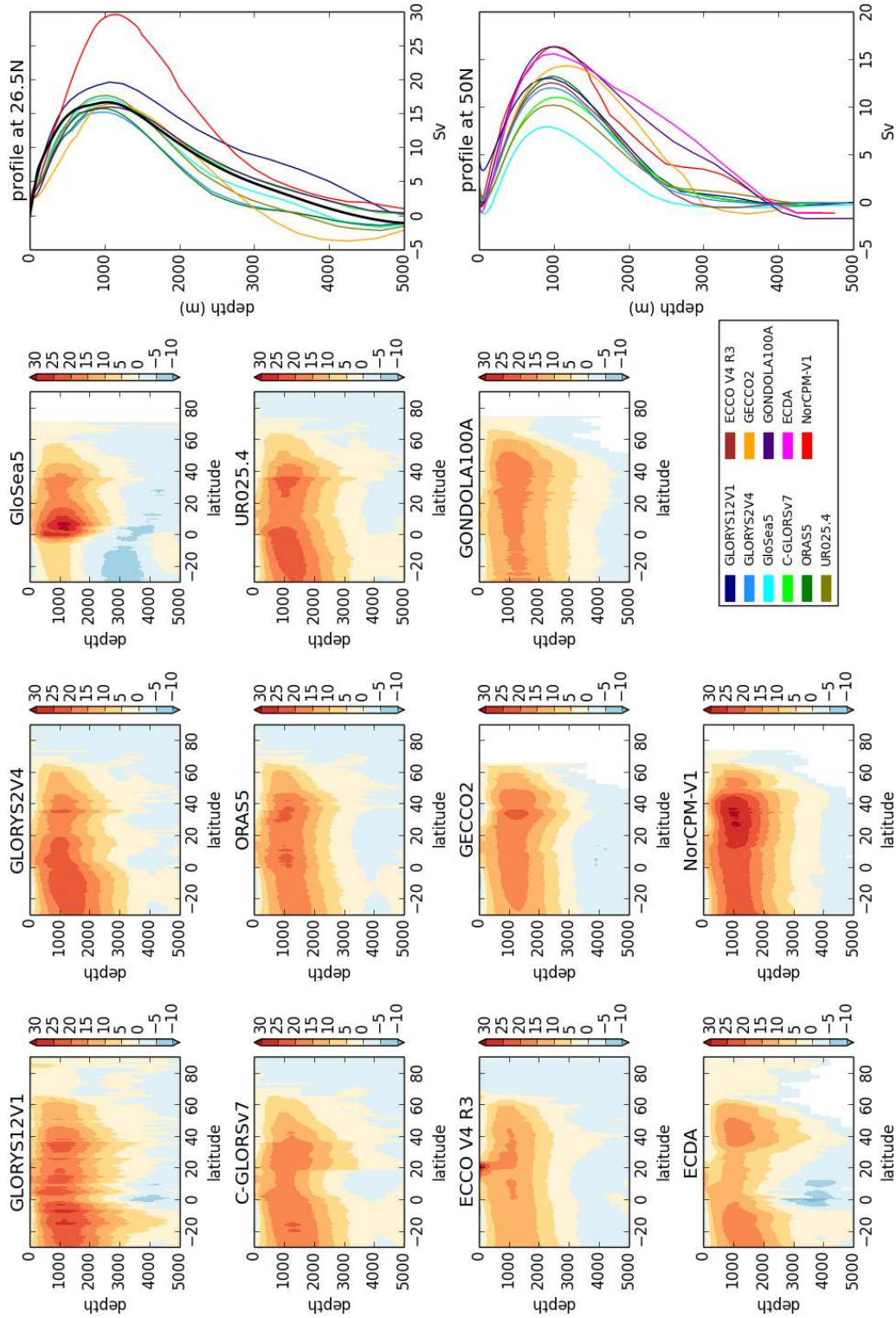
900 Data for the figures is available to download with the DOI 10.5281/zenodo.2598509.  
901 Data from some reanalysis products are available to download from [http://marine.copernicus.eu/services-](http://marine.copernicus.eu/services-portfolio/access-to-products/)  
902 [portfolio/access-to-products/](http://marine.copernicus.eu/services-portfolio/access-to-products/) under product names GLOBAL\_REANALYSIS\_PHY\_001\_025  
903 (GLORYS2v4), GLOBAL\_REANALYSIS\_PHY\_001\_026 (C-GLORSv7, GLORYS2v4, GloSea5  
904 and ORAS5) and GLOBAL\_REANALYSIS\_PHY\_001\_030 (GLORYS12V1).



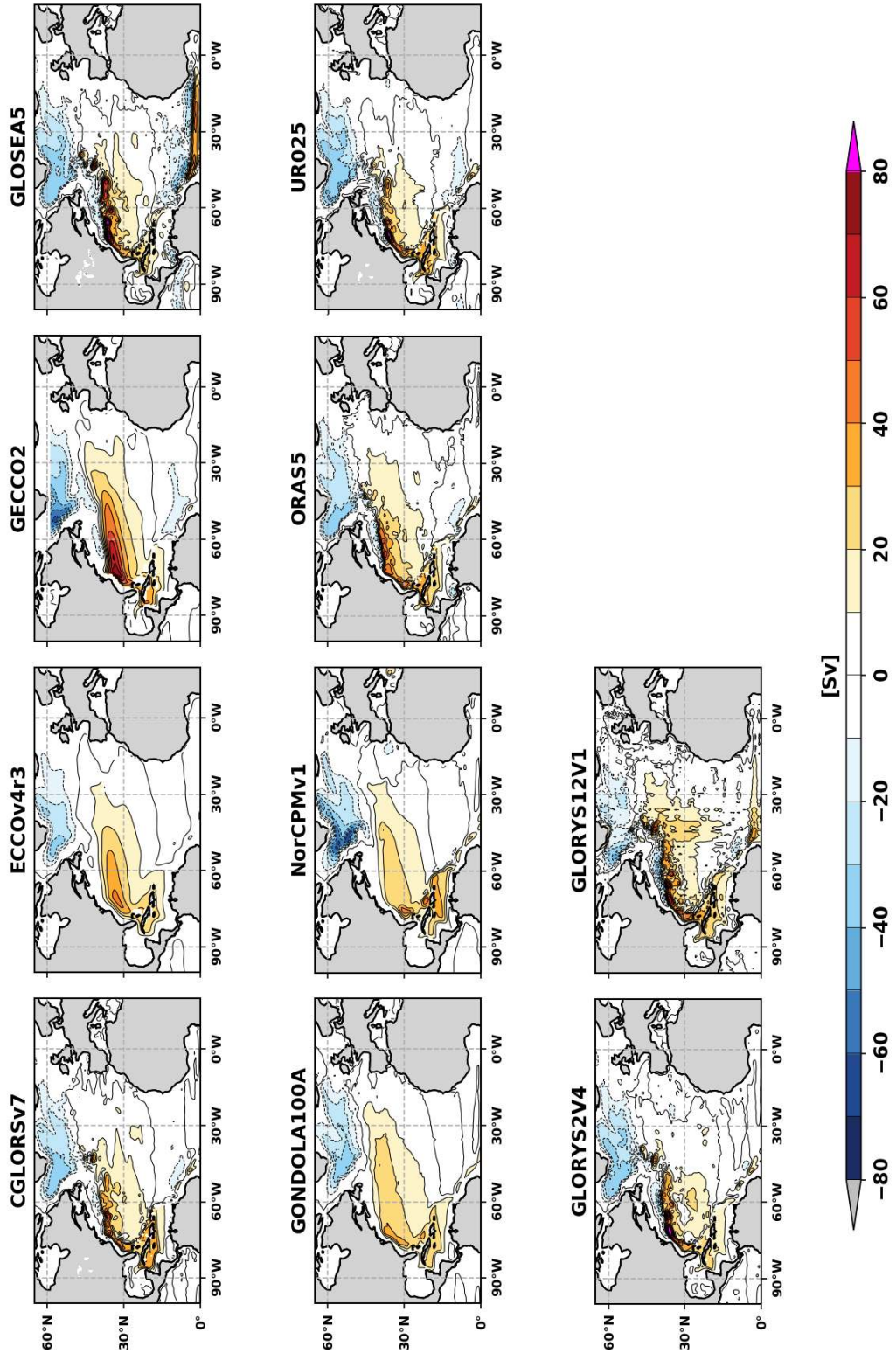


772 **Figure 1.** March mean (2004-2010) mixed layer depth (m) defined as the depth at which the  
 773 density differences from the surface is  $0.03 \text{ kg/m}^3$  (calculated from monthly mean density fields).  
 774 The observational data set is the March mixed layer depth from de Boyer-Montegut et al. (2004).

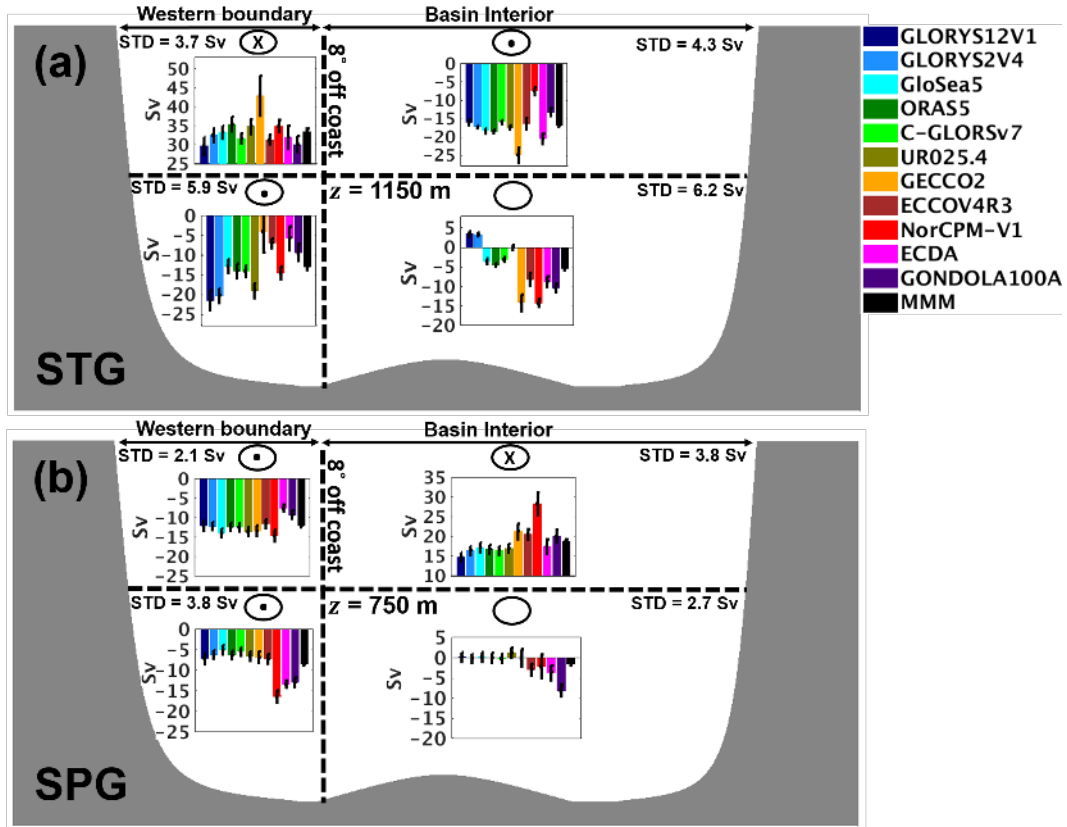




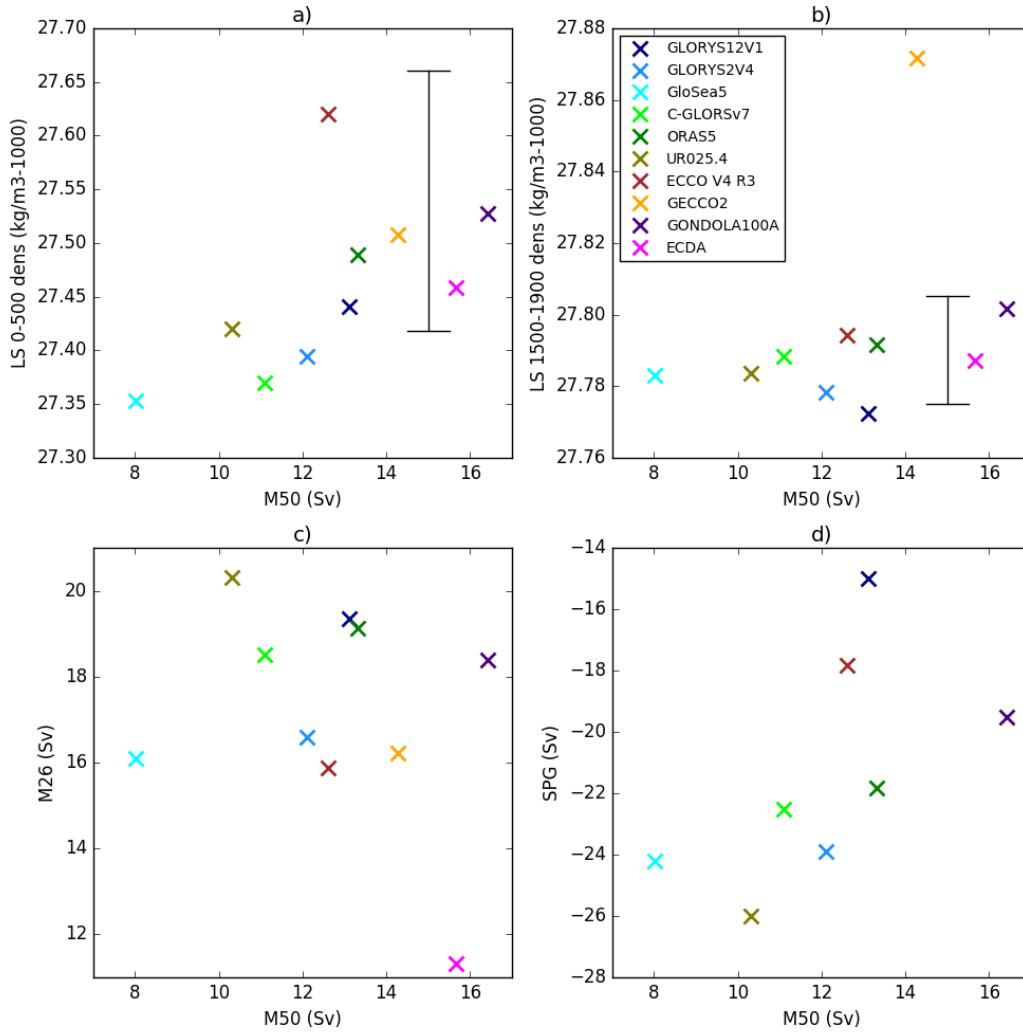
775 **Figure 2.** AMOC streamfunctions (from velocities) and profiles at  $26.5^{\circ}\text{N}$  (calculated using  
 776 the RAPID methodology) and  $50^{\circ}\text{N}$  (from velocities). Units are Sverdrups ( $Sv = 10^6 m^3/s$ ).  
 777 Profiles use the time period 2004-2015 to agree with the observations, though the streamfunctions  
 778 use the standard climatology period (1993-2010). Note that NorCPM-v1 is an outlier because it  
 779 uses anomaly assimilation and hence the mean state is not constrained.



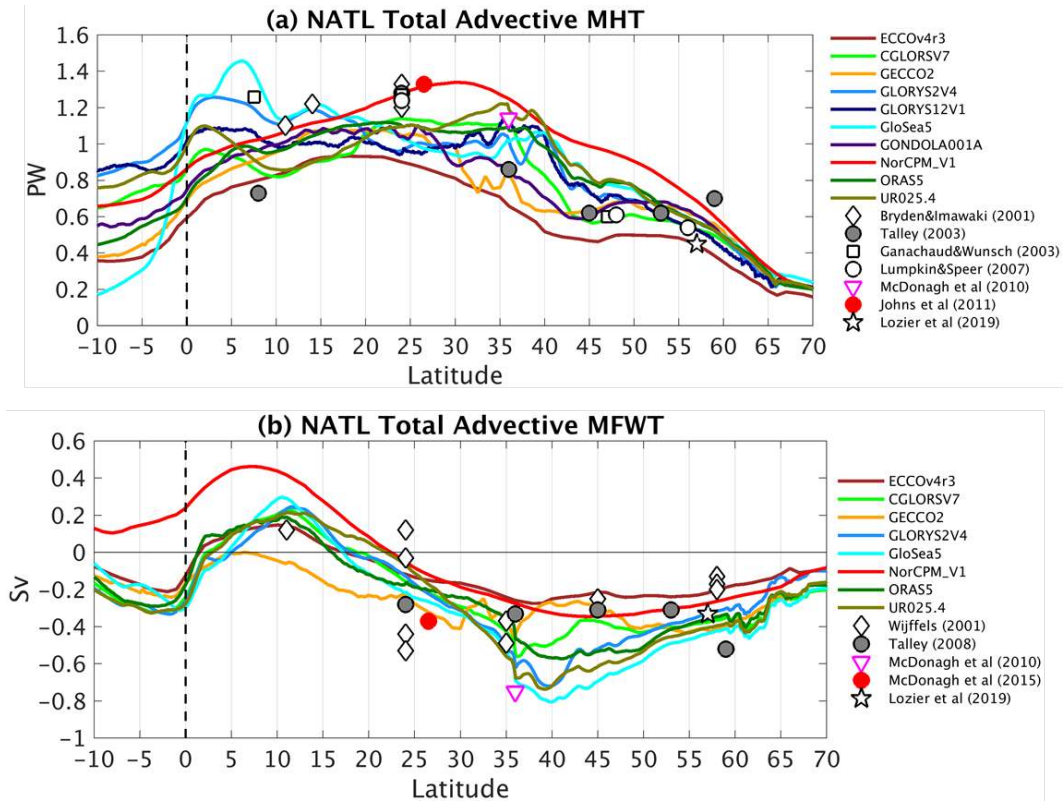
780 **Figure 3.** Barotropic streamfunctions (Sv) referenced to zero at the eastern boundary. Note  
 781 that NorCPM-v1 uses anomaly assimilation and hence the mean state is not constrained.



782 **Figure 4.** 4-box model of the volume transports divided into upper, lower, deep western  
 783 boundary and interior flows for (a) the subtropical gyre (26°N-40°N), and (b) the subpolar gyre  
 784 (50°N-65°N). Units are Sv. 8° off the coast is chosen to separate the western boundary and in-  
 785 terior, and the ensemble mean AMOC depth is used to separate the upper and lower limbs of  
 786 the circulation for each region. The black error bars represent the uncertainty due to the varying  
 787 AMOC depth between the models by using the standard deviation of the ensemble AMOC depth.  
 788 The circles with dots correspond to flows going out of the page whereas the crosses represent  
 789 flows going into the page. The circles without symbols mean that there is no consensus between  
 790 the products about the direction of the flow. Note that NorCPM-v1 is an outlier because it uses  
 791 anomaly assimilation and hence the mean state is not constrained.

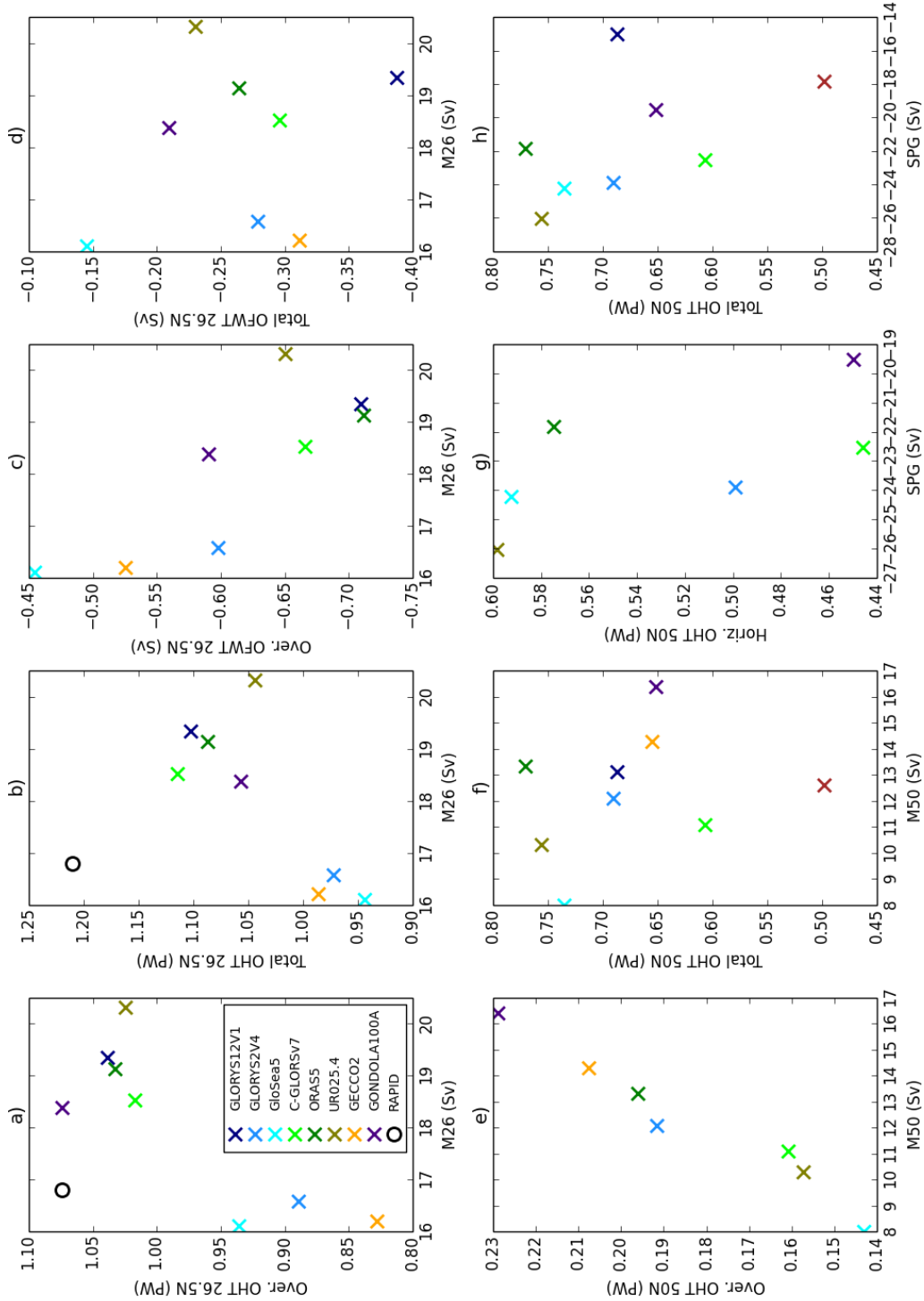


792 **Figure 5.** Comparison of the mean strengths of different variables across reanalyses (see  
 793 labels). This includes the AMOC strength at 26.5°N and 50°N (M26,M50), the density in the  
 794 Labrador Sea over 0-500m and 1500-1900m (over the region 75-40°W and 50-65°N), and the SPG  
 795 strength. The black bars in the upper plots show the Labrador Sea densities from the EN4 and  
 796 CORA observational estimates (with an arbitrary x value of M50=15Sv), with the difference in-  
 797 dicating observational uncertainty. Note that NorCPM-v1 is not included in this analysis because  
 798 it uses anomaly assimilation and hence the mean state is not constrained.

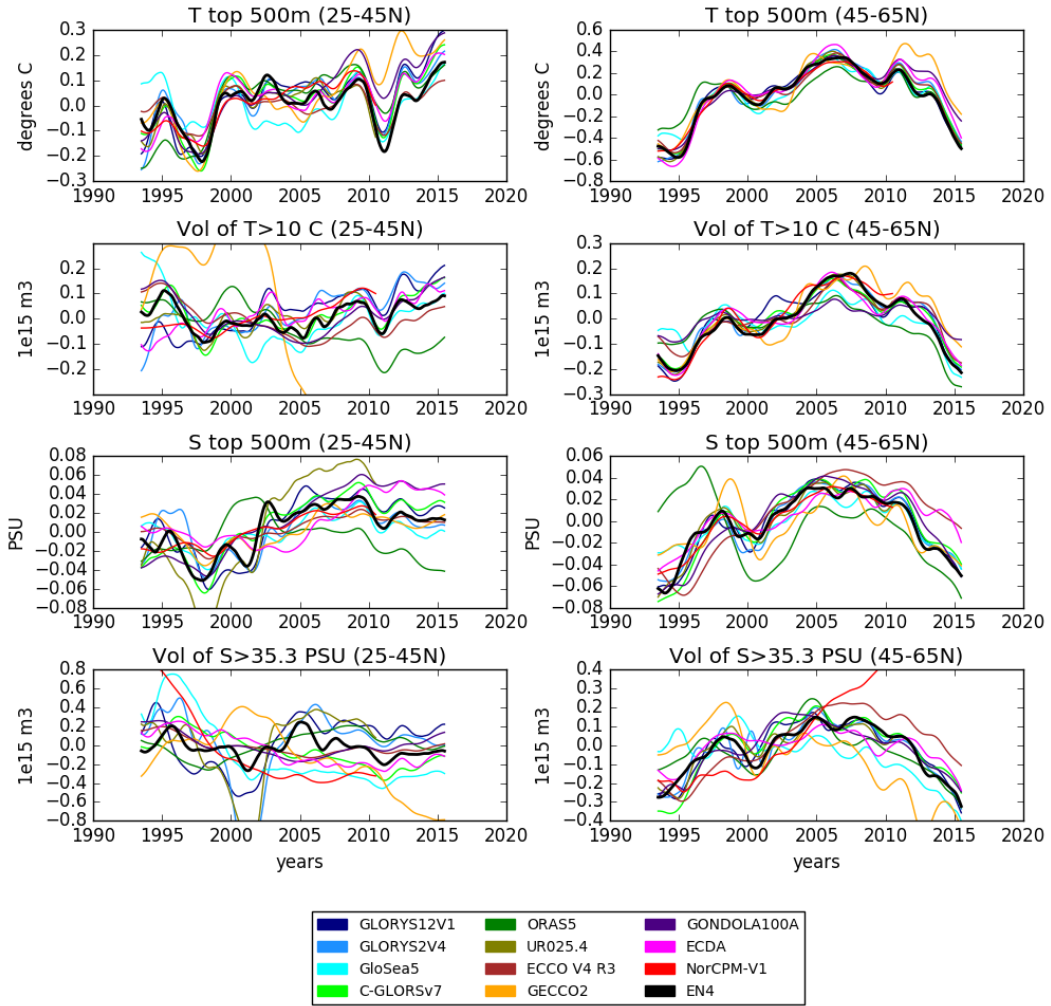


799 **Figure 6.** Mean meridional heat (top, in PW) and freshwater (bottom, in Sv) transports  
 800 as a function of latitude. Also shown are observational measurements as symbols. Note that  
 801 NorCPM-v1 is an outlier because it uses anomaly assimilation and hence the mean state is not  
 802 constrained.

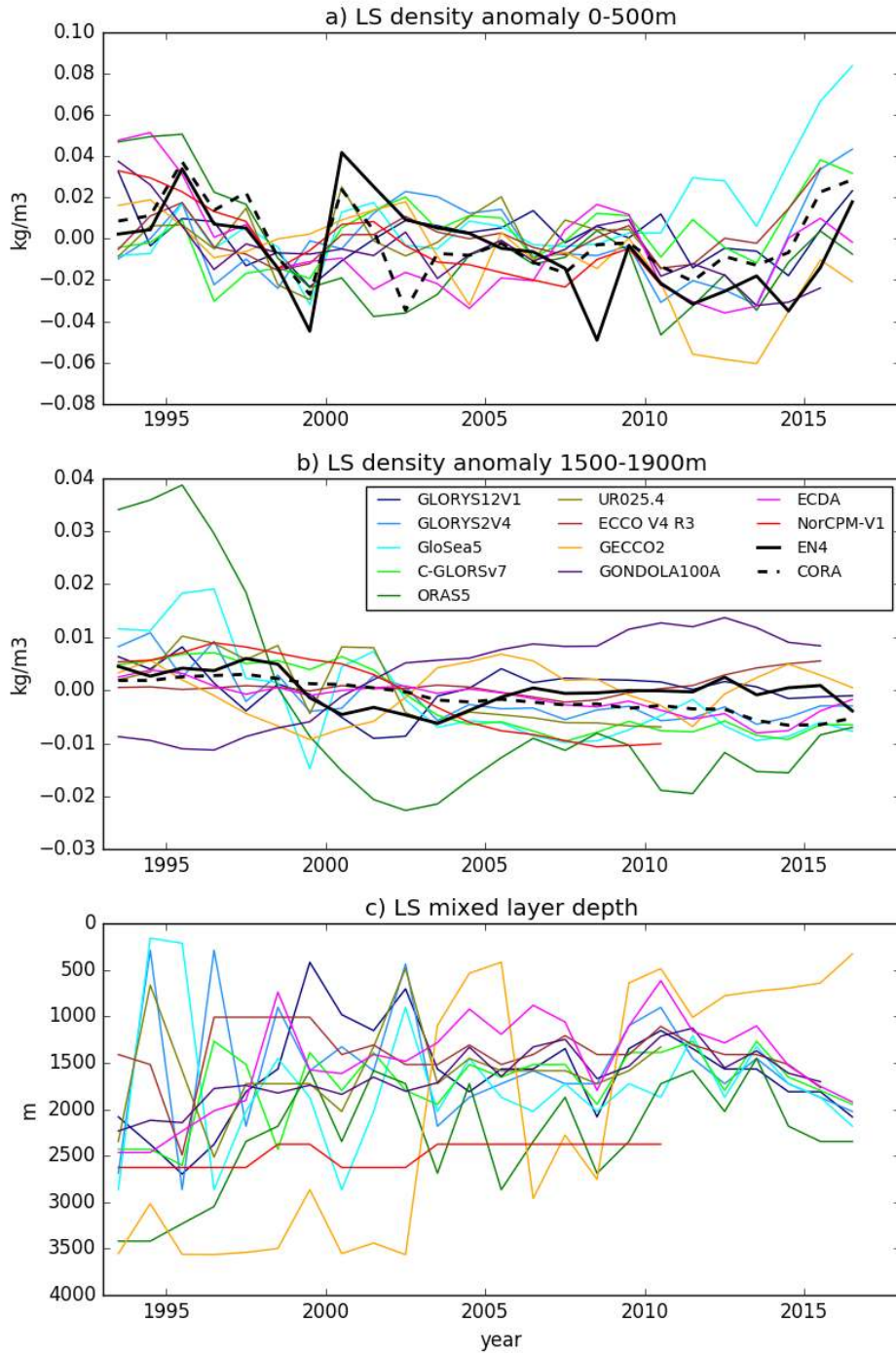




803 **Figure 7.** Comparison of the mean strengths of different variables across reanalyses (see la-  
 804 bels). This includes the AMOC strength at 26.5°N and 50°N (M26,M50), the SPG strength and  
 805 ocean heat and freshwater transports (OHT, OFWT). For the transports we also show the total  
 806 transport and the overturning and horizontal components. Note that NorCPM-v1 is not included  
 807 in this analysis because it uses anomaly assimilation and hence the mean state is not constrained.

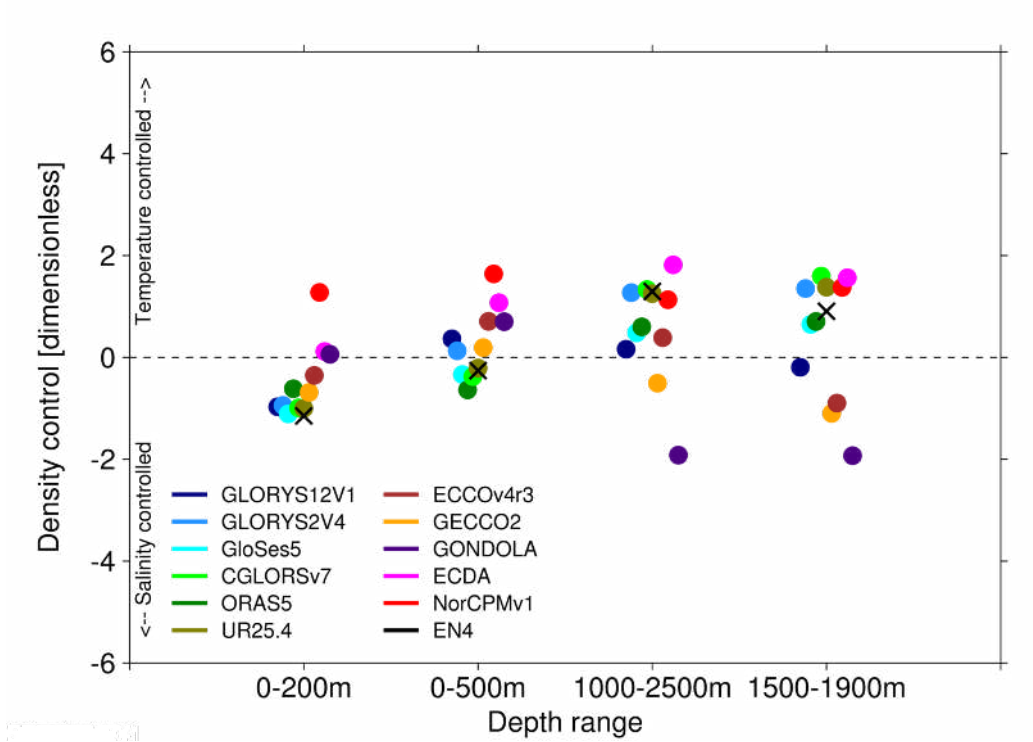


808 **Figure 8.** Anomalies of temperature (top row) in  $^{\circ}\text{C}$  and salinity (third row) in PSU over the  
 809 top 500m. Also shown is the volume of water (in  $\text{m}^3$ ) where  $T > 10^{\circ}\text{C}$  (second row) or  $S > 35.3\text{psu}$   
 810 (bottom row). Left panels are for regions  $25\text{-}45^{\circ}\text{N}$  in the Atlantic and right panels for regions  
 811  $45\text{-}65^{\circ}\text{N}$ . All timeseries are anomalies with a 12 month running mean applied.

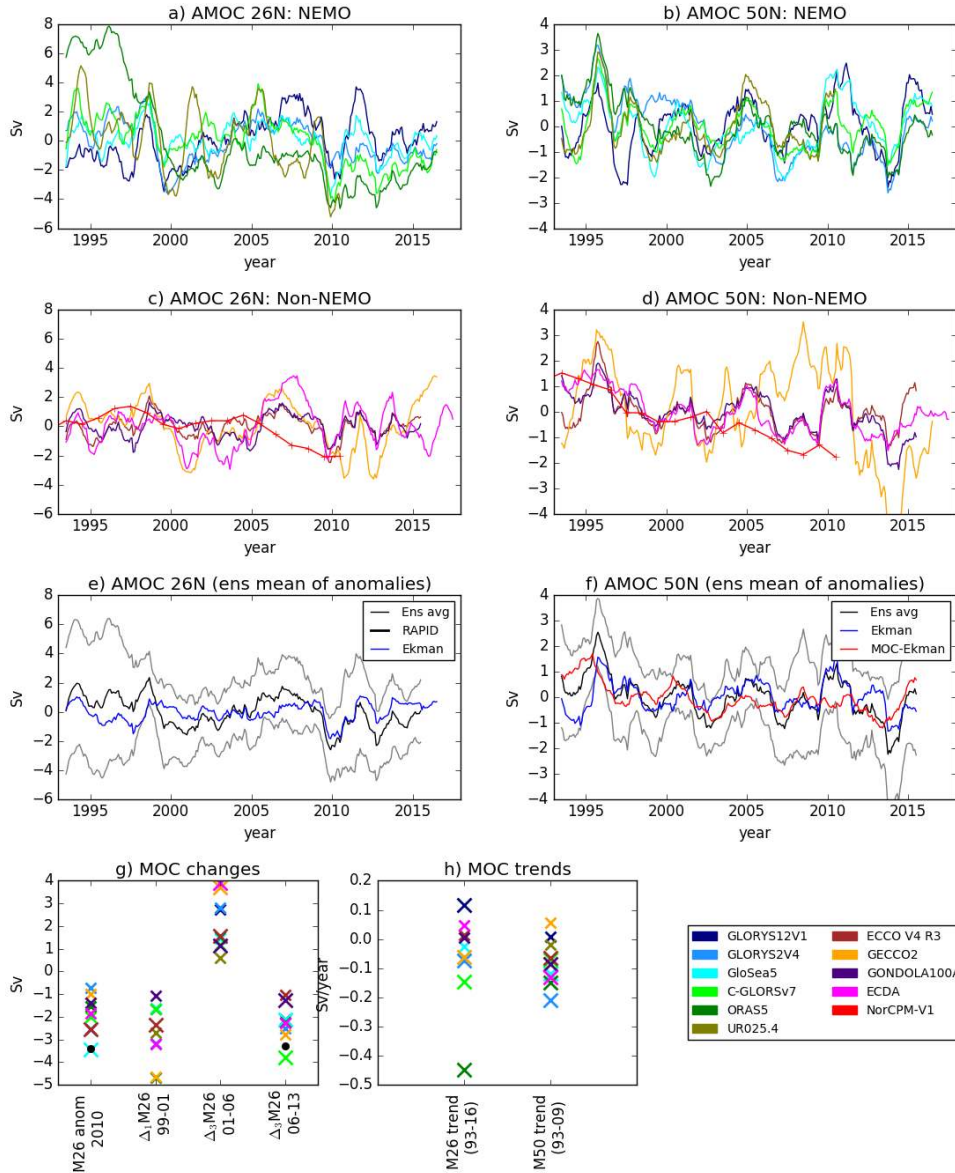


812 **Figure 9.** Time series of Labrador Sea density anomalies averaged over a) 0-500m or b)  
 813 1500-1900m and the region 75-40°W and 50-65°N. c) The maximum mixed layer depth over the  
 814 Labrador Sea (measured as the maximum over the region and over the year of mixed layer depths  
 815 defined as the depth at which the monthly mean density differs by 0.03 kg/m<sup>3</sup> from that at the  
 816 surface

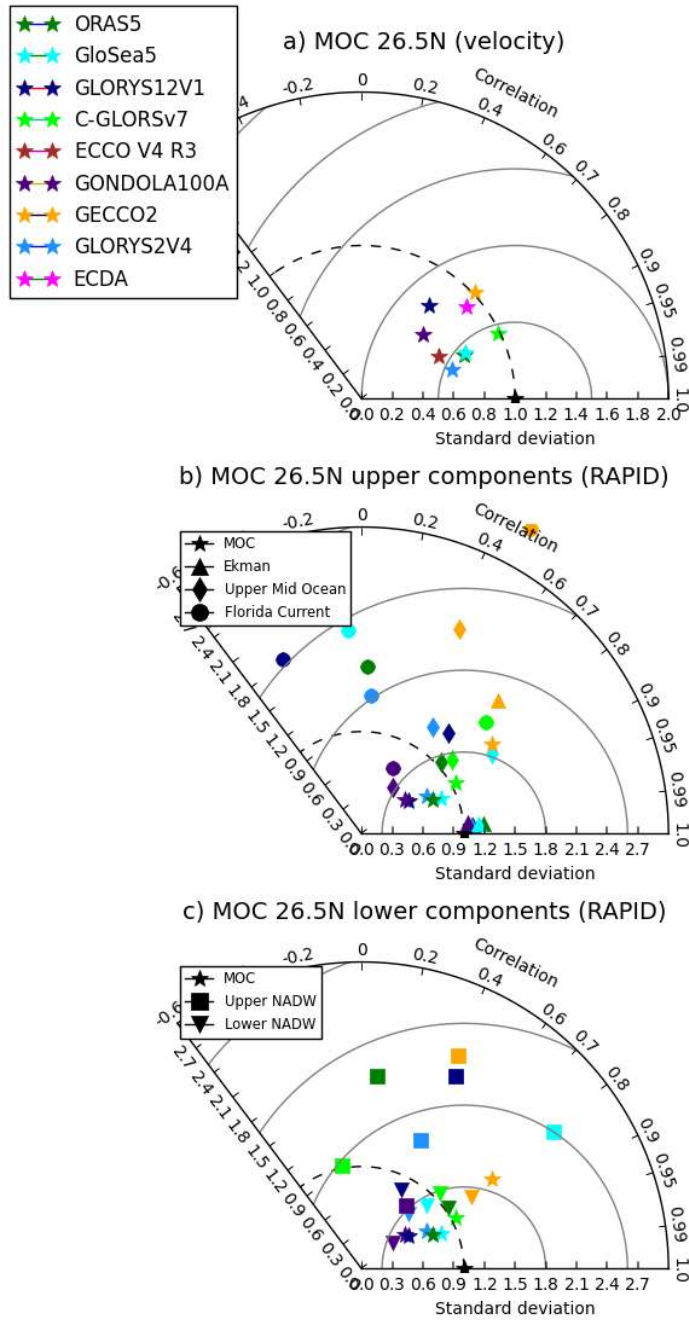




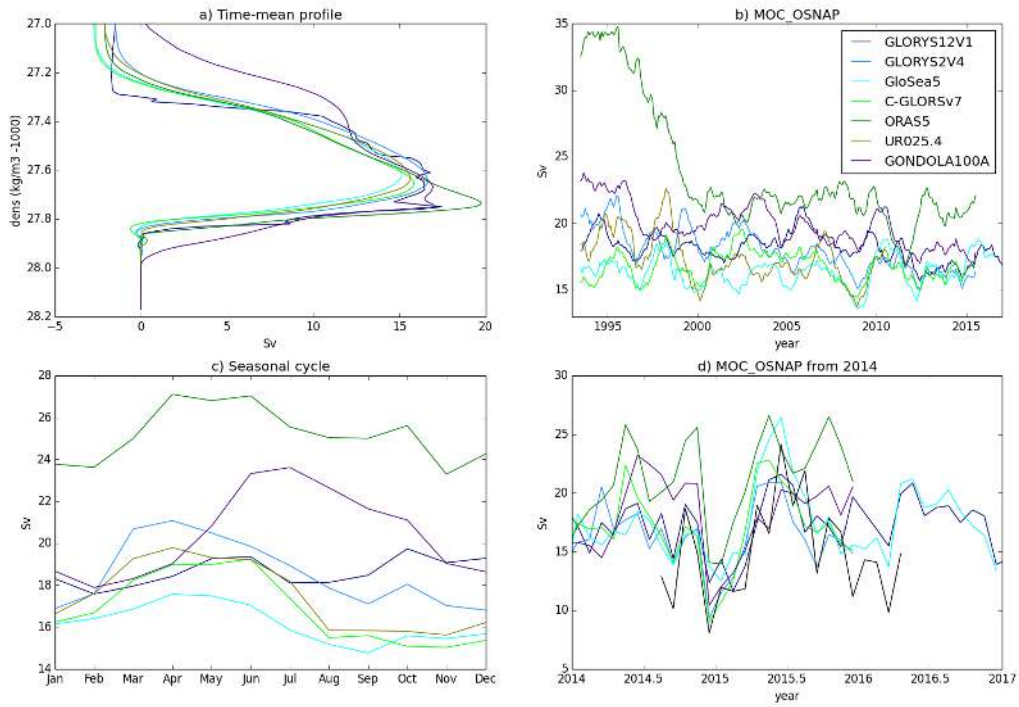
817 **Figure 10.** The relative strength of temperature or salinity in controlling density anomalies  
 818 in the western subpolar North Atlantic. Positive values show density anomalies are dominated by  
 819 temperature, whereas negative shows density anomalies are dominated by salinity. The density  
 820 control metric is the difference between  $rT$  and  $rS$ , where  $rT$  ( $rS$ ) is the correlation coefficient  
 821 between the density resulting from changes in temperature (salinity) only (ie with the other vari-  
 822 able constant), and the full density timeseries (Menary et al., 2016). Density drivers have been  
 823 calculated for four different depth ranges (x-axis). The black cross shows the values from the  
 824 EN4 observational analysis.



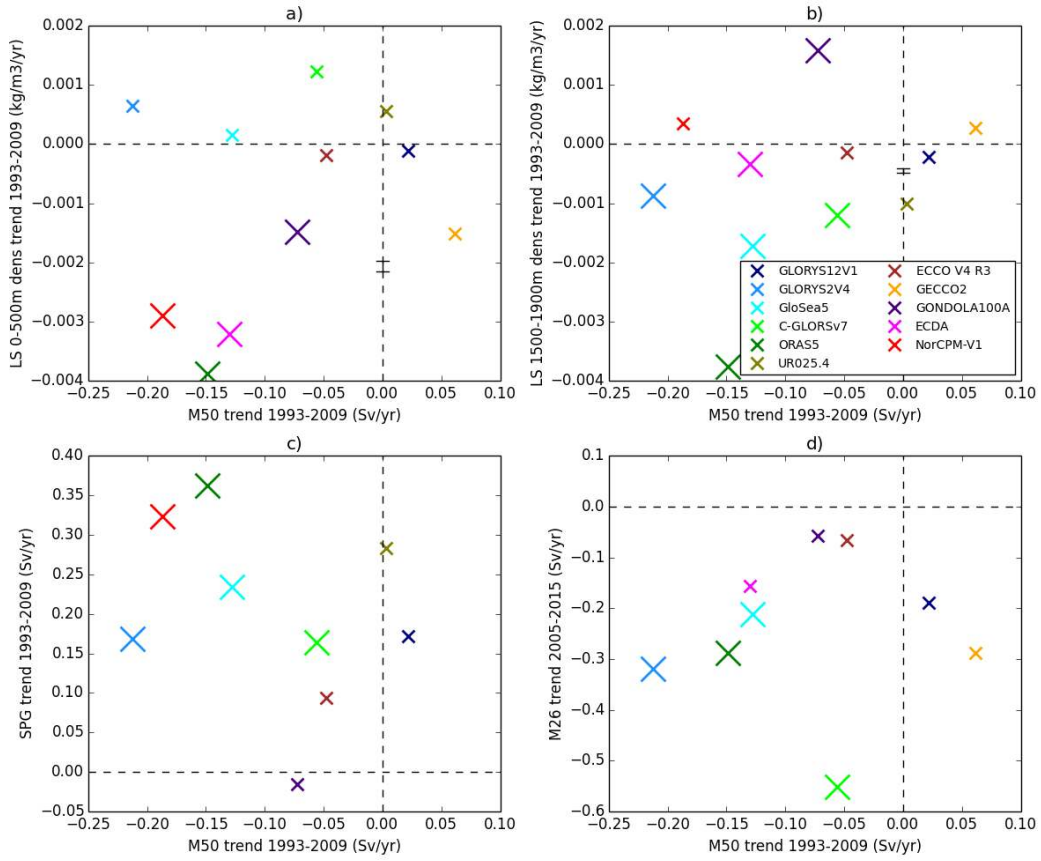
825 **Figure 11.** Timeseries of anomalous AMOC strength (with 12 month running mean). a,c  
 826 Individual models at 26.5°N (thick black line is timeseries from RAPID) and b,d) at 50N. Re-  
 827 analyses are split between NEMO and non-NEMO for clarity. e) ensemble mean (black) and  
 828 2 x standard deviation (grey) of AMOC anomalies at 26.5°N, with the RAPID anomaly time-  
 829 series (thick black). Also shown is the Ekman transport calculated from ERA Interim winds as  
 830 in C. D. Roberts et al. (2013a) (blue) f) As e but without observational timeseries and with the  
 831 ensemble mean minus Ekman (red). (g,h) Comparisons of AMOC changes across the ensemble.  
 832 Each cross is a model, with large crosses assessed as significant changes compared to each model  
 833 timeseries. Black crosses are the changes for the ensemble mean and black circles are from the  
 834 observations. g) M26 anomaly in 2009.5-2010.5 (compared to 2011-2015 time mean); M26 in  
 835 1998.5-1999.5 minus 2000.5-2001.5; M26 in 2005-2007 minus 2000-2002; M26 in 2012-2014 minus  
 836 2005-2007. f) trend in M26 (1993-2016); trend in M50 (1993-2009)



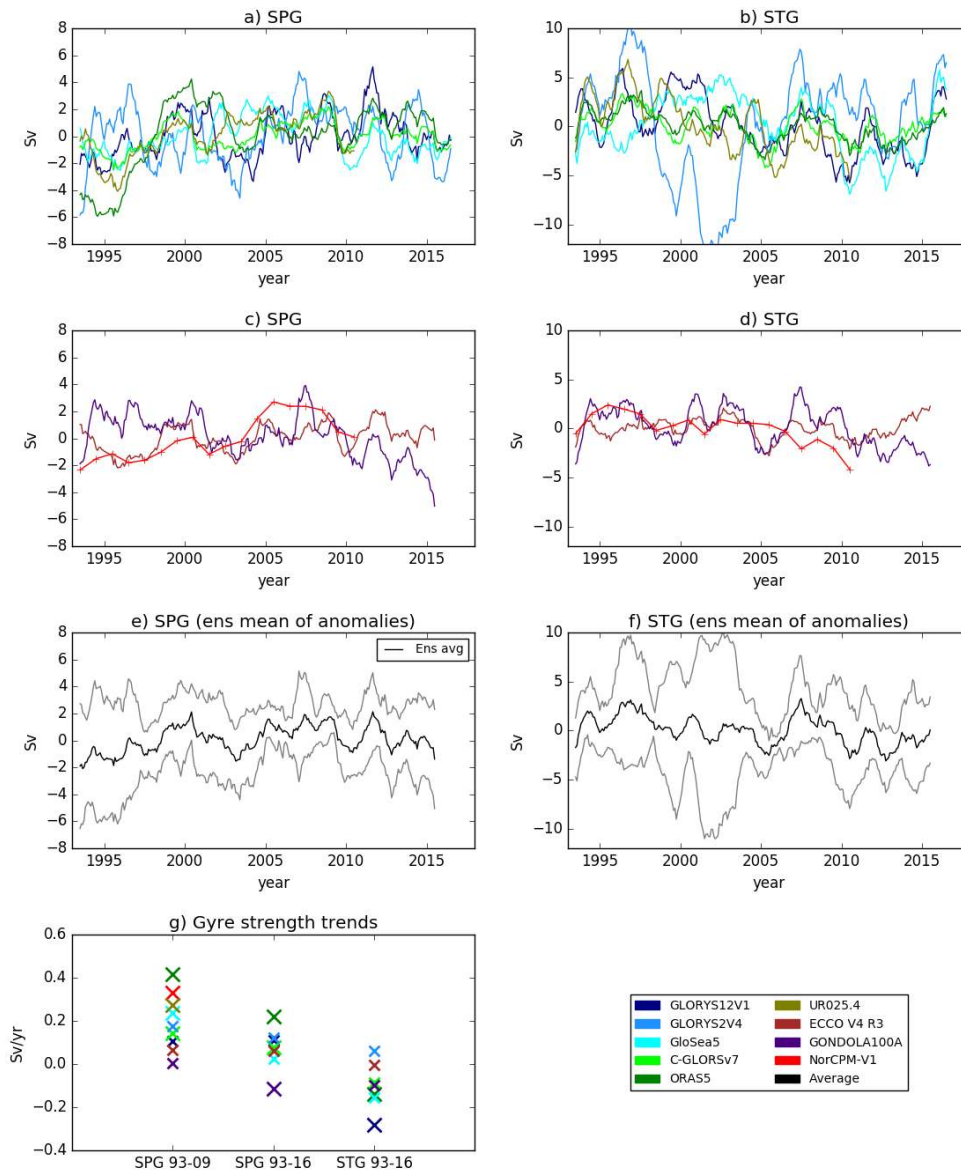
837 **Figure 12.** Taylor diagrams comparing timeseries of observations of AMOC components  
 838 from RAPID, with components calculated from the reanalyses using the RAPID methodol-  
 839 ogy (C. D. Roberts et al., 2013a). Shown are (a) the AMOC calculated with velocities, (b)  
 840 the AMOC and upper ocean components as calculated using the RAPID methodology, (c) the  
 841 AMOC and lower ocean components as calculated using the RAPID methodology. Colors show  
 842 different reanalyses, symbols show different components. All standard deviations are normal-  
 843 ized by the observational standard deviations and all statistics are calculated on annual means.  
 844 Note that not all the models have calculated the RAPID decomposition and that models with  
 845 insufficient years (UR025.4 and NorCPM-v1) are excluded.



846 **Figure 13.** Overturning in density space along the OSNAP line using potential density ref-  
 847 erenced to the surface a) The time mean streamfunction in density space. b) The overturning  
 848 strength (maximum in density space) with a 12 month running mean. c) Seasonal cycle of the  
 849 overturning strength. d) Monthly values of last few years of overturning strength since 2014. The  
 850 black line is the observational estimate from OSNAP (Lozier et al., 2019).

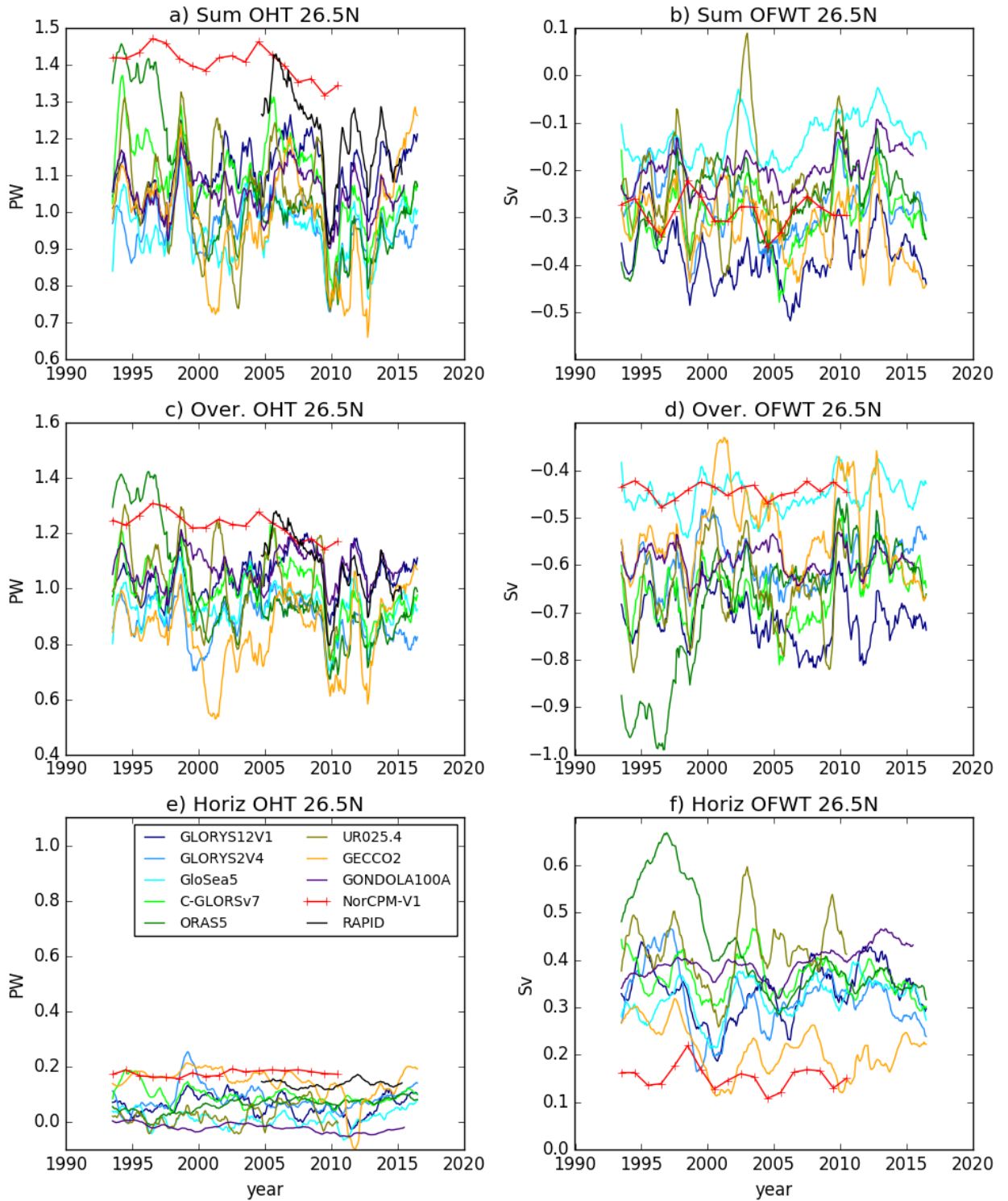


851 **Figure 14.** Comparisons of trends in the Labrador Sea density (0-500m and 1500-1900m),  
 852 the SPG and the AMOC 50°N (M50) over the period 1993-2009, and the trend in the AMOC  
 853 at 26.5°N (M26) from 2005-2015. All trends are from 1993-2009 apart from M26 which is from  
 854 2005-2015. Reanalyses where the trend in both variables is significant (using  $p=0.1$ ) have large  
 855 crosses. In panels a and b we also include values of density trends from EN4 and CORA observa-  
 856 tional analyses as a black bar. The bar is arbitrarily centered on  $x=0$ . Dashed lines indicate the  
 857 lines of zero trend.

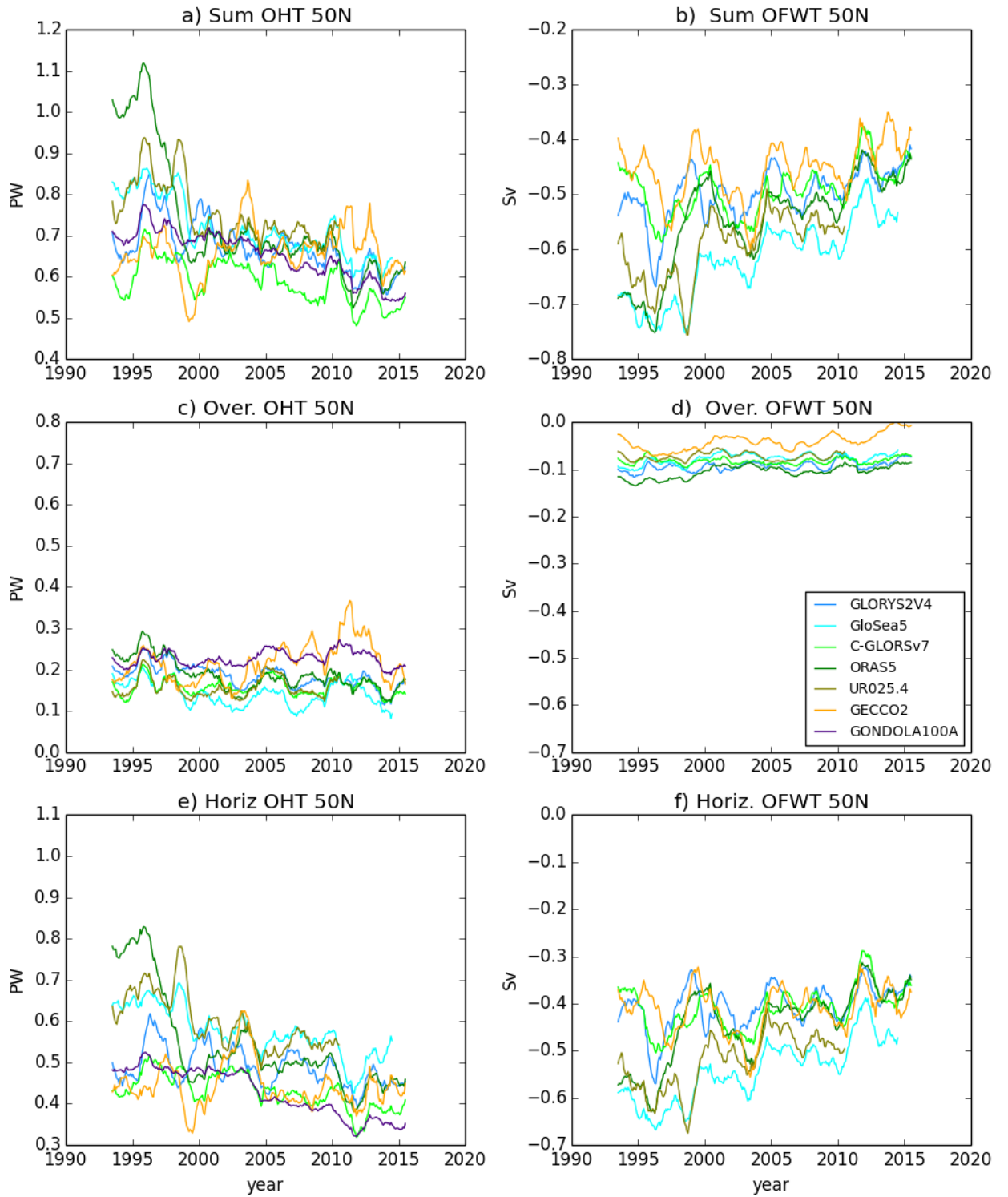


858 **Figure 15.** Timeseries of anomalies of gyre strengths (with 12 month running mean). Note  
 859 that GECCO2 has been omitted from this figure because the variability is much larger than  
 860 the scales. Individual models for a,c) the SPG (average of the barotropic streamfunction over  
 861 60-30°W, 50-60°N) and b,d) the STG (average of the barotropic streamfunction over 80-50°W,25-  
 862 38°N). e) ensemble mean (black) and 2 x standard deviation (grey) of SPG timeseries. f) As e  
 863 but for the STG. g) Comparisons of trends across the ensemble. Each cross is a model, with large  
 864 crosses assessed as significant changes compared to each model timeseries. Black crosses are the  
 865 changes for the ensemble mean.





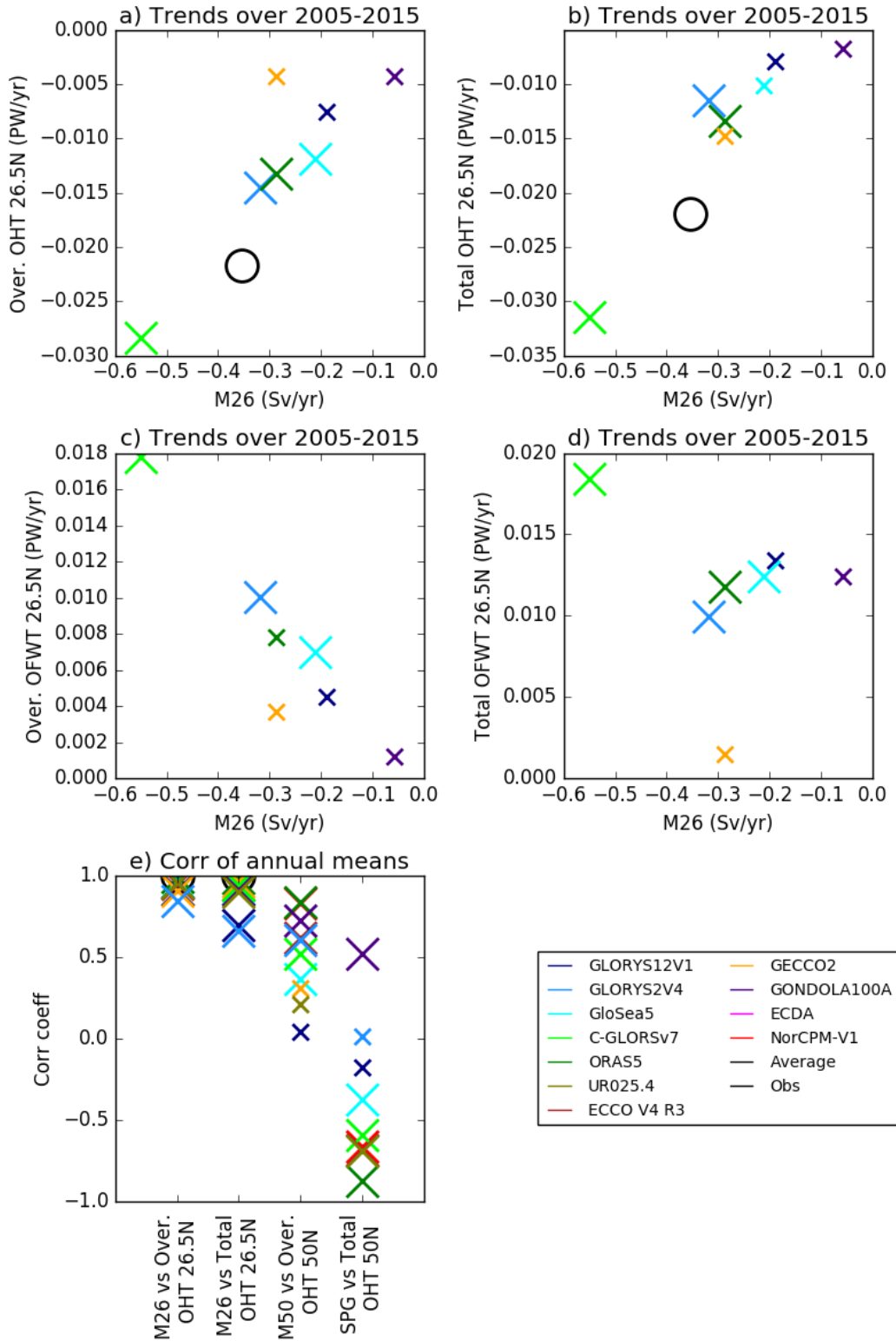
866 **Figure 16.** Heat transports (left hand columns) and freshwater transports (right hand  
 867 columns) at 26.5°N. Shown is the gyre component (bottom), the overturning component (middle)  
 868 and the sum (top). Note that no throughflow component is included in the sum for the freshwa-  
 869 ter transport, making it an equivalent freshwater transport referenced to 26.5°N. For equivalent  
 870 freshwater and transport component definitions see McDonagh et al. (2015).



871

**Figure 17.** As Fig 16 but at 50°N.





872 **Figure 18.** Comparison of the trends of AMOC at 26.5°N (M26) with trends of a) the over-  
 873 turning component of OHT, b) the total heat transport, c) the overturning component of OFWT  
 874 d) the total component of OFWT. Trends are over 2005-2015 and those reanalyses where both  
 875 variables have significant trends use a large symbol. Observations from RAPID are shown in  
 876 black circles. e) Correlations of annual mean time series of M26 and M50 with the overturning  
 877 and total components of heat transport. Large crosses show significant relationships.

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